# Kripke Semantics for Basic Sequent Systems 

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- A correspondence between a wide class of proof-systems (called basic systems) and Kripke semantics.
- More precisely, a general soundness and completeness result which uniformly provides Kripke semantics for each basic system.
- Extension of the previous result to obtain semantic characterizations of crucial syntactic properties of basic systems:
- Analyticity
- Cut-admissibility


## Basic Systems: General Framework

(1) Propositional sequent systems
(2) Manipulate two-sided multiple-conclusion sequents
(3) Fully structural:

- Sequents are finite sets of signed formulas, e.g.

$$
\psi, \varphi \Rightarrow \varphi, \psi \wedge \varphi \equiv \quad\{f: \psi, f: \varphi, t: \varphi, t:(\psi \wedge \varphi)\}
$$

- Identity axioms, cut, weakening rules always present
(4) The logical rules are all basic rules

$$
\frac{\square \Gamma \Rightarrow \psi}{\square \Gamma \Rightarrow \square \psi}
$$

$$
\frac{\Gamma, \psi \Rightarrow \Delta}{\Gamma, \square \psi \Rightarrow \Delta}
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- Distinction between active and context formulas

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- Distinction between active and context formulas
- The structure of the active part:

$$
\frac{\Rightarrow \psi}{\Rightarrow \square \psi} \quad \rightsquigarrow \Rightarrow p_{1} / \Rightarrow \square p_{1} \quad \frac{\psi \Rightarrow}{\square \psi \Rightarrow} \quad \rightsquigarrow \quad p_{1} \Rightarrow / \square p_{1} \Rightarrow
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- Introducing context-relations to handle the context part:

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\frac{\square \Gamma \Rightarrow}{\square \Gamma \Rightarrow} \rightsquigarrow \pi_{1}=\left\{\left\langle f: \square p_{1}, f: \square p_{1}\right\rangle\right\}
$$

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\frac{\square \Gamma \Rightarrow \psi}{\square \Gamma \Rightarrow \square \psi}
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$$

- The final formulation:

$$
\left\langle\Rightarrow p_{1}, \pi_{1}\right\rangle / \Rightarrow \square p_{1}
$$

$$
\left\langle p_{1} \Rightarrow, \pi_{0}\right\rangle / \square p_{1} \Rightarrow
$$

- A basic rule:

$$
\left\langle s_{1}, \pi_{1}\right\rangle, \ldots,\left\langle s_{n}, \pi_{n}\right\rangle / C
$$

- Premises: sequents $s_{1}, \ldots, s_{n}$
- Corresponding context-relations: $\pi_{1}, \ldots, \pi_{n}$
- Conclusion: sequent $C$
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- Premises: sequents $s_{1}, \ldots, s_{n}$
- Corresponding context-relations: $\pi_{1}, \ldots, \pi_{n}$
- Conclusion: sequent $C$
- Its application:

$$
\frac{\sigma\left(s_{1}\right) \cup c_{1} \ldots \sigma\left(s_{n}\right) \cup c_{n}}{\sigma(C) \cup c_{1}^{\prime} \cup \ldots \cup c_{n}^{\prime}}
$$

where :

- $\sigma$ is a substitution
- for every $1 \leq i \leq n,\left\langle c_{i}, c_{i}^{\prime}\right\rangle$ is a $\pi_{i}$-instance

Basic Rules - More Examples

| Basic Rule | Application |
| :---: | :---: |
| $\left\langle p_{1} \Rightarrow, \pi_{0}\right\rangle,\left\langle\Rightarrow p_{1}, \pi_{0}\right\rangle / \Rightarrow$ | $\Gamma_{1}, \psi \Rightarrow \Delta_{1} \Gamma_{2} \Rightarrow \psi, \Delta_{2}$ <br> $\Gamma_{1}, \Gamma_{2} \Rightarrow \Delta_{1}, \Delta_{2}$ |
| $\left\langle p_{1} \Rightarrow p_{2}, \pi_{0}\right\rangle / \Rightarrow p_{1} \supset p_{2}$ | $\Gamma, \varphi \Rightarrow \psi, \Delta$ |
| $\left.p_{1} \Rightarrow p_{2}, \pi_{1}\right\rangle / p_{1} \quad p_{2}$ |  |
| $\left\langle\Rightarrow p_{1}, \pi_{2}\right\rangle / \Rightarrow \square p_{1}$ | $\square \Gamma_{1}, \square \Gamma_{2} \Rightarrow \psi$ |

