

**Foundation of Cryptography  
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More on Zero Knowledge**

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## Part I

# Non-Interactive Zero Knowledge

## Interaction is crucial for ZK

### Claim 1

Assume that  $\mathcal{L} \subseteq \{0, 1\}^*$  has a one-message ZK proof (even computational), with standard completeness and soundness,<sup>a</sup> then  $\mathcal{L} \in \text{BPP}$ .

<sup>a</sup>That is, the completeness is  $\frac{2}{3}$  and soundness error is  $\frac{1}{3}$ .

Proof: HW

- 1 To reduce interaction we relax the zero-knowledge requirement
  - 1 Witness Indistinguishability
 
$$\{\langle (P(w_x^1), V^*)(x) \rangle\}_{x \in \mathcal{L}} \approx_c \{\langle (P(w_x^2), V^*)(x) \rangle\}_{x \in \mathcal{L}},$$
 for any  $\{w_x^1: (x, w_x) \in R_{\mathcal{L}}(x)\}_{x \in \mathcal{L}}$  and  $\{w_x^2: (x, w_x) \in R_{\mathcal{L}}(x)\}_{x \in \mathcal{L}}$
  - 2 Witness Hiding
  - 3 Non-interactive "zero knowledge"

## Non-Interactive Zero Knowledge (NIZK)

### Definition 2 (NIZK)

The *non interactive* PPT's  $(P, V)$  is a NIZK for  $\mathcal{L} \in \text{NP}$ , if  $\exists \ell \in \text{poly}$  s.t.

- **Completeness:**

$\Pr_{c \leftarrow \{0,1\}^{\ell(|x|)}} [V(x, c, P(x, w(x), c)) = 1] \geq 2/3$ ,  
where  $w(x) \in R_{\mathcal{L}}(x)$  for any  $x \in \mathcal{L}$  ( $w$  is an arbitrary function)

- **Soundness:**  $\Pr_{c \leftarrow \{0,1\}^{\ell(|x|)}} [V(x, c, P^*(x, c)) = 1] \leq 1/3$ ,  
for any  $P^*$  and  $x \notin \mathcal{L}$

- **ZK:**  $\exists$  PPT  $S$  s.t.

$\{(x, c, P(x, w(x), c))\}_{x \in \mathcal{L}, c \leftarrow \{0,1\}^{\ell(|x|)}} \approx_c \{x, S(x)\}_{x \in \mathcal{L}}$

- $c$  – common (random) reference string (CRS)
- CRS is chosen by the simulator
- What does the definition stand for?

## Section 1

# NIZK in HBM

## Hidden Bits Model (HBM)

A CRS is chosen at random, but only the prover can see it. The prover chooses which bits to reveal as part of the proof.

Let  $c^H$  be the “hidden” CRS:

- Prover sees  $c^H$ , and outputs a proof  $\pi$  and a set on indices  $\mathcal{I}$
- Verifier only sees the bits in  $c^H$  that are indexed by  $\mathcal{I}$
- Simulator outputs a proof  $\pi$ , a set of indices  $\mathcal{I}$  and a partially hidden CRS  $c^H$

Soundness, completeness and ZK are naturally defined.

We give a NIZK for HC - Directed Graph Hamiltonicity, in the HBM, and then transfer it into a NIZK in the standard model.

Implies a (standard model) NIZK for all NP

## Useful Matrix

- Permutation matrix: an  $n \times n$  Boolean matrix, where each row/column contains a single 1
- Hamiltonian matrix: an  $n \times n$  adjacency matrix of a directed graph that consists of a single Hamiltonian cycle (note that this is also a permutation matrix)
- An  $n^3 \times n^3$  Boolean matrix is called *useful*: if it contains a generalized  $n \times n$  Hamiltonian sub matrix, and all the other entries are zeros

### Claim 3

Let  $T$  be a random  $n^3 \times n^3$  Boolean matrix where each entry is 1 w.p  $n^{-5}$ . Hence,  $\Pr[T \text{ is useful}] \in \Omega(n^{-3/2})$ .

