# Foundation of Cryptography (0368-4162-01), Lecture 1 <br> <br> One Way Functions 

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November 1-8, 2011

## Section 1

## Notation

## Notation I

- For $t \in \mathbb{N}$, let $[t]:=\{1, \ldots, t\}$.
- Given a string $x \in\{0,1\}^{*}$ and $0 \leq i<j \leq|x|$, let $x_{i, \ldots, j}$ stands for the substring induced by taking the $i, \ldots, j$ bit of $x$ (i.e., $x[i] \ldots, x[j]$ ).
- Given a function $f$ defined over a set $\mathcal{U}$, and a set $\mathcal{S} \subseteq \mathcal{U}$, let $f(\mathcal{S}):=\{f(x): x \in \mathcal{S}\}$, and for $y \in f(\mathcal{U})$ let $f^{-1}(y):=\{x \in \mathcal{U}: f(x)=y\}$.
- poly stands for the set of all polynomials.
- The worst-case running-time of a polynomial-time algorithm on input $x$, is bounded by $p(|x|)$ for some $p \in$ poly.
- A function is polynomial-time computable, if there exists a polynomial-time algorithm to compute it.


## Notation II

- PPT stands for probabilistic polynomial-time algorithms.
- A function $\mu: \mathbb{N} \mapsto[0,1]$ is negligible, denoted $\mu(n)=\operatorname{neg}(n)$, if for any $p \in$ poly there exists $n^{\prime} \in \mathbb{N}$ with $\mu(n) \leq 1 / p(n)$ for any $n>n^{\prime}$.


## Distribution and random variables I

- The support of a distribution $P$ over a finite set $\mathcal{U}$, denoted Supp $(P)$, is defined as $\{u \in \mathcal{U}: P(u)>0\}$.
- Given a distribution $P$ and en event $E$ with $\operatorname{Pr}_{P}[E]>0$, we let $(P \mid E)$ denote the conditional distribution $P$ given $E$ (i.e., $\left.(P \mid E)(x)=\frac{D(x) \wedge E}{\operatorname{Pr} P[E]}\right)$.
- For $t \in \mathbb{N}$, let let $U_{t}$ denote a random variable uniformly distributed over $\{0,1\}^{t}$.
- Given a random variable $X$, we let $x \leftarrow X$ denote that $x$ is distributed according to $X$ (e.g., $\operatorname{Pr}_{x \leftarrow X}[x=7]$ ).
- Given a final set $\mathcal{S}$, we let $x \leftarrow \mathcal{S}$ denote that $x$ is uniformly distributed in $\mathcal{S}$.


## Distribution and random variables II

- We use the convention that when a random variable appears twice in the same expression, it refers to a single instance of this random variable. For instance, $\operatorname{Pr}[X=X]=1$ (regardless of the definition of $X$ ).
- Given distribution $P$ over $\mathcal{U}$ and $t \in \mathbb{N}$, we let $P^{t}$ over $\mathcal{U}^{t}$ be defined by $D^{t}\left(x_{1}, \ldots, x_{t}\right)=\prod_{i \in[t]} D\left(x_{i}\right)$.
- Similarly, given a random variable $X$, we let $X^{t}$ denote the random variable induced by $t$ independent samples from $X$.


## Section 2

## One Way Functions

## One-Way Functions

## Definition 1 (One-Way Functions (OWFs))

A polynomial-time computable function $f:\{0,1\}^{*} \mapsto f:\{0,1\}^{*}$ is one-way, if for any PPT A

$$
\operatorname{Pr}_{y \leftarrow f\left(U_{n}\right)}\left[\mathrm{A}\left(1^{n}, y\right) \in f^{-1}(y)\right]=\operatorname{neg}(n)
$$

$U_{n}$ : a random variable uniformly distributed over $\{0,1\}^{n}$
polynomial-time computable: there exists a polynomial-time algorithm $F$, such that $F(x)=f(x)$ for every $x \in\{0,1\}^{*}$
PPT : probabilistic polynomial-time algorithm neg: a function $\mu: \mathbb{N} \mapsto[0,1]$ is a negligible function of $n$, denoted $\mu(n)=\operatorname{neg}(n)$, if for any $p \in$ poly there exists $n^{\prime} \in \mathbb{N}$ such that $g(n)<1 / p(n)$ for all $n>n^{\prime}$
We will typically omit $1^{n}$ from the parameter list of $A$
© Is this the right definition?

