

Iterative Program Analysis

Abstract Interpretation

Mooly Sagiv

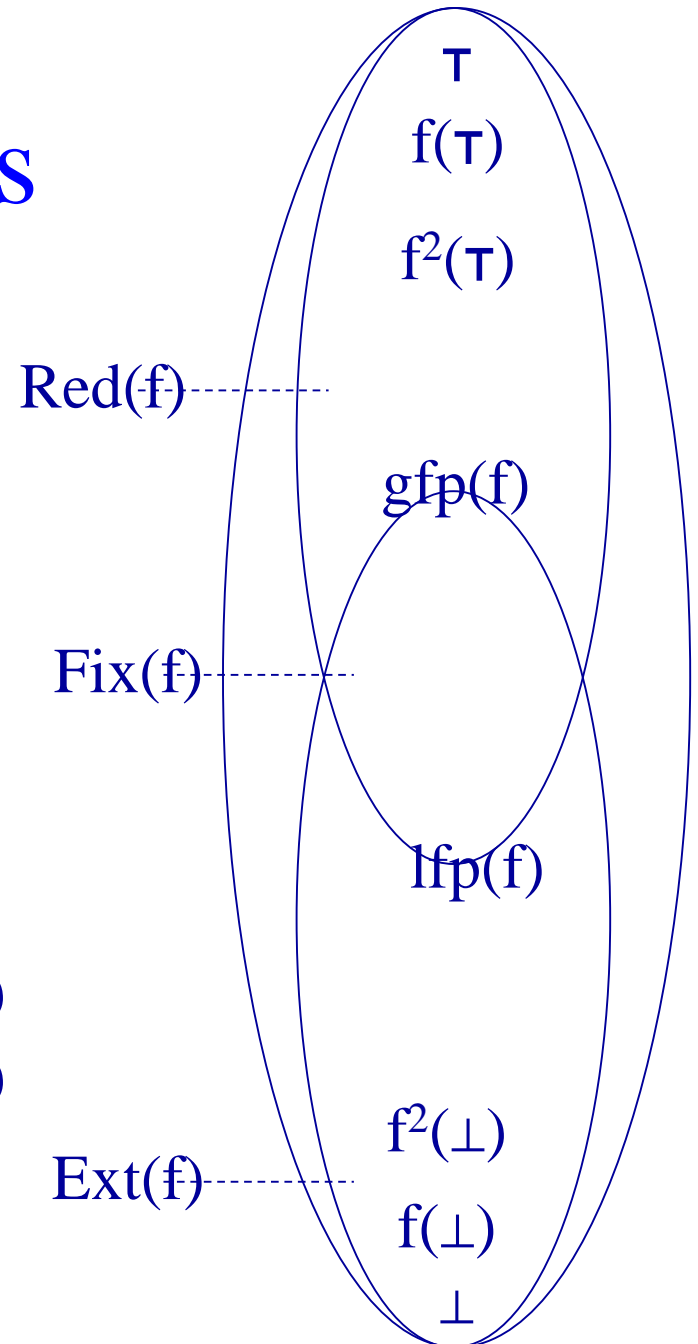
Textbook: **Principles of Program Analysis**

Chapter 4

CC79, CC92

Fixed Points

- ◆ A monotone function $f: L \rightarrow L$ where $(L, \sqsubseteq, \sqcup, \sqcap, \perp, \top)$ is a complete lattice
- ◆ $\text{Fix}(f) = \{ l: l \in L, f(l) = l \}$
- ◆ $\text{Red}(f) = \{ l: l \in L, f(l) \sqsubseteq l \}$
- ◆ $\text{Ext}(f) = \{ l: l \in L, l \sqsubseteq f(l) \}$
 - $l_1 \sqsubseteq l_2 \Rightarrow f(l_1) \sqsubseteq f(l_2)$
- ◆ Tarski's Theorem 1955: if f is monotone then:
 - $\text{lfp}(f) = \sqcap \text{Fix}(f) = \sqcap \text{Red}(f) \in \text{Fix}(f)$
 - $\text{gfp}(f) = \sqcup \text{Fix}(f) = \sqcup \text{Ext}(f) \in \text{Fix}(f)$



Chaotic Iterations

- ◆ A lattice $L = (L, \sqsubseteq, \sqcup, \sqcap, \perp, \top)$ with finite strictly increasing chains
- ◆ $L^n = L \times L \times \dots \times L$
- ◆ A monotone function $\underline{f}: L^n \rightarrow L^n$
- ◆ Compute $\text{lfp}(\underline{f})$
- ◆ The simultaneous least fixed of the system $\{x[i] = \underline{f}_i(x) : 1 \leq i \leq n\}$

for $i := 1$ to n do

$x[i] = \perp$

$WL = \{1, 2, \dots, n\}$

while $(WL \neq \emptyset)$ do

 select and remove an element $i \in WL$

$new := \underline{f}_i(\underline{x})$

 if $(new \neq x[i])$ then

$x[i] := new;$

 Add all the indexes that directly depends on i to WL

$\underline{x} := (\perp, \perp, \dots, \perp)$

while $(\underline{f}(\underline{x}) \neq \underline{x})$ do

$\underline{x} := \underline{f}(\underline{x})$

Specialized Chaotic Iterations System of Equations

$S =$

$$\left\{ \begin{array}{l} df_{\text{entry}}[s] = \top \\ df_{\text{entry}}[v] = \sqcup \{ f(u, v) (df_{\text{entry}}[u]) \mid (u, v) \in E \} \end{array} \right\}$$

$F_S: L^n \rightarrow L^n$

$$F_S(X)[s] = \top$$

$$F_S(X)[v] = \sqcup \{ f(u, v)(X[u]) \mid (u, v) \in E \}$$

$$\text{lfp}(S) = \text{lfp}(F_S)$$

Specialized Chaotic Iterations

Chaotic($G(V, E)$: Graph, s : Node, L : Lattice, \perp : L, $f: E \rightarrow (L \rightarrow L)$) {

 for each v in V to n do $df_{\text{entry}}[v] := \perp$

$df[s] = \perp$

$WL = \{s\}$

while ($WL \neq \emptyset$) do

 select and remove an element $u \in WL$

 for each v , such that. $(u, v) \in E$ do

$temp = f(e)(df_{\text{entry}}[u])$

$new := df_{\text{entry}}(v) \sqcup temp$

 if ($new \neq df_{\text{entry}}[v]$) then

$df_{\text{entry}}[v] := new;$

$WL := WL \cup \{v\}$

Specialized Chaotic Iterations System of Equations

$S =$

$$\left\{ \begin{array}{l} df_{\text{entry}}[s] = \top \\ df_{\text{entry}}[v] = \sqcup \{ f(u, v) (df_{\text{entry}}[u]) \mid (u, v) \in E \} \end{array} \right\}$$

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Specialized Chaotic Iterations

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 select and remove an element $u \in WL$