## Proving Claim 3

- The expected one entries in  $T$  is  $n^6 \cdot n^{-5} = n$  and by extended Chernoff bound, w.p.  $\theta(1/\sqrt{n})$   $T$  contains *exactly*  $n$  ones.
- Each row/column of  $T$  contain more than a single one entry with probability at most  $\binom{n^3}{2} \cdot n^{-10} < n^{-4}$ . Hence, wp at least  $1 - 2 \cdot n^3 \cdot n^{-4} = 1 - O(n^{-1})$ , no row or column of  $T$  contains more than a single one entry.
- Hence, wp  $\theta(1/\sqrt{n})$  the matrix  $T$  contains a permutation matrix and all its other entries are zero.
- A random permutation matrix forms a cycle wp  $1/n$  (there are  $n!$  permutation matrices and  $(n-1)!$  of them form a cycle)



## NIZK for Hamiltonicity in HBM

- Common input: a directed graph  $G = ([n], E)$
- Common reference string  $T$  viewed as a  $n^3 \times n^3$  Boolean matrix, where each entry is 1 w.p  $n^{-5}$  ??

### Algorithm 4 (P)

Input:  $G$  and a cycle  $C$  in  $G$ . A CRS  $T \in \{0, 1\}_{n^3 \times n^3}$

- 1 If  $T$  not useful, set  $\mathcal{I} = n^3 \times n^3$  (i.e., reveal all  $T$ ) and  $\phi = \perp$   
Otherwise, let  $H$  be the (generalized)  $n \times n$  sub matrix containing the hamiltonian cycle in  $T$ .
- 2 Set  $\mathcal{I} = T \setminus H$  (i.e., , reveal the bits of  $T$  outside of  $H$ )
- 3 Choose  $\phi \leftarrow \Pi_n$ , s.t.  $C$  is mapped to the cycle in  $H$
- 4 Add all the entries in  $H$  corresponding to non edges in  $G$  (with respect to  $\phi$ ) to  $\mathcal{I}$
- 5 Output  $\pi = (\mathcal{I}, \phi)$

## NIZK for Hamiltonicity in HBM cont.

### Algorithm 5 (V)

Input: a graph  $G$ , index set  $\mathcal{I} \subseteq [n^3] \times [n^3]$ , ordered set  $\{T_i\}_{i \in \mathcal{I}}$  and a mapping  $\phi$

- 1 If all the bits of  $T$  are revealed and  $T$  is not useful, accept. Otherwise,
- 2 Verify that  $\exists n \times n$  submatrix  $H \subseteq T$  with all entries in  $T \setminus H$  are zeros.
- 3 Verify that  $\phi \in \Pi_n$ , and that all the entries of  $H$  not corresponding (according to  $\phi$ ) to edges of  $G$  are zeros

### Claim 6

The above protocol is a perfect NIZK for HC in the HBM, with perfect completeness and soundness error  $1 - \Omega(n^{-3/2})$

## Proving Claim 6

- Completeness: Clear
- Soundness: Assume  $T$  is useful and  $V$  accepts. Then  $\phi^{-1}$  maps the unrevealed “edges” of  $H$  to the edges of  $G$ . Hence,  $\phi^{-1}$  maps the cycle in  $H$  to an Hamiltonian cycle in  $G$
- Zero knowledge?

## Algorithm 7 (S)

Input:  $G$

- 1 Choose  $T$  at random, according to the right distribution.
- 2 If  $T$  is not useful, set  $\mathcal{I} = n^3 \times n^3$  and  $\phi = \perp$ . Otherwise,
- 3 Set  $\mathcal{I} = T \setminus H$
- 4 Let  $\phi \leftarrow \Pi_n$ . Replace all the entries of  $H$  not corresponding to edges of  $G$  (according to  $\phi$ ) with zeros
- 5 Add the entries in  $H$  corresponding to non edges in  $G$  to  $\mathcal{I}$
- 6 Output  $\pi = (T, \mathcal{I}, \phi)$

- Perfect simulation for non useful  $T$ 's.
- For useful  $T$ , the location of  $H$  is uniform in the real and simulated case.
- $\phi$  is a random element in  $\Pi_n$  in both cases
- Hence, the simulation is perfect