$$
\pi_{0}=\left\{\left\langle f: p_{1}, f: p_{1}\right\rangle,\left\langle t: p_{1}, t: p_{1}\right\rangle\right\}
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| $\left\langle p_{1} \Rightarrow p_{2}, \pi_{0}\right\rangle / \Rightarrow p_{1} \supset p_{2}$ | $\frac{\Gamma, \varphi \Rightarrow \psi, \Delta}{\Gamma \Rightarrow \varphi \supset \psi, \Delta}$ |
| $\left.p_{1} \Rightarrow p_{2}, \pi_{1}\right\rangle p_{1}$ |  |
| $\left\langle\Rightarrow p_{1}, \pi_{2}\right\rangle / \Rightarrow \square p_{1}$ | $\square \Gamma_{1}, \square \Gamma_{2} \Rightarrow \square \psi$ |

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$$
\begin{gathered}
\pi_{0}=\left\{\left\langle f: p_{1}, f: p_{1}\right\rangle,\left\langle t: p_{1}, t: p_{1}\right\rangle\right\} \\
\pi_{1}=\left\{\left\langle f: p_{1}, f: p_{1}\right\rangle\right\}
\end{gathered}
$$

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$$
\pi_{1}=\left\{\left\langle f: p_{1}, f: p_{1}\right\rangle\right\}
$$

$$
\pi_{2}=\left\{\left\langle f: p_{1}, f: \square p_{1}\right\rangle,\left\langle f: \square p_{1}, f: \square p_{1}\right\rangle\right\}
$$

## Basic Systems

Many sequent systems are basic.
This includes systems for (the propositional fragments of):

- Classical logic
- Intuitionistic logic, its dual, and bi-intuitionistic logic
- Variety of modal logics
- Intuitionistic modal logics
- Many-valued logics
- Variety of paraconsistent logics


## Kripke Semantics in General

## Definition

A Kripke frame consists of:

- A set of worlds W
- A set of accessibility relations $\mathcal{R}$
- A valuation $v: W \times \operatorname{Frm}_{\mathcal{L}} \rightarrow\{\mathrm{T}, \mathrm{F}\}$


## Definition

A Kripke frame consists of:

- A set of worlds W
- A set of accessibility relations $\mathcal{R}$
- A valuation $v: W \times \operatorname{Frm}_{\mathcal{L}} \rightarrow\{T, F\}$
- A signed formula $x: \psi$ is true in a world $w$ if $v(w, \psi)=X$
- A sequent $s$ is true in a world $w$ if it contains at least one signed formula which is true in $w$
- Accordingly, a sequent $\Gamma \Rightarrow \Delta$ is true in $w$ iff $v(w, \psi)=\mathrm{F}$ for some $\psi \in \Gamma$ or $v(w, \psi)=\mathrm{T}$ for some $\psi \in \Delta$
- A frame is a model of a sequent $s$ if it is true in every world