 for each v , such that. $(u, v) \in E$ do

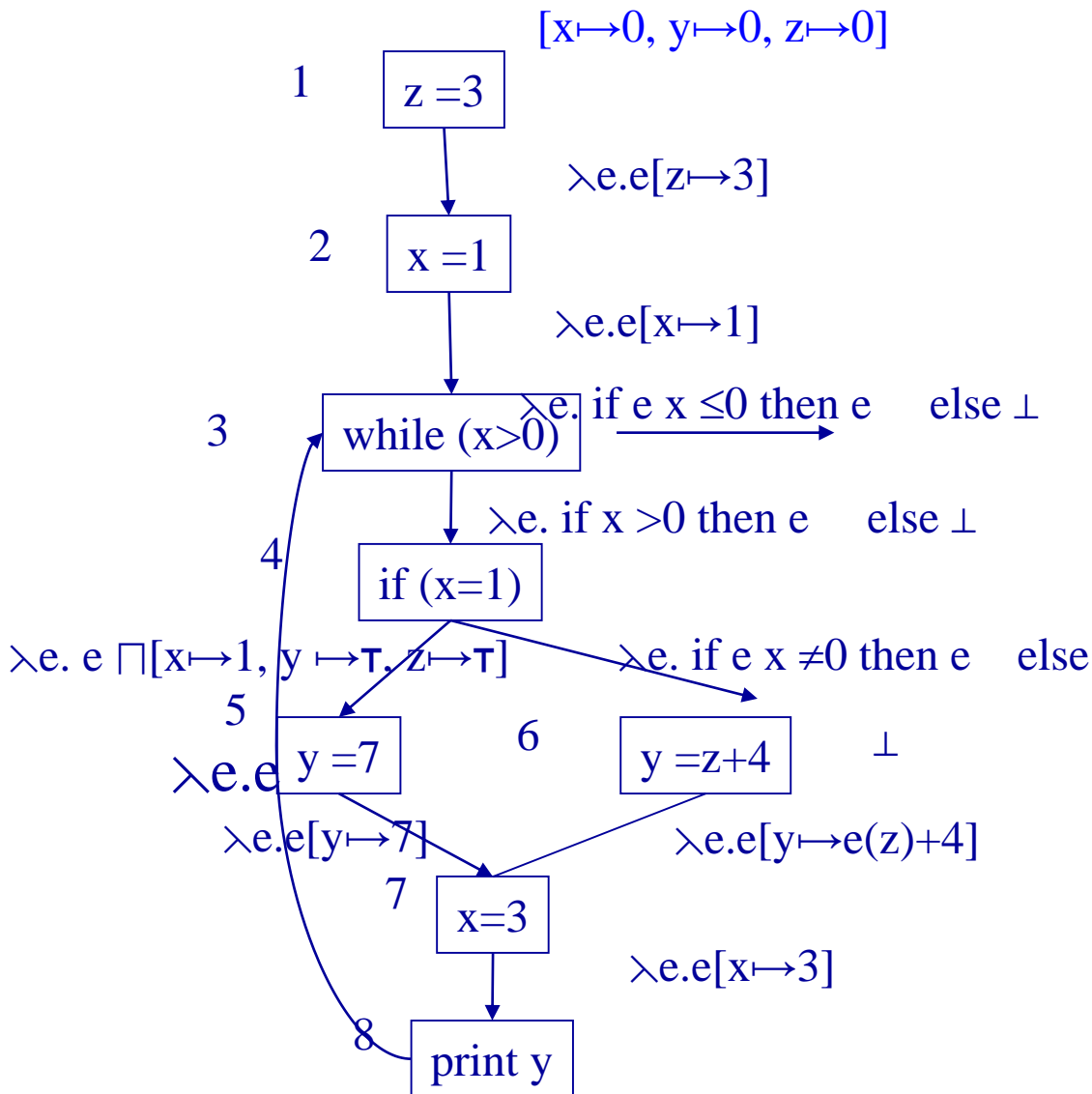
$temp = f(e)(df_{\text{entry}}[u])$

$new := df_{\text{entry}}(v) \sqcup temp$

 if ($new \neq df_{\text{entry}}[v]$) then

$df_{\text{entry}}[v] := new;$

$WL := WL \cup \{v\}$

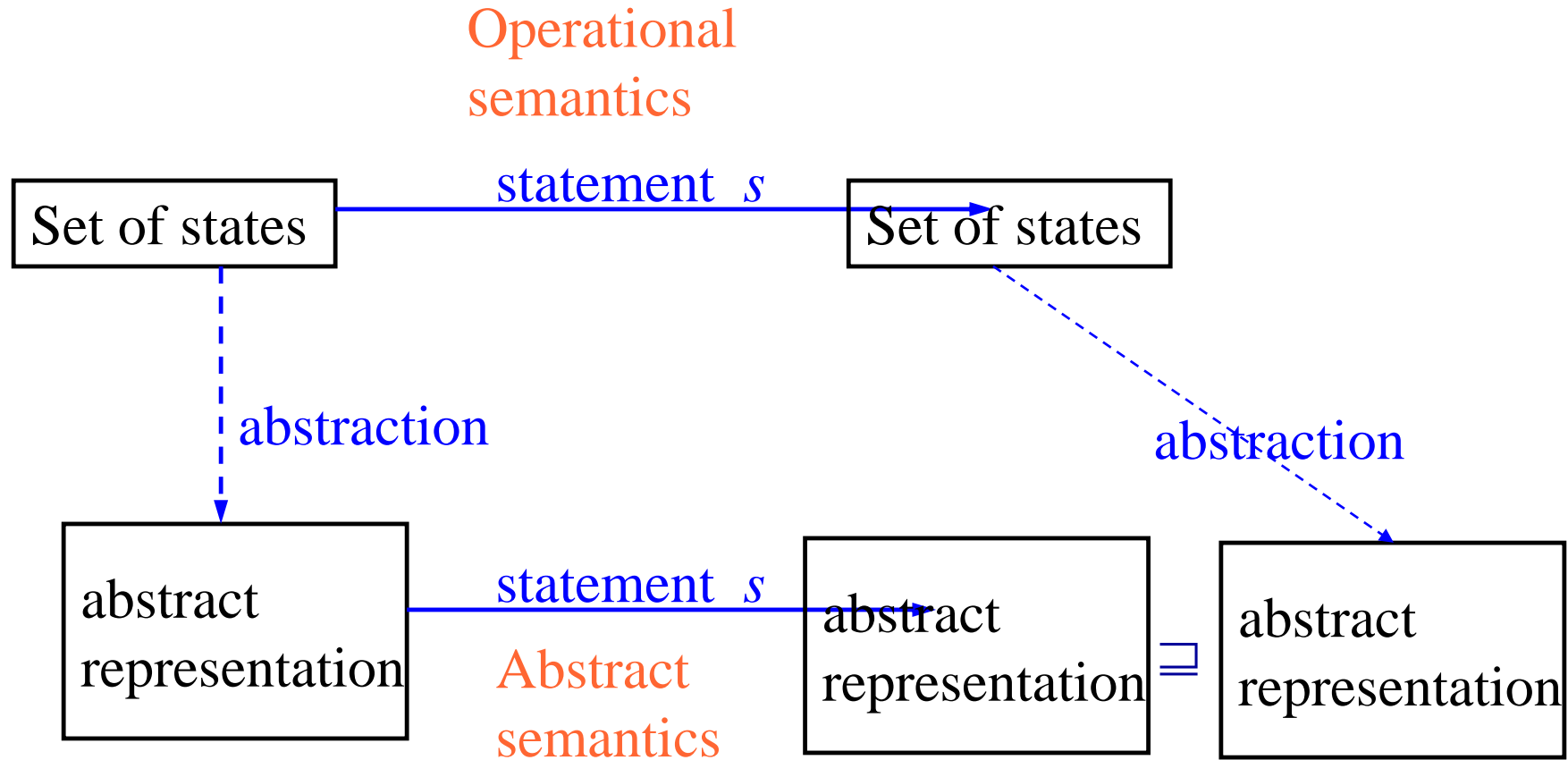


WL	$df_{\text{entry}}[v]$
{1}	
{2}	$df[2] := [x \mapsto 0, y \mapsto 0, z \mapsto 3]$
{3}	$df[3] := [x \mapsto 1, y \mapsto 0, z \mapsto 3]$
{4}	$df[4] := [x \mapsto 1, y \mapsto 0, z \mapsto 3]$
{5}	$df[5] := [x \mapsto 1, y \mapsto 0, z \mapsto 3]$
{7}	$df[7] := [x \mapsto 1, y \mapsto 7, z \mapsto 3]$
{8}	$df[8] := [x \mapsto 3, y \mapsto 7, z \mapsto 3]$
{3}	$df[3] := [x \mapsto \tau, y \mapsto \tau, z \mapsto 3]$
{4}	$df[4] := [x \mapsto \tau, y \mapsto \tau, z \mapsto 3]$
{5,6}	$df[5] := [x \mapsto 1, y \mapsto \tau, z \mapsto 3]$
{6,7}	$df[6] := [x \mapsto \tau, y \mapsto \tau, z \mapsto 3]$
{7}	$df[7] := [x \mapsto \tau, y \mapsto 7, z \mapsto 3]$