- Asymptotic
- Efficiently computable
- On the average
- Only against PPT's
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(2) (most) Crypto implies OWFs
(3) Do OWFs imply Crypto?
(1) Where do we find them
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- On the average
- Only against PPT's
(2) (most) Crypto implies OWFs
(3) Do OWFs imply Crypto?
(4) Where do we find them
(5) Non uniform OWFs


## Definition 2 (Non-uniform OWF))

A polynomial-time computable function $f:\{0,1\}^{*} \mapsto\{0,1\}^{*}$ is one-way, if for any polynomial-size family of circuits $\left\{C_{n}\right\}_{n \in \mathbb{N}}$

$$
\operatorname{Pr}_{y \leftarrow f\left(U_{n}\right)}\left[C_{n}(y) \in f^{-1}(y)\right]=\operatorname{neg}(n)
$$

## Length preserving functions

## Definition 3 (length preserving functions)

A function $f:\{0,1\}^{*} \mapsto f:\{0,1\}^{*}$ is length preserving, if $|f(x)|=|x|$ for any $x \in\{0,1\}^{*}$

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## Theorem 4

Assume that OWFs exit, then there exist length-preserving OWFs

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## Theorem 4

Assume that OWFs exit, then there exist length-preserving OWFs

Proof idea: use the assumed OWF to create a length preserving one

## Partial domain functions

## Definition 5 (Partial domain functions)

For $m, \ell: \mathbb{N} \mapsto \mathbb{N}$, let $h:\{0,1\}^{m(n)} \mapsto\{0,1\}^{\ell(n)}$ denote a function defined over input lengths in $\{m(n)\}_{n \in \mathbb{N}}$, and maps strings of length $m(n)$ to strings of length $\ell(n)$.

The definition of one-wayness naturally extends to such functions.

## OWFs imply Length Preserving OWFs cont.

Let $f:\{0,1\}^{*} \mapsto\{0,1\}^{*}$ be a OWF, let $p \in$ poly be a bound on its computing-time and assume wig. that $p$ is monotony increasing (can we?).

## Construction 6 (the length preserving function)

Define $g:\{0,1\}^{p(n)} \mapsto\{0,1\}^{p(n)}$ as

$$
g(x)=f\left(x_{1, \ldots, n}\right), 0^{p(n)-\left|f\left(x_{1}, \ldots, n\right)\right|}
$$

Note that $g$ is length preserving and efficient (why?).

## OWFs imply Length Preserving OWFs cont.

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Note that $g$ is length preserving and efficient (why?).

## Claim 7

$g$ is one-way.

## OWFs imply Length Preserving OWFs cont.

Let $f:\{0,1\}^{*} \mapsto\{0,1\}^{*}$ be a OWF, let $p \in$ poly be a bound on its computing-time and assume wlg. that $p$ is monotony increasing (can we?).

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$g$ is one-way.
How can we prove that $g$ is one-way?

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Note that $g$ is length preserving and efficient (why?).

## Claim 7

$g$ is one-way.
How can we prove that $g$ is one-way?
Answer: using reduction

## Proving that $g$ is one-way

Proof:
Assume that $g$ is not one-way. Namely, there exists PPT A a $q \in$ poly and an infinite $\mathcal{I} \subseteq\{p(n): n \in \mathbb{N}\}$, with

$$
\begin{equation*}
\operatorname{Pr}_{y \leftarrow g\left(U_{n}\right)}\left[\mathrm{A}(y) \in g^{-1}(y)\right]>1 / q(n) \tag{1}
\end{equation*}
$$

for any $n \in \mathcal{I}$.

