

# Reaching Distributed Equilibrium with Limited ID Space<sup>\*</sup>

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**Abstract.** We examine the relation between the size of the *id* space and the number of rational agents in a network under which equilibrium in distributed algorithms is possible. When the number of agents in the network is not a-priori known, but the *id* space is limited, a single agent may duplicate to gain an advantage but each duplication involves a risk of being caught. Given an *id* space of size  $L$ , we provide a method of calculating the threshold, the minimal value  $t$  such that agents know that  $n \geq t$ , such that the algorithm is in equilibrium. We apply the method to Leader Election and Knowledge Sharing, and provide a constant-time approximation  $t \approx \frac{L}{5}$  of the threshold for Leader Election.

**Keywords:** Rational agents · Game theory · Leader election.

## 1 Introduction

We consider the model of distributed game theory [2, 1, 3, 5, 8, 4, 9], in which the participants are rational agents, and may deviate from the algorithm when it increases their personal gain. The goal is to design distributed algorithms that are *in equilibrium*, that is, where no agent has an incentive to cheat.

Previous works [3, 5, 8, 4, 9] assumed that  $n$ , the number of agents in the network, is a-priori known to all agents. When  $n$  is not a-priori known, in some distributed algorithms an agent may cheat by duplicating itself (perform a Sybil Attack [7]) in order to gain an advantage. We consider the case where the *id* space is limited and any duplication involves a risk of detection, i.e., guessing an *id* that might already be taken by some other agent.

For the *id*-space  $ID = \{1, 2, \dots, L\}$ , and when all agents a-priori know that  $n$ , the true number of agents in the network, distributes uniformly  $n \sim U[t, L]$ , what is the minimal threshold  $t$  we must provide the agents for the algorithm to reach equilibrium?

## 2 Model

The model is a standard synchronous message-passing model of a 2-vertex connected network of  $n \geq 3$  nodes, each node representing an agent.

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Each agent a-priori know its input (if any), its  $id$ , the  $id$ -space  $\{1, 2, \dots, L\}$  and the threshold  $t \in \mathbb{N}$  s.t  $3 \leq t \leq n \leq L$ . We assume the prior over any unknown information is uniformly distributed over all possible values. We assume all agents start the protocol together. If not, we can use the Wake-Up building block [5] to relax this assumption.

Each rational agent  $\mathcal{A}$  wants to maximize its utility function  $u_{\mathcal{A}} : \mathcal{O} \rightarrow \mathbb{R}$  where  $\mathcal{O}$  is the set of all possible outputs to the algorithm. A rational agent participates in the algorithm but may deviate from it if a deviation increases its *expected* utility, while assuming all other agents follow the protocol.

To differentiate from Byzantine faults, all utility functions must satisfy the *Solution Preference* [5] property, which ensures agents never prefer an outcome in which the algorithm fails over one in which it terminates correctly. An algorithm is said to be *in equilibrium* if no agent, at any point in the algorithm execution, can unilaterally increase its utility by deviating from the algorithm.

## 2.1 Duplication

Since  $n$  is not a-priori known to agents, an agent  $\mathcal{A}$  can deviate by simulating  $m$  imaginary agents. Each duplicated agent must be assigned an  $id$  and duplication involves a risk of choosing an  $id$  that already exists, rendering it non-unique, and causing the algorithm failure. We assume  $m$  and the  $ids$  of all  $m$  duplicated agents must be chosen at round 0, before the algorithm starts.

## 2.2 Leader Election

Each agent  $\mathcal{A}$  outputs  $o_{\mathcal{A}} \in \{0, 1\}$ ,  $o_{\mathcal{A}} = 1$  if  $\mathcal{A}$  was elected leader, and  $o_{\mathcal{A}} = 0$  otherwise. The set of legal output vectors is defined as:  $O_L = \{\underline{o} \mid \exists \mathcal{A} : o_{\mathcal{A}} = 1, \forall \mathcal{A}' \neq \mathcal{A} : o_{\mathcal{A}'} = 0\}$

We assume a *fair* leader election[3] where, at the beginning of the algorithm, each agent has an equal chance to be elected leader, and assume agents prefer to be elected leader.

## 2.3 Knowledge Sharing

In the problem (from [4], adapted from [5]), each agent  $\mathcal{A}$  has a private input  $i_{\mathcal{A}}$  and a function  $q$ , where  $q$  is identical at all agents. An output is *legal* if all agents output the same value. An output is *correct* if all agents output  $q(I)$  where  $I = \{i_1, \dots, i_n\}$ . The function  $q$  satisfies the Full Knowledge property[5, 4], which states that when one or more input values are not known, any output in the range of  $q$  is *equally* possible. We assume that each agent  $\mathcal{A}$  prefers a certain output value  $p_{\mathcal{A}}$ . Following [4], in this paper we only discuss Knowledge Sharing in ring graphs.

### 3 Solution Basis

Equation 1 defines the necessary condition for equilibrium in the distributed problem in the presence of rational agents:

$$\sum_{k=t}^L e_0(k) \geq \max_m \sum_{k=t}^{L-m} p_m(k) e_m(k) \quad (1)$$

Where  $e_m(k)$  is the expected utility of an agent simulating  $m$  false duplicates, when  $k$  true agents participate in the network;  $p_m(k)$  is the probability of successfully choosing  $m$  ids that are not yet taken, generally  $p_m(k) = \frac{\binom{L-k}{m}}{\binom{L-1}{m}}$ . We are interested in the minimal threshold  $t$  that satisfies Equation 1, and it can be calculated in  $O(L^3)$  running time, by trying all values for  $t$ .

#### 3.1 Enhancements

*Linear Threshold* For most algorithms there exists  $L_0$  such that for any  $L > L_0$ , there exists a pivot value  $t_0$  such that for any  $t \geq t_0$  the algorithm is in equilibrium, and for any  $t < t_0$  it is not in equilibrium. In such cases we can use binary search to improve the running time to  $O(L^2 \log L)$ .

*Limited Duplications* For some algorithms there exists a specific duplication number  $m'$ , such that if there exists  $m$  for which agent has an incentive to deviate, then it also has an incentive to deviate with  $m'$  duplications. For such algorithms we only need to examine a single duplication value, improving the running time to  $O(L^2)$ .

For algorithms that satisfy both enhancements, the running time is improved to  $O(L \log L)$ .

## 4 Contributions

Here we summarize our contributions. Details and full proofs are provided in the full paper [6].

#### 4.1 Leader Election

The Leader Election algorithm [3, 5] satisfies both enhancements. Thus, the minimal threshold can be found in  $O(L \log L)$  time.

Particularly, whenever an agent has an incentive to deviate by duplicating  $m$  agents, it also has an incentive to deviate by duplicating 1 agent. Thus, to check for equilibrium it suffices to check the case  $m = 1$ .

Furthermore, we prove a constant-time approximation of the Leader Election threshold that shows the minimal threshold  $t$  for equilibrium is in the range  $0.2L < t < 0.21L$ .

## 4.2 Knowledge Sharing

The Knowledge Sharing algorithm [5, 4] (in a ring) satisfies only the "Linear Threshold" enhancement. Thus, the minimal threshold can be found in  $O(L^2 \log L)$  time.

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