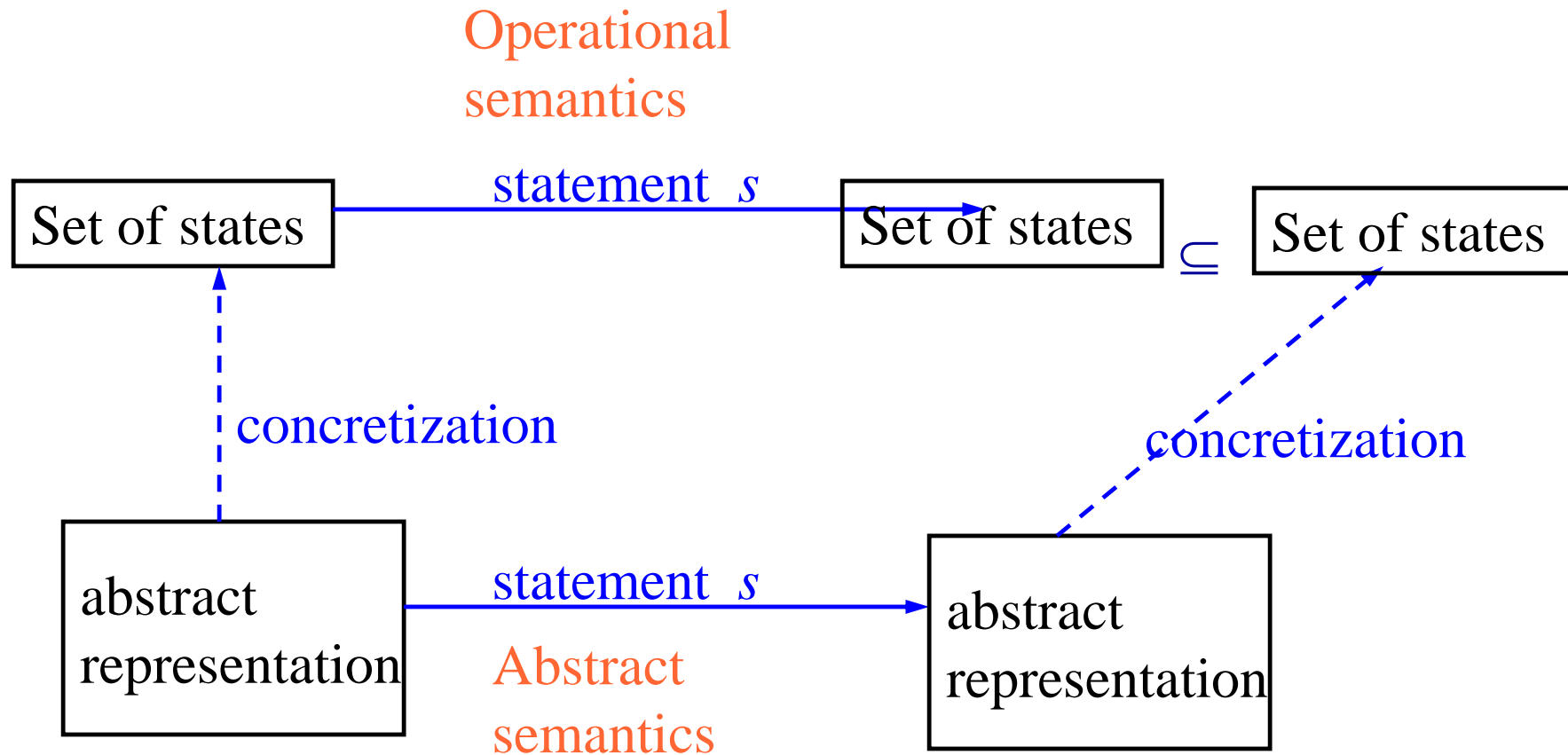
The Abstract Interpretation Technique (Cousot & Cousot)

- ◆ The foundation of program analysis
- ◆ Defines the meaning of the information computed by static tools
- ◆ A mathematical framework
- ◆ Allows proving that an analysis is sound in a local way
- ◆ Identify design bugs
- ◆ Understand where precision is lost
- ◆ New analysis from old
- ◆ Not limited to certain programming style

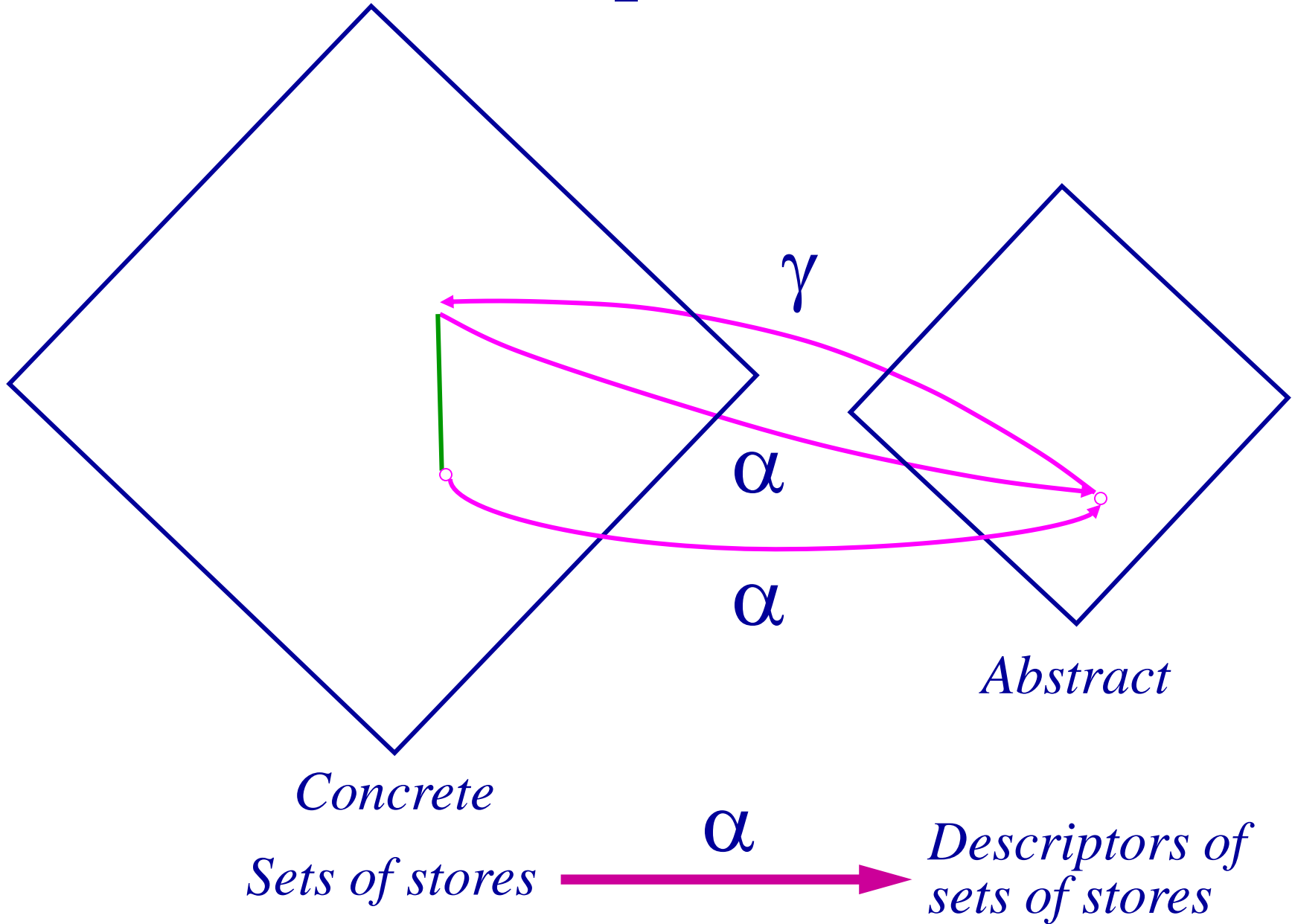
Abstract (Conservative) interpretation



Abstract (Conservative) interpretation



Abstract Interpretation



Galois Connections

- ◆ Lattices C and A and functions $\alpha: C \rightarrow A$ and $\gamma: A \rightarrow C$
- ◆ The pair of functions (α, γ) form Galois connection if
 - α and γ are monotone
 - $\forall a \in A$
 - » $\alpha(\gamma(a)) \sqsubseteq a$
 - $\forall c \in C$
 - » $c \sqsubseteq \gamma(\alpha(c))$
- ◆ Alternatively if:
 - $\forall c \in C$
 - $\forall a \in A$
 - $\alpha(c) \sqsubseteq a \text{ iff } c \sqsubseteq \gamma(a)$
- ◆ α and γ uniquely determine each other