## Proving that $g$ is one-way

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$$

for any $n \in \mathcal{I}$.
We would like to use A for inverting $f$.

## Algorithm 8 (The inverter B)

Input: $1^{n}$ and $y \in\{0,1\}^{*}$.
(1) Let $x=\mathrm{A}\left(1^{p(n)}, y, 0^{p(n)-|y|}\right)$.
(2) Return $x_{1, \ldots, n}$.

## Algorithm 8 (The inverter B)

Input: $1^{n}$ and $y \in\{0,1\}^{*}$.
(1) Let $x=\mathrm{A}\left(1^{p(n)}, y, 0^{p(n)-|y|}\right)$.
(2) Return $x_{1, \ldots, n}$.

## Claim 9

Let $\mathcal{I}^{\prime}:=\{n \in \mathbb{N}: p(n) \in \mathcal{I}\}$. Then
(1) $\mathcal{I}^{\prime}$ is infinite
(2) For any $n \in \mathcal{I}^{\prime}$, it holds that

$$
\operatorname{Pr}_{y \leftarrow g\left(U_{n}\right)}\left[\mathrm{B}(y) \in f^{-1}(y)\right]>1 / q(p(n)) .
$$

in contradiction to the assumed one-wayness of $f . \square$

## Conclusion

## Remark 10

- We directly related the hardness of $f$ to that of $g$
- The reduction is not "security preserving"


## From partial domain functions to all-length functions

## Construction 11

Given a function $f:\{0,1\}^{m(n)} \mapsto\{0,1\}^{\ell(n)}$, $f_{\text {all }}:\{0,1\}^{*} \mapsto\{0,1\}^{*}$ as

$$
f_{\text {all }}(x)=f\left(x_{1, \ldots, k(n)}\right), 0^{n-k(n)}
$$

where $n=|x|$ and $k(n):=\max \left\{m\left(n^{\prime}\right) \leq n: n^{\prime} \in \mathbb{N}\right\}$.

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## Claim 12

Assume that $f$ is a one-way function and that $m$ is monotone, polynomial-time commutable an satisfies $\frac{m(n+1)}{m(n)} \leq p(n)$ for some $p \in$ poly, then $f_{\text {all }}$ is a one-way function. Further, if $f$ is length preserving, then so is $f_{\text {all }}$.

Proof: ?

## Weak One Way Functions

## Definition 13 (weak one-way functions)

A polynomial-time computable function $f:\{0,1\}^{*} \mapsto f:\{0,1\}^{*}$ is $\alpha$-one-way, if

$$
\operatorname{Pr}_{y \leftarrow f\left(U_{n}\right)}\left[\mathrm{A}\left(1^{n}, y\right) \in f^{-1}(y)\right] \leq \alpha(n)
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for any PPT A and large enough $n \in \mathbb{N}$.

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(2) Examples

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for any PPT A and large enough $n \in \mathbb{N}$.
(1) (strong) OWF according to Definition 1, are neg( $n$ )-one-way according to the above definition
(2) Examples
(3) Can we "amplify" weak OWF to strong ones?

## Strong to weak OWFs

## Claim 14

Assume there exists OWFs, then there exist functions that are $\frac{2}{3}$-one-way, but not (strong) one-way

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Proof: let $f$ be a OWF. Define $g(x)=(1, f(x))$ if $x_{1}=1$, and 0 otherwise.

## Weak to Strong OWFs

## Theorem 15

Assume there exists $(1-\alpha)$-weak OWFs with $\alpha(n)>1 / p(n)$ for some $p \in$ poly, then there exists (strong) one-way functions.

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Proof: we assume wig that $f$ is length preserving (can we do so?)