## Section 2

# From HBM to Standard NIZK

## Trapdoor Permutations

### Definition 8 (trapdoor permutations)

A triplet  $(G, f, \text{Inv})$ , where  $G$  is a PPT, and  $f$  and  $\text{Inv}$  are polynomial-time computable functions, is a family of trapdoor permutation (TDP), if:

- 1 On input  $1^n$ ,  $G(1^n)$  outputs a pair  $(sk, pk)$ .
- 2  $f_{pk} = f(pk, \cdot)$  is a permutation over  $\{0, 1\}^n$ , for every  $n \in \mathbb{N}$  and  $pk \in \text{Supp}(G(1^n)_2)$ .
- 3  $\text{Inv}(sk, \cdot) \equiv f_{pk}^{-1}$  for every  $(sk, pk) \in \text{Supp}(G(1^n))$
- 4 For any PPT  $A$ ,  

$$\Pr_{x \leftarrow \{0,1\}^n, pk \leftarrow G(1^n)_2} [A(pk, x) = f_{pk}^{-1}(x)] = \text{neg}(n)$$

## Hardcore Predicates for Trapdoor Permutations

### Definition 9 (hardcore predicates for TDP)

A polynomial-time computable  $b: \{0, 1\}^n \mapsto \{0, 1\}$  is a hardcore predicate of a TDP  $(G, f, \text{Inv})$ , if

$$\Pr_{e \leftarrow G(1^n)_2, x \leftarrow \{0, 1\}^n} [P(e, f_e(x)) = b(x)] \leq \frac{1}{2} + \text{neg}(n),$$

for any PPT  $P$ .

Goldreich-Levin: any TDP has an hardcore predicate (ignoring padding issues)

## example, RSA

In the following  $n \in \mathbb{N}$  and all operations are modulo  $n$ .

- $\mathbb{Z}_n = [n]$  and  $\mathbb{Z}_n^* = \{x \in [n] : \gcd(x, n) = 1\}$
- $\phi(n) = |\mathbb{Z}_n^*|$  (equals  $(p-1)(q-1)$  for  $n = pq$  with  $p, q \in \mathbb{P}$ )
- For every  $e \in \mathbb{Z}_{\phi(n)}^*$ , the function  $f(x) \equiv x^e$  is a permutation over  $\mathbb{Z}_n^*$ .

In particular,  $(x^e)^d \equiv x \pmod{n}$ , for every  $x \in \mathbb{Z}_n^*$ , where  $d \equiv e^{-1} \pmod{\phi(n)}$

### Definition 10 (RSA)

- $G(p, q)$  sets  $pk = (n = pq, e)$  for some  $e \in \mathbb{Z}_{\phi(n)}^*$ , and  $sk = (n, d \equiv e^{-1} \pmod{\phi(n)})$
- $f(pk, x) = x^e \pmod{n}$
- $\text{Inv}(sk, x) = x^d \pmod{n}$

Factoring is easy  $\implies$  RSA is easy. Other direction?



## The transformation

- Let  $(P_H, V_H)$  be a HBM NIZK for  $\mathcal{L}$ , and let  $\ell(n)$  be the length of the CRS used for  $x \in \{0, 1\}^n$ .
- Let  $(G, f, \text{Inv})$  be a TDP and let  $b$  be an hardcore bit for it. For simplicity we assume  $G(1^n)$  chooses  $(sk, pk)$  as follows
  - 1  $sk \leftarrow \{0, 1\}^n$
  - 2  $pk = PK(sk)$

where  $PK: \{0, 1\}^n \mapsto \{0, 1\}^n$  is a polynomial-time computable function.

We construct a NIZK  $(P, V)$  for  $\mathcal{L}$ , with the same completeness and “not too large” soundness error.

The transformation

# The protocol

## Algorithm 11 (P)

Input:  $x \in \mathcal{L}$ ,  $w \in R_{\mathcal{L}}(x)$  and CRS  $c = (c_1, \dots, c_\ell) \in \{0, 1\}^{n\ell}$ , where  $n = |x|$  and  $\ell = \ell(n)$ .