The Abstraction Function (CP)

◆ Map collecting states into constants

◆ The abstraction of an individual state

$$\beta_{\text{CP}}: [\text{Var}_* \rightarrow \mathbb{Z}] \rightarrow [\text{Var}_* \rightarrow \mathbb{Z} \cup \{\perp, \top\}]$$

$$\beta_{\text{CP}}(\sigma) = \sigma$$

◆ The abstraction of set of states

$$\alpha_{\text{CP}}: \mathcal{P}([\text{Var}_* \rightarrow \mathbb{Z}]) \rightarrow [\text{Var}_* \rightarrow \mathbb{Z} \cup \{\perp, \top\}]$$

$$\alpha_{\text{CP}}(\text{CS}) = \sqcup \{ \beta_{\text{CP}}(\sigma) \mid \sigma \in \text{CS} \} = \sqcup \{ \sigma \mid \sigma \in \text{CS} \}$$

◆ Soundness

$$\alpha_{\text{CP}}(\text{Reach}(v)) \sqsubseteq \text{df}(v)$$

◆ Completeness

The Concretization Function

- ◆ Map constants into collecting states

- ◆ The formal meaning of constants

- ◆ The concretization

$$\gamma_{\text{CP}}: [\text{Var}_* \rightarrow Z \cup \{\perp, \tau\}] \rightarrow \mathcal{P}([\text{Var}_* \rightarrow Z])$$

$$\gamma_{\text{CP}}(\text{df}) = \{\sigma \mid \beta_{\text{CP}}(\sigma) \sqsubseteq \text{df}\} = \{\sigma \mid \sigma \sqsubseteq \text{df}\}$$

- ◆ Soundness

$$\text{Reach}(v) \subseteq \gamma_{\text{CP}}(\text{df}(v))$$

- ◆ Completeness

Galois Connection Constant Propagation

- ◆ α_{CP} is monotone
- ◆ γ_{CP} is monotone
- ◆ $\forall df \in [\text{Var}_* \rightarrow \mathbf{Z} \cup \{\perp, \top\}]$
 - $\alpha_{CP}(\gamma_{CP}(df)) \sqsubseteq df$
- ◆ $\forall c \in \mathbf{P}([\text{Var}_* \rightarrow \mathbf{Z}])$
 - $c_{CP} \sqsubseteq \gamma_{CP}(\alpha_{CP}(C))$

Upper Closures

- ◆ Define abstractions on sets of concrete states
- ◆ $\uparrow: P(\Sigma) \rightarrow P(\Sigma)$ such that
 - \uparrow is monotone, i.e., $X \subseteq Y \rightarrow \uparrow X \subseteq \uparrow Y$
 - \uparrow is extensive, i.e., $\uparrow X \supseteq X$
 - \uparrow is closure, i.e., $\uparrow(\uparrow X) = \uparrow X$
- ◆ Every Galois connection defines an upper closure

Proof of Soundness

- ◆ Define an “appropriate” operational semantics
- ◆ Define “collecting” operational semantics by pointwise extension
- ◆ Establish a Galois connection between collecting states and abstract states
- ◆ (Local correctness) Show that the abstract interpretation of every atomic statement is sound w.r.t. the collecting semantics
- ◆ (Global correctness) Conclude that the analysis is sound

Collecting Semantics

- ◆ The input state is not known at compile-time
- ◆ “Collect” all the states for all possible inputs to the program
- ◆ No lost of precision

A Simple Example Program

$\{[x \mapsto 0, y \mapsto 0, z \mapsto 0]\}$

$z = 3$ $\{[x \mapsto 0, y \mapsto 0, z \mapsto 3]\}$

$x = 1$ $\{[x \mapsto 1, y \mapsto 0, z \mapsto 3]\}$

while $(x > 0)$ ($\{[x \mapsto 1, y \mapsto 0, z \mapsto 3], [x \mapsto 3, y \mapsto 0, z \mapsto 3],$

if $(x = 1)$ then $y = 7$ $\{[x \mapsto 1, y \mapsto 7, z \mapsto 3], [x \mapsto 3, y \mapsto 7, z \mapsto 3]\}$

else $y = z + 4$

$x = 3$ $\{[x \mapsto 1, y \mapsto 7, z \mapsto 3], [x \mapsto 3, y \mapsto 7, z \mapsto 3]\}$

print y $\{[x \mapsto 3, y \mapsto 7, z \mapsto 3]\}$

) $\{[x \mapsto 3, y \mapsto 7, z \mapsto 3]\}$

Another Example

```
x = 0
```

```
while (true) do
```

```
  x = x + 1
```

An “Iterative” Definition

- ◆ Generate a system of monotone equations
- ◆ The least solution is well-defined
- ◆ The least solution is the collecting interpretation
- ◆ But may not be computable

Equations Generated for Collecting Interpretation

◆ Equations for elementary statements

– [skip]

$$CS_{\text{exit}}(l) = CS_{\text{entry}}(l)$$

– [b]

$$CS_{\text{exit}}(l) = \{\sigma : \sigma \in CS_{\text{entry}}(l), \llbracket b \rrbracket \sigma = \text{tt}\}$$

– [x := a]

$$CS_{\text{exit}}(l) = \{(s[x \mapsto \mathbf{A} \llbracket a \rrbracket s]) \mid s \in CS_{\text{entry}}(l)\}$$

◆ Equations for control flow constructs

$CS_{\text{entry}}(l) = \bigcup CS_{\text{exit}}(l')$ l' immediately precedes l
in the control flow graph

◆ An equation for the entry

$$CS_{\text{entry}}(l) = \{\sigma \mid \sigma \in \text{Var}_* \rightarrow \mathbf{Z}\}$$

Specialized Chaotic Iterations

System of Equations

(Collecting Semantics)

$S =$

$$\left\{ \begin{array}{l} \text{CS}_{\text{entry}}[s] = \{\sigma_0\} \\ \text{CS}_{\text{entry}}[v] = \cup \{f(e)(\text{CS}_{\text{entry}}[u]) \mid (u, v) \in E\} \\ \text{where } f(e) = \lambda X. \{ \llbracket \text{st}(e) \rrbracket \sigma \mid \sigma \in X \} \text{ for atomic statements} \end{array} \right\}$$
$$f(e) = \lambda X. \{ \sigma \mid \llbracket b(e) \rrbracket \sigma = \text{tt} \}$$

$$F_S: L^n \rightarrow L^n$$

$$F_S(X)[v] = \cup \{ f(e)[u] \mid (u, v) \in E \}$$

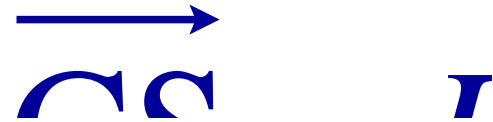
$$\text{lfp}(S) = \text{lfp}(F_S)$$

The Least Solution

- ◆ 2n sets of equations

$$CS_{\text{entry}}(1), \dots, CS_{\text{entry}}(n), CS_{\text{exit}}(1), \dots, CS_{\text{exit}}(n)$$