## Kripke Semantics for Basic Systems

- To obtain Kripke semantics for a proof system G, we identify a set of G-legal frames for which $\mathbf{G}$ is sound and complete, i.e. $\mathcal{C} \vdash_{\mathbf{G}} s$ iff every $\mathbf{G}$-legal frame which is a model of $\mathcal{C}$ is also a model of $s$.
- For a basic system G:
- Each context-relation in $\mathbf{G}$ and each basic rule of $\mathbf{G}$ imposes a constraint on the set of frames.
- Joining all of these constraints, we obtain the set of G-legal frames.
- It might produce non-deterministic semantics.
- For every context-relation $\pi$ in $\mathbf{G}$ there is a corresponding accessibility relation $R_{\pi}$, where $R_{\pi_{0}}$ is the identity relation.
- The constraint imposed by the context-relation $\pi$ : if $w R_{\pi} u$ then for every $\pi$-instance $\langle x: \psi, y: \varphi\rangle$, either $v(u, \psi) \neq \mathrm{x}$ or $v(w, \varphi)=\mathrm{Y}$.
- The constraint imposed by the basic rule $\left\langle s_{1}, \pi_{1}\right\rangle, \ldots,\left\langle s_{n}, \pi_{n}\right\rangle / C$ : For every world $w$, substitution $\sigma$, if for every $1 \leq i \leq n, \sigma\left(s_{i}\right)$ is true in every $u$ such that $w R_{\pi_{i}} u$, then $\sigma(C)$ is true in $w$.

$$
\text { Reminder: } \pi_{0}=\left\{\left\langle f: p_{1}, f: p_{1}\right\rangle,\left\langle t: p_{1}, t: p_{1}\right\rangle\right\}
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- The constraint imposed by the context-relation $\pi$ : if $w R_{\pi} u$ then for every $\pi$-instance $\langle x: \psi, y: \varphi\rangle$, either $v(u, \psi) \neq \mathrm{x}$ or $v(w, \varphi)=\mathrm{Y}$.
- The constraint imposed by the basic rule $\left\langle s_{1}, \pi_{1}\right\rangle, \ldots,\left\langle s_{n}, \pi_{n}\right\rangle / C$ : For every world $w$, substitution $\sigma$, if for every $1 \leq i \leq n, \sigma\left(s_{i}\right)$ is true in every $u$ such that $w R_{\pi_{i}} u$, then $\sigma(C)$ is true in $w$.

$$
\begin{aligned}
& \left\langle\Rightarrow p_{1}, \pi_{K}\right\rangle / \Rightarrow \square p_{1} \\
& \pi_{K}=\left\{\left\langle f: p_{1}, f: \square p_{1}\right\rangle\right\}
\end{aligned}
$$

$$
\frac{\Gamma \Rightarrow \psi}{\square \Gamma \Rightarrow \square \psi}
$$

- A relation $R_{\pi_{K}} \in \mathcal{R}$.
- If $w R_{\pi_{K}} u$ then for every $\psi$, either $v(w, \square \psi)=\mathrm{F}$ or $v(u, \psi) \neq \mathrm{F}$, i.e. if $v(w, \square \psi)=\mathrm{T}$, then $v(u, \psi)=\mathrm{T}$ for every $u$ such that $w R_{\pi_{k}} u$.
- If $v(u, \psi)=T$ for every $u$ such that $w R_{\pi_{K}} u$, then $v(w, \square \psi)=T$.


## Theorem

Every basic system $\mathbf{G}$ is sound and complete with respect to the semantics of G-legal frames.

## Kripke Semantics for Basic Systems

## Theorem

Every basic system $\mathbf{G}$ is sound and complete with respect to the semantics of G-legal frames.

- General and uniform:
- Various known soundness and completeness results are specific cases of this general theorem
- There are some known systems for which it provides Kripke semantics for the first time, e.g. systems for weak modal logics
- Modular


## Analyticity

- A basic system is (strongly) analytic iff it has the subformula property, i.e. $\mathcal{C} \vdash_{\mathrm{G}} s$ implies that there exists a proof of $s$ from $\mathcal{C}$ in G that contains only subformulas of the formulas in $\mathcal{C} \cup\{s\}$.
- Analyticity implies decidability and consistency.
- Q: semantic meaning of analyticity?


## Analyticity

- A basic system is (strongly) analytic iff it has the subformula property, i.e. $\mathcal{C} \vdash_{\mathrm{G}} s$ implies that there exists a proof of $s$ from $\mathcal{C}$ in G that contains only subformulas of the formulas in $\mathcal{C} \cup\{s\}$.
- Analyticity implies decidability and consistency.
- Q: semantic meaning of analyticity?