- 1 Choose  $(sk, pk) \leftarrow G(sk)$  and compute  $c^H = (b(z_1 = f_{pk}^{-1}(c_1)), \dots, b(z_{\ell(n)} = f_{pk}^{-1}(c_\ell)))$
- 2 Let  $(\pi_H, \mathcal{I}) \leftarrow P_H(x, w, c^H)$  and output  $(\pi_H, \mathcal{I}, pk, \{z_i\}_{i \in \mathcal{I}})$

## Algorithm 12 (V)

Input:  $x \in \mathcal{L}$ , CRS  $c = (c_1, \dots, c_\ell) \in \{0, 1\}^{np}$ , and  $(\pi_H, \mathcal{I}, pk, \{z_i\}_{i \in \mathcal{I}})$ , where  $n = |x|$  and  $\ell = \ell(n)$ .

- 1 Verify that  $pk \in \{0, 1\}^n$  and that  $f_{pk}(z_i) = c_i$  for every  $i \in \mathcal{I}$
- 2 Return  $V_H(x, \pi_H, \mathcal{I}, c^H)$ , where  $c_i^H = b(z_i)$  for every  $i \in \mathcal{I}$ .

**Claim 13**

Assuming that  $(P_H, V_H)$  is a NIZK for  $\mathcal{L}$  in the HBM with soundness error  $2^{-n} \cdot \alpha$ , then  $(P, V)$  is a NIZK for  $\mathcal{L}$  with the same completeness, and soundness error  $\alpha$ .

Proof: Assume for simplicity that  $b$  is unbiased (i.e.,  $\Pr[b(U_n) = 1] = \frac{1}{2}$ ).

For every  $pk \in \{0, 1\}^n$ :  $\left( b(f_{pk}^{-1}(c_1)), \dots, b(f_{pk}^{-1}(c_\ell)) \right)_{c \leftarrow \{0, 1\}^{n\ell}}$  is uniformly distributed in  $\{0, 1\}^\ell$ .

- Completeness: clear
- Soundness: follows by a union bound over all possible choice of  $pk \in \{0, 1\}^n$ .
- Zero knowledge:?

## Proving zero knowledge

### Algorithm 14 (S)

Input:  $x \in \{0, 1\}^n$  of length  $n$ .

- Let  $(\pi_H, \mathcal{I}, c^H) = S_H(x)$ , where  $S_H$  is the simulator of  $(P_H, V_H)$
  - Output  $(c, (\pi_H, \mathcal{I}, pk, \{z_i\}_{i \in \mathcal{I}}))$ , where
    - $pk \leftarrow G(U_n)$
    - Each  $z_i$  is chosen at random in  $\{0, 1\}^n$  such that  $b(z_i) = c_i^H$
    - $c_i = f_{pk}(z_i)$  for  $i \in \mathcal{I}$ , and a random value in  $\{0, 1\}^n$  otherwise.
- 
- Exists efficient  $M$  s.t.  $M(S_H(x)) \equiv S(x)$  and  $M(P_H(x, w_x)) \approx_c P(x, w_x)$
  - Distinguishing  $P(x, w_x)$  from  $S(x)$  is hard

## Section 3

# Adaptive NIZK

## Adaptive NIZK

$x$  is chosen *after* the CRS.

- **Completeness:**  $\forall f: \{0, 1\}^{\ell(n)} \mapsto \mathcal{L} \cap \{0, 1\}^n$ :  
 $\Pr_{c \leftarrow \{0, 1\}^{\ell(n)}} [V(f(c), c, P(f(c)), w(f(c)), c)) = 1] \geq 2/3$
- **Soundness:**  $\forall f: \{0, 1\}^{\ell(n)} \mapsto \{0, 1\}^n$  and  $P^*$   
 $\Pr_{c \leftarrow \{0, 1\}^{\ell(n)}} [V(f(c), c, P^*(c)) = 1 \wedge f(c) \notin \mathcal{L}] \leq 1/3$
- **ZK:**  $\exists$  pair of PPT's  $(S_1, S_2)$  s.t.  $\forall f: \{0, 1\}^{\ell(n)} \mapsto \mathcal{L} \cap \{0, 1\}^n$

$$\{(f(c), c, P(f(c)), w(f(c)), c \leftarrow \{0, 1\}^{\ell(n)})\}_{n \in \mathbb{N}} \approx_c \{S^f(n)\}_{n \in \mathbb{N}}.$$

where  $S^f(n)$  is the output of the following process

- 1  $(c, s) \leftarrow S_1(1^n)$
- 2  $x = f(c)$
- 3 Output  $(x, c, S_2(x, c, s))$