- ◆ Can be written in vectorial form



- ◆ The least solution $\text{lfp}(F_{cs})$ is well-defined

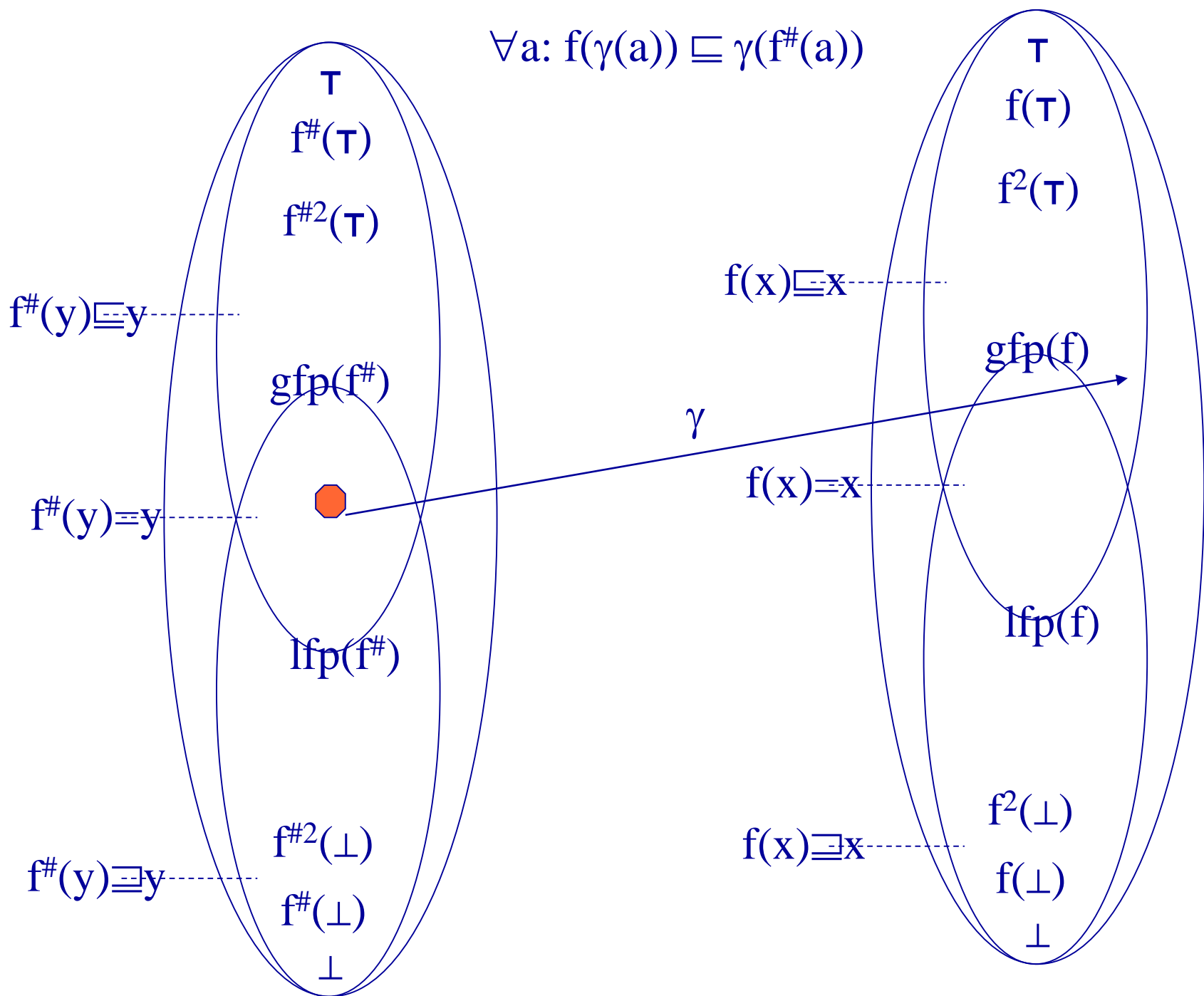
- ◆ Every component is minimal

- ◆ Since F_{cs} is monotone such a solution always exists

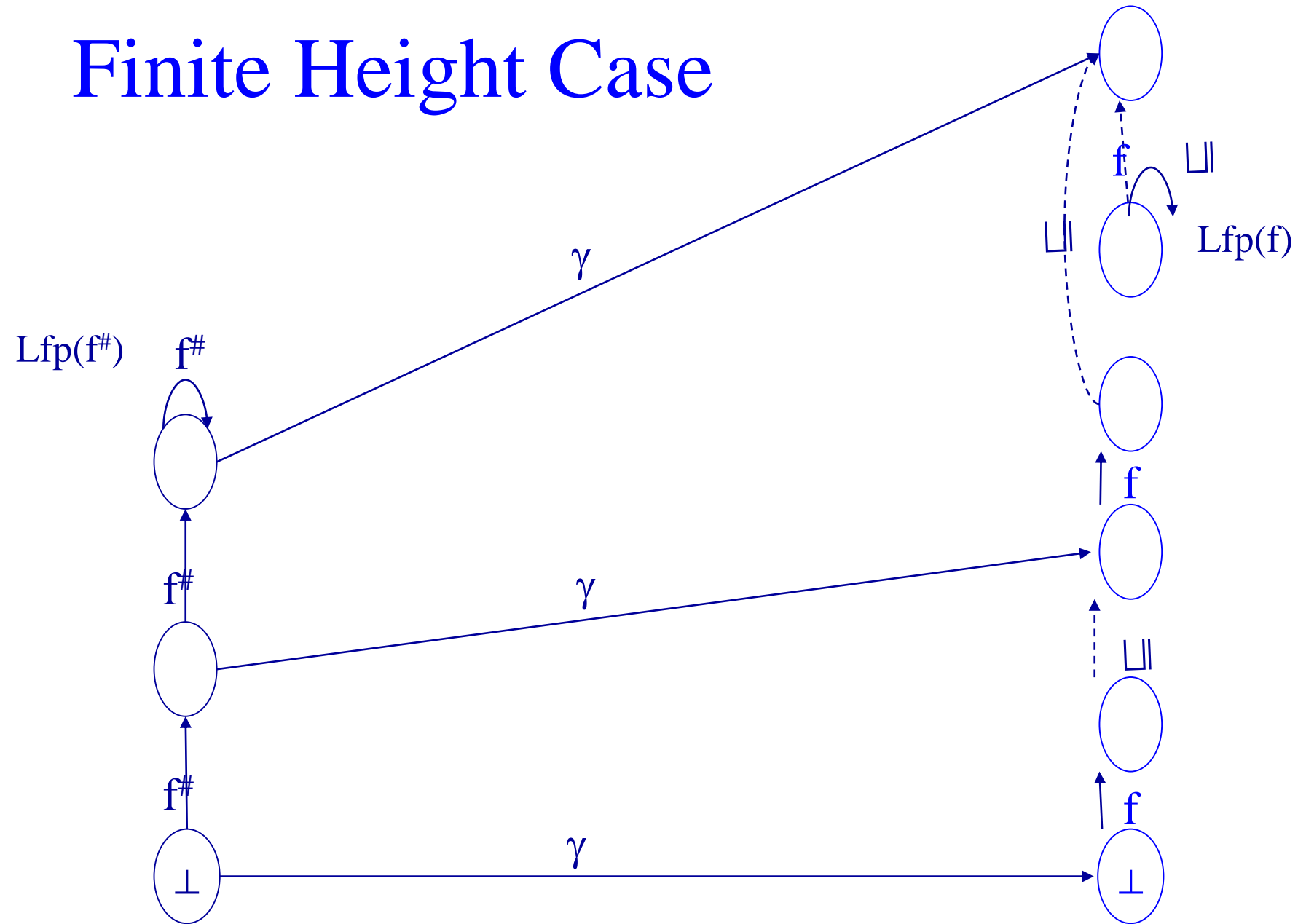
- ◆ $CS_{\text{entry}}(v) = \{s \mid \exists s_0 \langle P, s_0 \rangle \Rightarrow^* (S', s), \text{init}(S')=v\}$

- ◆ Simplify the soundness criteria

$$\forall a: f(\gamma(a)) \sqsubseteq \gamma(f^\#(a))$$



Finite Height Case



Soundness Theorem(1)