Next, we strengthen the soundness and completeness theorem to characterize proofs containing only formulas from a given set $\mathcal{E}$.

For this we introduce $\mathcal{E}$-semiframes.

## Frames

## Definition

A frame consists of:

- A set of worlds W
- A set of accessibility relations $\mathcal{R}$
- A valuation $v: W \times \operatorname{Frm}_{\mathcal{L}} \rightarrow\{\mathrm{T}, \mathrm{F}\}$


## Theorem

There exists a proof in $\mathbf{G}$ of $s$ from $\mathcal{C}$
if and only if
every G-legal frame which is a model of $\mathcal{C}$ is also a model of $s$.

## Definition

A $\mathcal{E}$-semiframe consists of:

- A set of worlds $W$
- A set of accessibility relations $\mathcal{R}$
- A valuation $v: W \times \mathcal{E} \rightarrow\{T, F\}$


## Theorem

There exists a proof in $\mathbf{G}$ of s from $\mathcal{C}$ containing only formulas from $\mathcal{E}$
if and only if
every G-legal $\mathcal{E}$-semiframe which is a model of $\mathcal{C}$ is also a model of $s$.

- The last theorem leads to a semantic decision procedure for analytic basic systems (just check all possible semiframes).
- Semantic sufficient condition for analyticity: If every G-legal $\mathcal{E}$-semiframe can be extended to a G-legal frame for every set $\mathcal{E}$ of formulas closed under subformulas, then $\mathbf{G}$ is analytic.
- Both the procedure and the criterion are applicable for many interesting basic systems.
- A basic system enjoys strong cut-admissibility if whenever $\mathcal{C} \vdash_{\mathrm{G}} s$, then there exists a proof of $s$ from $\mathcal{C}$ in which all cuts are on formulas from $\mathcal{C}$.
- In particular, if $\mathcal{C}$ is empty, then no cuts are allowed (usual cut-admissibility).

We strengthen the soundness and completeness theorem to handle proofs in which cut is only allowed on formulas from a given set $\mathcal{E}$.

## Quasiframes

## Intuition

An application of cut: $\quad \begin{aligned} & \psi \Rightarrow\end{aligned}$
If cut on $\psi$ is forbidden, we need a frame which is a model of both $\psi \Rightarrow$ and $\Rightarrow \psi$.

## Quasiframes

## Intuition

An application of cut: $\quad \begin{aligned} & \psi \Rightarrow \Rightarrow \psi \\ & \Rightarrow\end{aligned}$
If cut on $\psi$ is forbidden, we need a frame which is a model of both $\psi \Rightarrow$ and $\Rightarrow \psi$.

## Definition

- A $\mathcal{E}$-quasiframe consists of:
- A set of worlds W
- A set of accessibility relations $\mathcal{R}$
- A valuation $v: W \times \operatorname{Frm}_{\mathcal{L}} \rightarrow\{\mathrm{T}, \mathrm{F}, \mathrm{I}\}$ such that $v(w, \psi) \neq \mathrm{I}$ for every $\boldsymbol{w} \in W$ and $\psi \in \mathcal{E}$
- A sequent $\Gamma \Rightarrow \Delta$ is true in some $w \in W$ if $v(w, \psi) \in\{\mathrm{F}, \mathrm{I}\}$ for some $\psi \in \Gamma$ or $v(w, \psi) \in\{T, I\}$ for some $\psi \in \Delta$.
- Semantic sufficient condition for strong cut-admissibility: If every G-legal $\mathcal{E}$-quasiframe can be refined into a G-legal frame for every set $\mathcal{E}$ of formulas, then $\mathbf{G}$ enjoys strong cut-admissibility (by refinement, we mean changing all I's to T's or F's).
- Provides a uniform basis for semantic proofs of strong cut-admissibility in basic systems.

Thank you!