## Construction 16 ( $g$ - the strong one-way function)

Let $t: \mathbb{N} \mapsto \mathbb{N}$ be a polynomial-time computable function satisfying $t(n) \in \omega(\log n / \alpha(n))$. Define $g:\left(\{0,1\}^{n}\right)^{t(n)} \mapsto\left(\{0,1\}^{n}\right)^{t(n)}$ as

$$
g\left(x_{1}, \ldots, x_{t}\right)=f\left(x_{1}\right), \ldots, f\left(x_{t}\right)
$$

## Weak One Way Functions

## Weak to Strong OWFs

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## Claim 17

$g$ is one-way.

## Proving that $g$ is one-way - the naive approach

Let A be a potential inverter for $g$, and assume that A tries to attacks each of the $t$ outputs of $g$ independently. Then

$$
\operatorname{Pr}_{y \leftarrow g\left(U_{n}^{t(n)}\right)}\left[\mathrm{A}(y) \in g^{-1}(y)\right] \leq(1-\alpha(n))^{t(n)} \leq e^{-\omega(\log n)}=\operatorname{neg}(n)
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A less naive approach would be to assume that A goes over output sequentially.

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A less naive approach would be to assume that A goes over output sequentially.
Unfortunately, we can assume none of the above.

## Failing Sets

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## Definition 18 (failing set)

A function $f:\{0,1\}^{n} \mapsto\{0,1\}^{\ell(n)}$ has a $(\delta(n), \varepsilon(n))$-failing set for A, if for large enough $n$, exists set $\mathcal{S}(n) \subseteq\{0,1\}^{\ell(n)}$ with
(1) $\operatorname{Pr}\left[f\left(U_{n}\right) \in \mathcal{S}(n)\right] \geq \delta(n)$, and
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## Claim 19

Let $f$ be a $(1-\alpha)$-OWF. Then $f$ has $(\alpha(n) / 2,1 / p(n))$-failing set for any PPT A and $p \in$ poly.

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## Claim 19

Let $f$ be a $(1-\alpha)$-OWF. Then $f$ has $(\alpha(n) / 2,1 / p(n))$-failing set for any PPT A and $p \in$ poly.

Proof: Assume $\exists$ PPT A, a $p \in$ poly and an infinite set $\mathcal{I} \subseteq \mathbb{N}$ such that for every $n \in \mathcal{I}, \exists \mathcal{L}(n) \subseteq\{0,1\}^{n}$ with
(1) $\operatorname{Pr}\left[f\left(U_{n}\right) \in \mathcal{L}(n)\right] \geq 1-\alpha(n) / 2$, and
(2) $\operatorname{Pr}\left[\mathrm{A}(y) \in f^{-1}(y)\right] \geq 1 / p(n)$, for every $y \in \mathcal{L}(n)$

We'll use A to contradict the hardness of $f$.

## One Way Functions

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## Weak One Way Functions

## Using A to invert $f$

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## Algorithm 20 (The inverter B)

Input: $y \in\{0,1\}^{n}$.
Do (with fresh randomness) for $n p(n)$ times:
If $x=\mathrm{A}(y) \in f^{-1}(y)$, return $x$
Clearly, $B$ is a PPT

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Do (with fresh randomness) for $n p(n)$ times:
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Clearly, $B$ is a PPT

## Claim 21

For every $n \in \mathcal{I}$, it holds that
$\operatorname{Pr}_{y \leftarrow f\left(U_{n}\right)}\left[\mathrm{B}(y) \in f^{-1}(y)\right]>1-\alpha(n)$
Hence, $f$ is not $(1-\alpha(n))$-one-way $\square$

Proof of Claim 21(all probabilities below are also over $\left.y \leftarrow f\left(U_{n}\right)\right)$ :