1. Let (α, γ) form Galois connection from C to A
2. $f: C \rightarrow C$ be a monotone function
3. $f^\# : A \rightarrow A$ be a monotone function
4. $\forall a \in A: f(\gamma(a)) \sqsubseteq \gamma(f^\#(a))$

$$\text{lfp}(f) \sqsubseteq \gamma(\text{lfp}(f^\#))$$

$$\alpha(\text{lfp}(f)) \sqsubseteq \text{lfp}(f^\#)$$

Soundness Theorem(2)

1. Let (α, γ) form Galois connection from C to A
2. $f: C \rightarrow C$ be a monotone function
3. $f^\# : A \rightarrow A$ be a monotone function
4. $\forall c \in C: \alpha(f(c)) \sqsubseteq f^\#(\alpha(c))$

$$\alpha(\text{lfp}(f)) \sqsubseteq \text{lfp}(f^\#)$$

$$\text{lfp}(f) \sqsubseteq \gamma(\text{lfp}(f^\#))$$

Soundness Theorem(3)

1. Let (α, γ) form Galois connection from C to A
2. $f: C \rightarrow C$ be a monotone function
3. $f^\# : A \rightarrow A$ be a monotone function
4. $\forall a \in A: \alpha(f(\gamma(a))) \sqsubseteq f^\#(a)$

$$\alpha(\text{lfp}(f)) \sqsubseteq \text{lfp}(f^\#)$$

$$\text{lfp}(f) \sqsubseteq \gamma(\text{lfp}(f^\#))$$

Proof of Soundness (Summary)

- ◆ Define an “appropriate” operational semantics for atomic statements
- ◆ Define “collecting” operational semantics
- ◆ Establish a Galois connection between collecting states and abstract domains
- ◆ (Local correctness) Show that the abstract interpretation of every atomic statement is sound w.r.t. the collecting semantics
- ◆ (Global correctness) Conclude that the analysis is sound

Completeness

$$\alpha(\text{lfp}(f)) = \text{lfp}(f^\#)$$

$$\text{lfp}(f) = \gamma(\text{lfp}(f^\#))$$

Constant Propagation

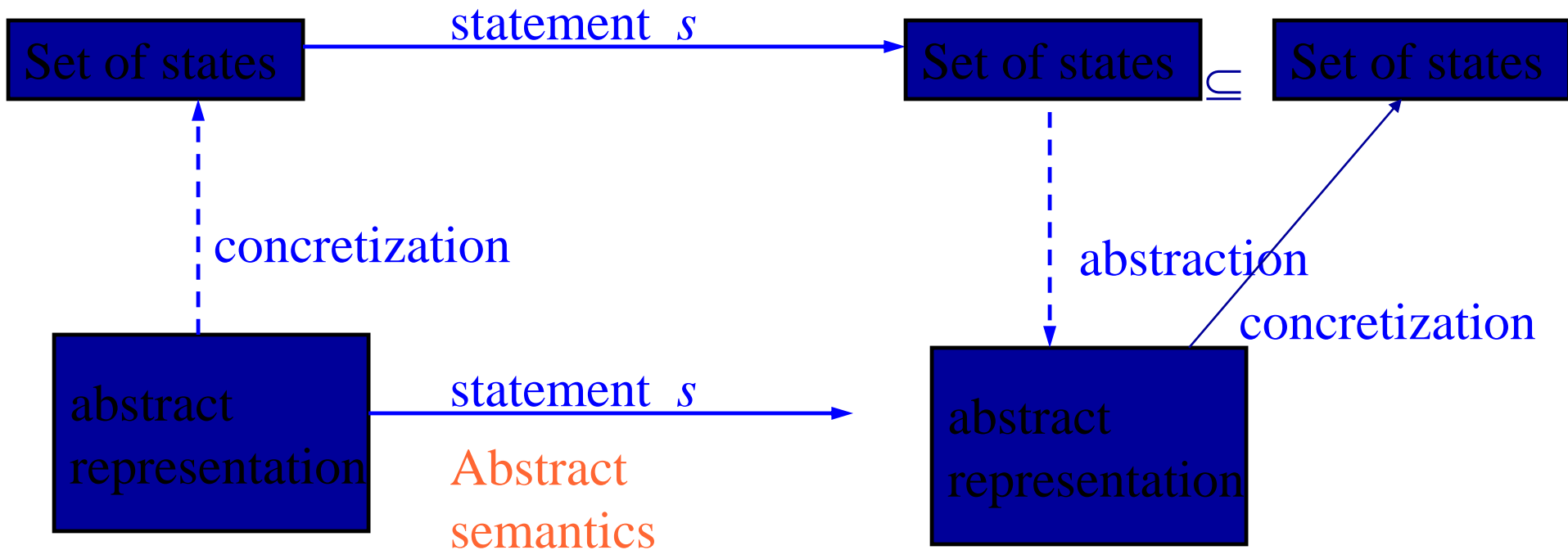
- ◆ $\beta: [\text{Var} \rightarrow Z] \rightarrow [\text{Var} \rightarrow Z \cup \{\top, \perp\}]$
 - $\beta(\sigma) = (\sigma)$
- ◆ $\alpha: P([\text{Var} \rightarrow Z]) \rightarrow [\text{Var} \rightarrow Z \cup \{\top, \perp\}]$
 - $\alpha(X) = \sqcup \{ \beta(\sigma) \mid \sigma \in X \} = \sqcup \{ \sigma \mid \sigma \in X \}$
- ◆ $\gamma: [\text{Var} \rightarrow Z \cup \{\top, \perp\}] \rightarrow P([\text{Var} \rightarrow Z])$
 - $\gamma(\sigma^\#) = \{ \sigma \mid \beta(\sigma) \sqsubseteq \sigma^\# \} = \{ \sigma \mid \sigma \sqsubseteq \sigma^\# \}$
- ◆ Local Soundness
 - $\llbracket \text{st} \rrbracket^\#(\sigma^\#) \sqsupseteq \alpha(\{ \llbracket \text{st} \rrbracket \sigma \mid \sigma \in \gamma(\sigma^\#) \}) = \sqcup \{ \llbracket \text{st} \rrbracket \sigma \mid \sigma \sqsubseteq \sigma^\# \}$
- ◆ Optimality (Induced)
 - $\llbracket \text{st} \rrbracket^\#(\sigma^\#) = \alpha(\{ \llbracket \text{st} \rrbracket \sigma \mid \sigma \in \gamma(\sigma^\#) \}) = \sqcup \{ \llbracket \text{st} \rrbracket \sigma \mid \sigma \sqsubseteq \sigma^\# \}$
- ◆ Soundness
- ◆ Completeness

Proof of Soundness (Summary)

- ◆ Define an “appropriate” structural operational semantics
- ◆ Define “collecting” structural operational semantics
- ◆ Establish a Galois connection between collecting states and reaching definitions
- ◆ (Local correctness) Show that the abstract interpretation of every atomic statement is sound w.r.t. the collecting semantics
- ◆ (Global correctness) Conclude that the analysis is sound

Best (Conservative) interpretation

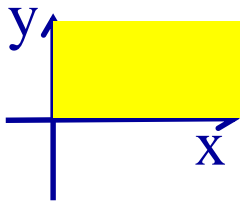
Operational
semantics



Induced Analysis (Relatively Optimal)

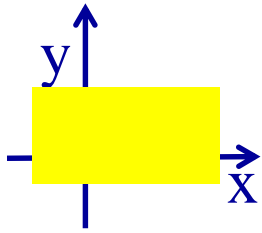
- ◆ It is sometimes possible to show that a given analysis is not only sound but optimal w.r.t. the chosen abstraction
 - but not necessarily optimal!
- ◆ Define
$$\llbracket S \rrbracket^\# (\text{df}) = \alpha(\{\llbracket S \rrbracket \sigma \mid \sigma \in \gamma (\text{df})\})$$
- ◆ But this $\llbracket S \rrbracket^\#$ may not be computable
- ◆ Derive (at compiler-generation time) an alternative form for $\llbracket S \rrbracket^\#$
- ◆ A useful measure to decide if the abstraction must lead to overly imprecise results