- Adaptive completeness and soundness are easy to achieve from any non-adaptive NIZK.
- Not every NIZK is adaptive (but the above protocol is).

### Theorem 15

*Assume TDP exist, then every NP language has an adaptive NIZK with perfect completeness and negligible soundness error.*

In the following, when saying adaptive NIZK, we mean negligible completeness and soundness error.

## Section 4

# Simulation Sound NIZK



## Simulation Soundness

A NIZK system  $(P, V)$  for  $\mathcal{L}$  has *(one-time) simulation soundness*, if  $\exists$  a pair of PPT's  $S = (S_1, S_2)$  satisfying the ZK property of  $P$  with respect to  $\mathcal{L}$ , such that the following holds  $\forall$  pair of PPT's  $(P_1^*, P_2^*)$ : let

### Experiment 16 ( $\text{Exp}_{V,S,P^*}^n$ )

- 1  $(c, s) \leftarrow S_1(1^n)$
- 2  $(x, p) \leftarrow P_1^*(1^n, c)$
- 3  $\pi \leftarrow S_2(x, c, s)$
- 4  $(x', \pi') \leftarrow P_2^*(p, \pi)$
- 5 Output  $(c, x, \pi, x', \pi')$

We require  $\Pr[(r, x, \pi, x', \pi') \leftarrow \text{Exp}_{V,S,P^*}^n : x' \notin \mathcal{L} \wedge V(x', \pi', c) = 1 \wedge (x', \pi') \neq (x, \pi)] = \text{neg}(n)$ .

- Even for  $x \notin \mathcal{L}$ , hard to generate additional false proofs
- Definition only considers efficient provers
- $(P, V)$  might be adaptive or non-adaptive
- Adaptive NIZK guarantees weak type of simulation soundness
- Does the adaptive NIZK we seen in class have simulation soundness?

## Construction

We present a simulation sound NIZK  $(P, V)$  for  $\mathcal{L} \in \text{NP}$

### Ingredients:

- 1 Strong signature scheme  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  (one time suffice)
- 2 Non-interactive, perfectly-binding commitment Com
  - Pseudorandom range: for some  $\ell \in \text{poly}$ 

$$\{\text{Com}(s, r \leftarrow \{0, 1\}^{\ell(|s|)})\}_{s \in \{0, 1\}^*} \approx_c \{u \leftarrow \{0, 1\}^{\ell(|s|)}\}_{s \in \{0, 1\}^*}$$
 \* implied by OWP (or TDP)
  - Negligible support: a random string is a valid commitment only with negligible probability.
    - \* achieved from any commitment scheme by committing to the same value many times
- 3 Adaptive NIZK  $(P_A, V_A)$  for
 
$$\mathcal{L}_A := \{(x, c, s) : x \in \mathcal{L} \vee \exists z \in \{0, 1\}^* : c = \text{Com}(s, z)\}$$
 \*adaptive WI suffices

### Algorithm 17 (P)

**Input:**  $x \in \mathcal{L}$  and  $w \in R_{\mathcal{L}}(x)$ , and CRS  $r = (r_1, r_2)$

- 1  $(sk, vk) \leftarrow \text{Gen}(1^{|x|})$
- 2  $\pi_A \leftarrow P_A((x, r_1, vk), w, r_2)$
- 3  $\sigma \leftarrow \text{Sign}_{sk}(x, \pi_A)$
- 4 Output  $\pi = (vk, \pi_A, \sigma)$

### Algorithm 18 (V)

**Input:**  $x \in \{0, 1\}^*$ ,  $\pi = (vk, \pi_A, \sigma)$  and a CRS  $r = (r_1, r_2)$

Verify that  $\text{Vrfy}_{vk}((x, \pi), \sigma) = 1$  and  $V_A((x, r_1, vk), r_2, \pi_A) = 1$

### Claim 19

The proof system (P, V) is an adaptive NIZK for  $\mathcal{L}$  with one-time simulation soundness.