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& \quad=\operatorname{Pr}[y \in \mathcal{L}(n)] \cdot \operatorname{Pr}\left[\mathrm{B}(y) \in f^{-1}(y) \mid y \in \mathcal{L}(n)\right]
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& \quad \geq(1-\alpha(n) / 2) \cdot\left(1-(1-1 / p(n))^{n p(n)}\right)
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$$

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& \quad \geq \operatorname{Pr}\left[\mathrm{B}(y) \in f^{-1}(y) \wedge y \in \mathcal{L}(n)\right] \\
& \quad=\operatorname{Pr}[y \in \mathcal{L}(n)] \cdot \operatorname{Pr}\left[\mathrm{B}(y) \in f^{-1}(y) \mid y \in \mathcal{L}(n)\right] \\
& \quad \geq(1-\alpha(n) / 2) \cdot\left(1-(1-1 / p(n))^{n p(n)}\right) \\
& \quad \geq(1-\alpha(n) / 2) \cdot\left(1-2^{-n}\right)>1-\alpha(n) . \square
\end{aligned}
$$

## Proving that $g$ is one-way

We show that if $g$ is not OWF, then $f$ has no flailing-set of the "right" type.

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## Claim 22

Assume $\exists$ PPT A, $p \in$ poly and an infinite set $\mathcal{I} \subseteq \mathbb{N}$ s.t.

$$
\begin{equation*}
\operatorname{Pr}_{z \leftarrow g\left(U_{n}^{(n)}\right)}\left[\mathrm{A}(z) \in g^{-1}(z)\right] \geq 1 / p(n) \tag{2}
\end{equation*}
$$

for every $n \in \mathcal{I}$. Then $\exists$ PPT $B$ and $q \in$ poly s.t.

$$
\begin{equation*}
\operatorname{Pr}_{y \leftarrow \mathcal{S}}\left[\mathrm{~B}(y) \in f^{-1}(y)\right] \geq 1 / q(n) \tag{3}
\end{equation*}
$$

for every $n \in \mathcal{I}$ and $\mathcal{S} \subseteq\{0,1\}^{n}$ with $\operatorname{Pr}_{y \leftarrow f\left(U_{n}\right)}[\mathcal{S}] \geq \alpha(n) / 2$.
Namely, $f$ does not have a $(\alpha(n) / 2,1 / q(n))$-failing set.

## Algorithm B

## Algorithm 23 (No failing-set algorithm B)

Input: $y \in\{0,1\}^{n}$.
(1) Choose $z=\left(z_{1}, \ldots, z_{t}\right) \leftarrow g\left(U_{n}^{t}\right)$ and $i \leftarrow[t]$
(2) Set $z^{\prime}=\left(z_{1}, \ldots, z_{i-1}, y, z_{i+1}, \ldots, z_{t}\right)$
(3) Return $\mathrm{A}\left(z^{\prime}\right)_{i}$

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(2) Set $z^{\prime}=\left(z_{1}, \ldots, z_{i-1}, y, z_{i+1}, \ldots, z_{t}\right)$
(3) Return $\mathrm{A}\left(z^{\prime}\right)_{i}$

Fix $n \in \mathcal{I}$ and a set $\mathcal{S} \subseteq\{0,1\}^{n}$ of the right probability. We analyze B's success probability using the following (inefficient) algorithm $\mathrm{B}^{*}$ :

## Algorithm B*

## Definition 24 (Bad)

For $z \in \operatorname{Im}(g)$ (the image of $g$ ), we set $\operatorname{Bad}(z)=1$ iff $\nexists i \in[t]$ with $z_{i} \in \mathcal{S}$.
$B^{*}$ differ from $B$ in the way it chooses $z^{\prime}$ : in case $\operatorname{Bad}(z)=1$, it sets $z^{\prime}=z$. Otherwise, it sets $i$ to an arbitrary index $j \in[t]$ with $z_{j} \in \mathcal{S}$, and sets $z^{\prime}$ as $B$ does with respect to this $i$.