Numeric Abstract Domain Examples



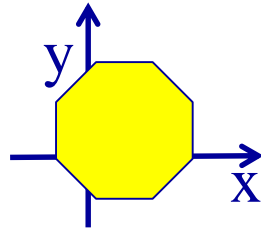
signs

$$x \geq 0$$



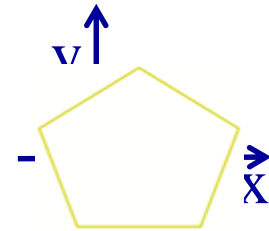
intervals

$$x \in [a, b]$$



octagons

$$\pm x \pm y \leq c$$



polyhedra

$$\sum a_i x_i \leq c$$

Example Dataflow Problem

- ◆ Formal available expression analysis
- ◆ Find out which expressions are available at a given program point

- ◆ Example program

$x = y + t$

$z = y + r$

```
while (...) {  
     $t = t + (y + r)$   
}
```

- ◆ Lattice
- ◆ Galois connection
- ◆ Basic statements
- ◆ Soundness

Example: May-Be-Garbage

- ◆ A variable x may-be-garbage at a program point v if there exists a execution path leading to v in which x 's value is unpredictable:
 - Was not assigned
 - Was assigned using an unpredictable expression
- ◆ Lattice
- ◆ Galois connection
- ◆ Basic statements
- ◆ Soundness

Points-To Analysis

◆ Determine if a pointer variable p may point to q

on some path leading to a program point

◆ “Adapt” other optimizations

– Constant propagation

$x = 5;$

$*p = 7 ;$

... x ...

◆ Pointer aliases

– Variables p and q are *may-aliases* at v if the points-to set at v contains entries (p, x) and (q, x)

◆ Side-effect analysis

$*p = *q + * * t$

The **PWhile** Programming Language

Abstract Syntax

$a := x \mid *x \mid \&x \mid n \mid a_1 \text{ op}_a a_2$

$b := \text{true} \mid \text{false} \mid \text{not } b \mid b_1 \text{ op}_b b_2 \mid a_1 \text{ op}_r a_2$

$S := x := a \mid *x := a \mid \text{skip} \mid S_1 ; S_2 \mid$
if b **then** S_1 **else** $S_2 \mid$ **while** b **do** S

Concrete Semantics 1 for PWhile

$$\text{State1} = [\text{Loc} \rightarrow \text{Loc} \cup \mathbb{Z}]$$

For every atomic statement S

$$\llbracket S \rrbracket : \text{States1} \rightarrow \text{States1}$$

$$\llbracket x := a \rrbracket(\sigma) = \sigma[\text{loc}(x) \mapsto \mathbf{A}\llbracket a \rrbracket \sigma]$$

$$\llbracket x := \&y \rrbracket(\sigma)$$

$$\llbracket x := *y \rrbracket(\sigma)$$

$$\llbracket x := y \rrbracket(\sigma)$$

$$\llbracket *x := y \rrbracket(\sigma)$$

Points-To Analysis

- ◆ Lattice $L_{pt} =$
- ◆ Galois connection

Abstract Semantics for PWhile

- For every atomic statement S

$$\llbracket S \rrbracket^\# : P(\text{Var}^* \times \text{Var}^*) \rightarrow P(\text{Var}^* \times \text{Var}^*)$$

$$\llbracket x := \&y \rrbracket^\#$$

$$\llbracket x := *y \rrbracket^\#$$

$$\llbracket x := y \rrbracket^\#$$

$$\llbracket *x := y \rrbracket^\#$$

t := &a;

y := &b;

z := &c;

if x > 0;

 then p := &y;

 else p := &z;

*p := t;

```
/*  $\emptyset$  */ t := &a; /* {(t, a)} */  
/* {(t, a)} */ y := &b; /* {(t, a), (y, b)} */  
/* {(t, a), (y, b)} */ z := &c; /* {(t, a), (y, b), (z, c)} */  
if x > 0;  
    then p := &y; /* {(t, a), (y, b), (z, c), (p, y)} */  
  
    else p := &z; /* {(t, a), (y, b), (z, c), (p, z)} */  
/* {(t, a), (y, b), (z, c), (p, y), (p, z)} */  
  
*p := t;  
/* {(t, a), (y, b), (y, c), (p, y), (p, z), (y, a), (z, a)} */
```

Flow insensitive points-to-analysis

Steengard 1996

- ◆ Ignore control flow
- ◆ One set of points-to per program
- ◆ Can be represented as a directed graph
- ◆ Conservative approximation
 - Accumulate pointers
- ◆ Can be computed in almost linear time

t := &a;

y := &b;

z := &c;

if x > 0;

 then p := &y;

 else p := &z;

*p := t;

Precision

- ◆ We cannot usually have
 - $\alpha(\text{CS}) = \text{DF}$
on all programs
- ◆ But can we say something about precision in all programs?
- ◆ Precision criteria
 - Join over all paths
 - Induced analysis

Summary

- ◆ Abstract interpretation Connects Abstract and Concrete Semantics
- ◆ Galois Connection
- ◆ Local Correctness
- ◆ Global Correctness

Widening

- ◆ Accelerate the termination of Chaotic iterations by computing a more conservative solution
- ◆ Can handle lattices of infinite heights

Specialized Chaotic Iterations+ ∇

Chaotic($G(V, E)$: Graph, s : Node, L : lattice, ι : L , f : $E \rightarrow (L \rightarrow L)$) {

 for each v in V to n do $df_{\text{entry}}[v] := \perp$

$In[v] = \iota$

$WL = \{s\}$

 while ($WL \neq \emptyset$) do

 select and remove an element $u \in WL$

 for each v , such that. $(u, v) \in E$ do

$temp = f(e)(df_{\text{entry}}[u])$

$new := df_{\text{entry}}(v) \nabla temp$

 if ($new \neq df_{\text{entry}}[v]$) then

$df_{\text{entry}}[v] := new;$

$WL := WL \cup \{v\}$

Example Interval Analysis

- ◆ Find a lower and an upper bound of the value of a variable
- ◆ Usages?
- ◆ Lattice

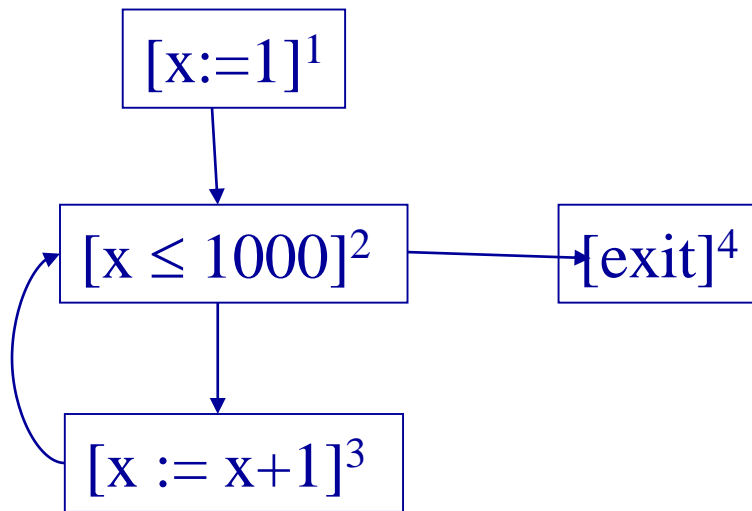
$$L = (\mathbb{Z} \cup \{-\infty, \infty\} \times \mathbb{Z} \cup \{-\infty, \infty\}, \sqsubseteq, \sqcup, \sqcap, \perp, \top)$$

- $[a, b] \sqsubseteq [c, d]$ if $c \leq a$ and $d \geq b$
- $[a, b] \sqcup [c, d] = [\min(a, c), \max(b, d)]$
- $[a, b] \sqcap [c, d] = [\max(a, c), \min(b, d)]$
- $\top =$
- $\perp =$