## Proving Claim 19

- **Adaptive Completeness:** Clear
- **Adaptive ZK:**
  - $S_1(1^n)$ :
    - 1 Let  $(sk, vk) \leftarrow \text{Gen}(1^n)$ ,  $z \leftarrow \{0, 1\}^{\ell(n)}$  and  $r_1 = \text{Com}(vk, z)$ .
    - 2 Output  $(r = (r_1, r_2), s = (z, sk, vk))$ , where  $r_2$  is chosen uniformly at random
  - $S_2(x, r, s = (z, sk, vk))$ :
    - 1 let  $\pi_A \leftarrow P_A((x, r_1, vk), z, r_2)$
    - 2  $\sigma \leftarrow \text{Sign}_{sk}(x, \pi_A)$
    - 3 Output  $\pi = (vk, \pi_A, \sigma)$

Proof follows by the adaptive WI of  $(P_A, V_A)$  and the pseudorandomness of Com
- **Adaptive soundness:** Implicit in the proof of simulation soundness, given below

## Proving simulation soundness

Let  $P^* = (P_1^*, P_2^*)$  be a pair of PPT's attacking the simulation soundness of  $(V, S)$  with respect to  $\mathcal{L}$ , and let  $r = (r_1, r_2)$ ,  $x$ ,  $\pi$ ,  $x'$  and  $\pi' = (vk', \pi'_A, \sigma')$  be the values generated by a random execution of  $\text{Exp}_{V,S,P^*}^n$ .

Assuming  $\text{Vrfy}_{vk'}((x', \pi'_A), \sigma') = 1$ ,  $x' \notin \mathcal{L}$  and  $(x', \pi') \neq (x, \pi)$ , then with save but negligible probability:

- $vk'$  is not the signing key in  $\pi$
- $\nexists z \in \{0, 1\}^*$  s.t.  $r_1 = \text{Com}(vk', z)$
- $x'_A = (x', r_1, vk') \notin \mathcal{L}_A$

Since  $r_2$  was chosen at random by  $S_1$ , the adaptive soundness of  $(P_A, V_A)$  yields that  $\Pr[V_A(x'_A, r_2, \pi'_A) = 1] = \text{neg}(n)$ .

## Part II

# Proof of Knowledge

## Proof of Knowledge

The protocol  $(P, V)$  is a *proof of knowledge* for  $\mathcal{L} \in \text{NP}$ , if  $P$  convinces  $V$  to accept  $x$ , only if it “knows”  $w \in R_{\mathcal{L}}(x)$ .

### Definition 20 (knowledge extractor)

Let  $(P, V)$  be an interactive proof  $\mathcal{L} \in \text{NP}$ . A probabilistic machine  $E$  is a knowledge extractor for  $(P, V)$  and  $R_{\mathcal{L}}$  with error  $\eta: \mathbb{N} \mapsto \mathbb{R}$ , if  $\exists t \in \text{poly}$  s.t.  $\forall x \in \mathcal{L}$  and deterministic algorithm  $P^*$ ,  $E^{P^*}(x)$  runs in expected time bounded by  $\frac{t(|x|)}{\delta(x) - \eta(|x|)}$  and outputs  $w \in R_{\mathcal{L}}(x)$ , where  $\delta(x) = \Pr[(P^*, V)(x) = 1]$ .

If  $(P, V)$  is a proof of knowledge (with error  $\eta$ ), is it has a knowledge extractor with such error.

- A property of  $V$
- Why do we need it? Proving that you know the password
- Relation to ZK



## Examples

### Claim 21

The ZK proof we've seen in class for GI, has a knowledge extractor with error  $\frac{1}{2}$ .

Proof: ?

### Claim 22

The ZK proof we've seen in class for 3COL, has a knowledge extractor with error  $\frac{1}{|E|}$ .

Proof: ?