## Algorithm B*

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## Claim 25

$\operatorname{Pr}_{y \leftarrow \mathcal{S}}\left[\mathrm{~B}^{*}(y) \in f^{-1}(y)\right] \geq \frac{1}{p(n)}-\operatorname{neg}(n)$,
and therefore $\operatorname{Pr}_{y \leftarrow \mathcal{S}}\left[\mathrm{~B}(y) \in f^{-1}(y)\right] \geq \frac{1}{t(n) p(n)}-\operatorname{neg}(n) . \square$

Claim 25 follows from the following two claims,

## Claim 26

$\operatorname{Pr}_{z \leftarrow g\left(U_{n}^{t}\right)}[\operatorname{Bad}(z)]=\operatorname{neg}(n)$

## Claim 27

Let $Z=g\left(U_{n}^{t}\right)$ and let $Z^{\prime}$ be the value of $z^{\prime}$ induced by a random execution of $\mathrm{B}^{*}$ on $y \leftarrow\left(f\left(U_{n}\right) \mid f\left(U_{n}\right) \in \mathcal{S}\right)$ ). Then $Z$ and $Z^{\prime}$ are identically distributed.

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\begin{equation*}
\operatorname{Pr}_{y \leftarrow \mathcal{S}}\left[\mathrm{~B}^{*}(y) \in f^{-1}(y)\right] \geq \operatorname{Pr}_{z \leftarrow g\left(U_{n}^{t}\right)}\left[\mathrm{A}(z) \in g^{-1}(z) \wedge \neg \operatorname{Bad}(z)\right] \tag{4}
\end{equation*}
$$

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$$
\begin{align*}
& \operatorname{Pr}_{z \leftarrow g\left(U_{n}^{t}\right)}\left[\mathrm{A}(z) \in g^{-1}(z)\right]  \tag{5}\\
& \leq \operatorname{Pr}\left[\mathrm{A}(z) \in g^{-1}(Z) \wedge \neg \operatorname{Bad}(z)\right]+\operatorname{Pr}[\operatorname{Bad}(z)]
\end{align*}
$$

The claims imply Claim 25.

$$
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$$

It follows that

$$
\begin{aligned}
\operatorname{Pr}_{y \leftarrow \mathcal{S}}\left[\mathrm{~B}^{*}(y) \in f^{-1}(y)\right] & \geq \operatorname{Pr}_{z \leftarrow g\left(U_{n}^{t}\right)}\left[\mathrm{A}(z) \in g^{-1}(z)\right]-\operatorname{neg}(n) \\
& \geq \frac{1}{p(n)}-\operatorname{neg}(n) . \square
\end{aligned}
$$

Proof of Claim $26 ?$

## Proof of Claim 26?

Proof of Claim 27: Consider the following process for sampling
$Z_{i}$ :
(1) Let $\beta=\operatorname{Pr}_{y \leftarrow f\left(U_{n}\right)}[\mathcal{S}]$. Set $\ell_{i}=1 \mathrm{wp} \beta$ and $\ell_{i}=0$ otherwise.
(2) If $\ell_{i}=1$, let $y \leftarrow\left(f\left(U_{n}\right) \mid f\left(U_{n}\right) \in \mathcal{S}\right)$. Otherwise, set $y \leftarrow\left(f\left(U_{n}\right) \mid f\left(U_{n}\right) \notin \mathcal{S}\right)$.
It is easy to see that the above process is correct (samples $Z$ correctly).

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It is easy to see that the above process is correct (samples $Z$ correctly).
Now all that B* does, is repeating Step 2 for one of the $i$ 's with
$\ell_{i}=1$ (if such exists) $\square$

## Conclusion

## Remark 28 (hardness amplification via parallel repetition)

- Can we give a more efficient (secure) reduction?


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- Similar theorems for other cryptographic primitives (e.g., Captchas, general protocols)?
What properties of the weak OWF have we used in the proof?