Example Program

Interval Analysis

```
[x := 1]1 ;  
while [x ≤ 1000]2 do  
  [x := x + 1;]3
```



$\text{IntEntry}(1) = [\text{minint}, \text{maxint}]$

$\text{IntExit}(1) = [1, 1]$

$\text{IntEntry}(2) = \text{IntExit}(1) \sqcup \text{IntExit}(3)$

$\text{IntExit}(2) = \text{IntEntry}(2)$

$\text{IntEntry}(3) = \text{IntExit}(2) \sqcap [\text{minint}, 1000]$

$\text{IntExit}(3) = \text{IntEntry}(3) + [1, 1]$

$\text{IntEntry}(4) = \text{IntExit}(2) \sqcap [1001, \text{maxint}]$

$\text{IntExit}(4) = \text{IntEntry}(4)$

Widening for Interval Analysis

◆ $\perp \nabla [c, d] = [c, d]$

◆ $[a, b] \nabla [c, d] = [$
 if $a \leq c$
 then a
 else $-\infty,$
if $b \geq d$
 then b
 else ∞
]

Example Program

Interval Analysis

```
[x := 1]1 ;  
while [x ≤ 1000]2 do  
  [x := x + 1;]3
```

$$\text{IntEntry}(1) = [-\infty, \infty]$$

$$\text{IntExit}(1) = [1, 1]$$

$$\text{IntEntry}(2) = \text{IntExit}(2) \nabla (\text{IntExit}(1) \sqcup \text{IntExit}(3))$$

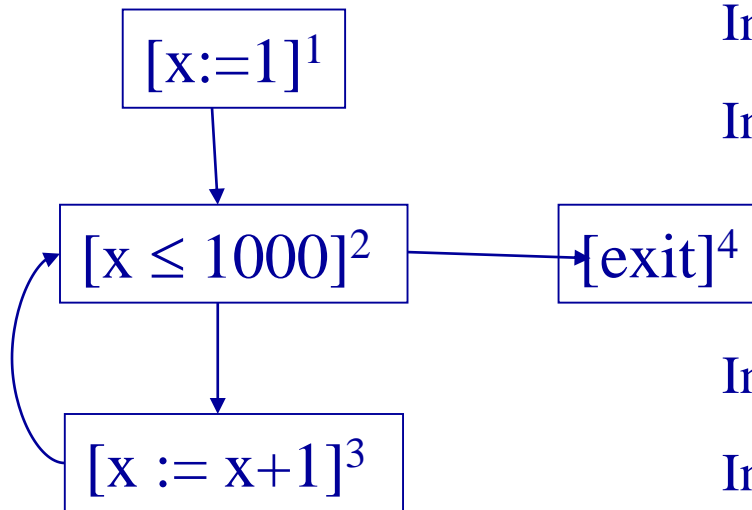
$$\text{IntExit}(2) = \text{IntEntry}(2)$$

$$\text{IntEntry}(3) = \text{IntExit}(2) \sqcap [-\infty, 1000]$$

$$\text{IntExit}(3) = \text{IntEntry}(3) + [1, 1]$$

$$\text{IntEntry}(4) = \text{IntExit}(2) \sqcap [1001, \infty]$$

$$\text{IntExit}(4) = \text{IntEntry}(4)$$



Requirements on Widening

- ◆ For all elements $l_1 \sqcup l_2 \sqsubseteq l_1 \nabla l_2$
- ◆ For all ascending chains
 $l_0 \sqsubseteq l_1 \sqsubseteq l_2 \sqsubseteq \dots$
the following sequence is finite
 - $y_0 = l_0$
 - $y_{i+1} = y_i \nabla l_{i+1}$
- ◆ For a monotonic function
 $f: L \rightarrow L$
define
 - $x_0 = \perp$
 - $x_{i+1} = x_i \nabla f(x_i)$
- ◆ Theorem:
 - There exists k such that $x_{k+1} = x_k$
 - $x_k \in \text{Red}(f) = \{l: l \in L, f(l) \sqsubseteq l\}$

Narrowing

- ◆ Improve the result of widening
- ◆ $y \sqsubseteq x \Rightarrow y \sqsubseteq (x \Delta y) \sqsubseteq x$
- ◆ For all decreasing chains $x_0 \sqsupseteq x_1 \sqsupseteq \dots$
the following sequence is finite
 - $y_0 = x_0$
 - $y_{i+1} = y_i \Delta x_{i+1}$
- ◆ For a monotonic function $f: L \rightarrow L$ and $x \in \text{Red}(f) = \{l: l \in L, f(l) \sqsubseteq l\}$
define
 - $y_0 = x$
 - $y_{i+1} = y_i \Delta f(y_i)$
- ◆ Theorem:
 - There exists k such that $y_{k+1} = y_k$
 - $y_k \in \text{Red}(f) = \{l: l \in L, f(l) \sqsubseteq l\}$

Narrowing for Interval Analysis

◆ $[a, b] \triangle \perp = [a, b]$

◆ $[a, b] \triangle [c, d] = [$
 if $a = -\infty$
 then c
 else $a,$
if $b = \infty$
 then d
 else b
]

Example Program

Interval Analysis

```
[x := 1]1 ;
while [x ≤ 1000]2 do
  [x := x + 1;]3
```

$$\text{IntEntry}(1) = [-\infty, \infty]$$

$$\text{IntExit}(1) = [1, 1]$$

$$\text{IntEntry}(2) = \text{IntExit}(2) \Delta (\text{IntExit}(1) \sqcup \text{IntExit}(3))$$

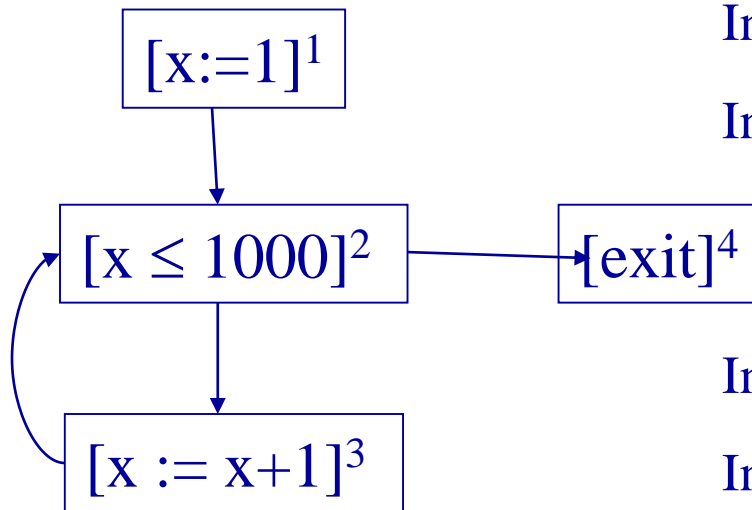
$$\text{IntExit}(2) = \text{IntEntry}(2)$$

$$\text{IntEntry}(3) = \text{IntExit}(2) \sqcap [-\infty, 1000]$$

$$\text{IntExit}(3) = \text{IntEntry}(3) + [1, 1]$$

$$\text{IntEntry}(4) = \text{IntExit}(2) \sqcap [1001, \infty]$$

$$\text{IntExit}(4) = \text{IntEntry}(4)$$



Non Monotonicity of Widening

◆ $[0,1] \nabla [0,2] = [0, \infty]$

◆ $[0,2] \nabla [0,2] = [0,2]$

Widening and Narrowing

Summary

- ◆ Very simple but produces impressive precision
- ◆ Sometimes non-monotonic
- ◆ The McCarthy 91 function
int f(x) $[-\infty, \infty]$
if $x > 100$
then $[101, \infty]$ return $x - 10$ $[91, \infty - 10]$;
else $[-\infty, 100]$ return $f(f(x+11))$ $[91, 91]$;
- ◆ Also useful in the finite case
- ◆ Can be used as a methodological tool

Conclusions

- ◆ Chaotic iterations is a powerful technique
- ◆ Easy to implement
- ◆ Rather precise
- ◆ But expensive
 - More efficient methods exist for structured programs