# Foundation of Cryptography (0368-4162-01), Lecture 3

**Hardcore Predicates for Any One-way Function** 

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#### **Hardcore Predicates**

# **Definition 1 (hardcore predicates)**

A polynomial-time computable function  $b: \{0,1\}^n \mapsto \{0,1\}$  is an hardcore predicate of the function  $f: \{0,1\}^n \mapsto \{0,1\}^n$ , if

$$\Pr_{x \leftarrow \{0,1\}^n}[\mathsf{P}(f(x)) = b(x)] \le \frac{1}{2} + \mathsf{neg}(n),$$

for any PPT P.

# Theorem 2 (Goldreich-Levin)

Let  $f: \{0,1\}^n \mapsto \{0,1\}^n$  be a OWF, and define  $g: \{0,1\}^n \times \{0,1\}^n \mapsto \{0,1\}^n \times \{0,1\}^n$  as g(x,r) = f(x), r. Then  $b(x,r) = \langle x,r \rangle_2$ , is an hardcore predicate of g.

Note that if f is one-to-one, then so is g.

# Section 1

# **The Information Theoretic Case**

# **Definition 3 (min-entropy)**

The min entropy of a random variable X, is defined

$$\mathsf{H}_{\infty}(X) := \min_{y \in \mathsf{Supp}(X)} \log \frac{1}{\mathsf{Pr}_X[y]}.$$

#### Examples

- X is uniform over a set of size 2<sup>k</sup>
- $(X \mid f(X) = y)$ , where  $f: \{0,1\}^n \mapsto \{0,1\}^n$  is  $2^k$  to 1 and X is uniform over  $\{0,1\}^n$

Pairwise independent hashing

# Pairwise independent hashing

# **Definition 4 (pairwise independent hash functions)**

A function family  $\mathcal{H}$  from  $\{0,1\}^n$  to  $\{0,1\}^m$  is pairwise independent, if for every  $x \neq x' \in \{0,1\}^n$  and  $y,y' \in \{0,1\}^m$ , it holds that  $\Pr_{h \leftarrow \mathcal{H}}[h(x) = y \land h(x') = y')] = 2^{-2m}$ .

# Lemma 5 (leftover hash lemma)

Let X be a random variable over  $\{0,1\}^n$  with  $H_{\infty}(X) \ge k$  and let  $\mathcal{H}$  be a family of pairwise independent hash functions from  $\{0,1\}^n$  to  $\{0,1\}^m$ , then

$$\mathsf{SD}((h,h(x))_{h\leftarrow\mathcal{H},x\leftarrow\mathcal{X}},(h,y)_{h\leftarrow\mathcal{H},y\leftarrow\{0,1\}^m})\leq 2^{(m-k-2))/2}.$$

\* We typically simply write  $SD((H, H(X)), (H, U_m))$ , where H is uniformly distributed over  $\mathcal{H}$ .

#### **Efficient function families**

# **Definition 6 (efficient function family)**

An ensemble of function families  $\mathcal{F} = \{\mathcal{F}_n\}_{n \in \mathbb{N}}$  is efficient, if the following hold:

- **Samplable.**  $\mathcal{F}$  is samplable in polynomial-time: there exists a PPT that given  $1^n$ , outputs (the description of) a uniform element in  $\mathcal{F}_n$ .
  - **Efficient.** There exists a polynomial-time algorithm that given  $x \in \{0, 1\}^n$  and (a description of)  $f \in \mathcal{F}_n$ , outputs f(x).

# Hardcore predicate for regular OWF

#### Lemma 7

Let  $f: \{0,1\}^n \mapsto \{0,1\}^n$  be a  $d(n) \in 2^{\omega(\log n)}$  regular function and let  $\mathcal{H} = \{\mathcal{H}_n\}$  be an efficient family of Boolean pairwise independent hash functions over  $\{0,1\}^n$ . Define  $g: \{0,1\}^n \times \mathcal{H}_n \mapsto \{0,1\}^n \times \mathcal{H}_n$  as

$$g(x,h)=(f(x),h),$$

then b(x, h) = h(x) is an hardcore predicate of g.

How does it relate to the computational case? Proof: We prove the claim by showing that

#### Claim 8

 $SD((f(U_n), H, H(U_n)), (f(U_n), H, U_1)) = neg(n)$ , where the rv H = H(n) is uniformly distributed over  $\mathcal{H}_n$ .

Does this conclude the proof?

# **Proving Claim 8**

Proof: For  $y \in f(\{0,1\}^n) := \{f(x) : x \in \{0,1\}^n\}$ , let the rv  $X_y$  be uniformly distributed over  $f^{-1}(y) := \{x \in \{0,1\}^n : f(x) = y\}$ .

$$\begin{split} & \text{SD}((f(U_n), H, H(U_n)), (f(U_n), H, U_1)) \\ &= \sum_{y \in f(\{0,1\}^n)} \Pr[f(U_n) = y] \cdot \text{SD}((f(U_n), H, H(U_n) \mid f(U_n) = y)) \\ &\qquad \qquad , (f(U_n), H, U_1 \mid f(U_n) = y)) \\ &= \sum_{y \in f(\{0,1\}^n)} \Pr[f(U_n) = y] \cdot \text{SD}((y, H, H(X_y)), (y, H, U_1)) \\ &\leq \max_{y \in f(\{0,1\}^n)} \text{SD}((y, H, H(X_y)), (y, H, U_1)) \\ &\leq \max_{y \in f(\{0,1\}^n)} \text{SD}((H, H(X_y)), (H, U_1)) \end{split}$$

Since  $H_{\infty}(X_y) = \log(d(n))$  for any  $y \in f(\{0,1\}^n)$ , The leftover hash lemma yields that

$$\begin{array}{lcl} \text{SD}((H,H(X_y)),(H,U_1)) & \leq & 2^{(1-H_{\infty}(X_y)-2))/2} \\ & = & 2^{(1-\log(d(n)))/2} = \operatorname{neg}(n). \quad \Box \end{array}$$

hardcore predicate for regular functions

#### **Further remarks**

#### Remark 9

- We can output  $\Theta(\log d(n))$  bits,
- g and b are not defined over all input length.

# Section 2

# **The Computational Case**

# **Proving Goldreich-Levin Theorem**

# Theorem 10 (Goldreich-Levin)

Let  $f: \{0,1\}^n \mapsto \{0,1\}^n$  be a OWF, and define  $g: \{0,1\}^n \times \{0,1\}^n \mapsto \{0,1\}^n \times \{0,1\}^n \text{ as } g(x,r) = f(x), r.$ Then  $b(x, r) = \langle x, r \rangle_2$ , is an hardcore predicate of g.

Note that if b(x, r) is (almost) a family of pairwise independent hash functions.

Proof: Assume 
$$\exists$$
 PPT A,  $p \in$  poly and infinite set  $\mathcal{I} \subseteq \mathbb{N}$  with 
$$\Pr[A(g(U_n, R_n)) = b(U_n, R_n)] \ge \frac{1}{2} + \frac{1}{p(n)}, \tag{1}$$

for any  $n \in \mathcal{I}$ , where  $U_n$  and  $R_n$  are uniformly (and independently) distributed over  $\{0,1\}^n$ .

We show  $\exists$  PPT B and  $q \in$  poly with  $\Pr_{y \leftarrow f(U_n)}[\mathsf{B}(y) \in f^{-1}(y)] \ge \frac{1}{a(n)},$ (2)

for every  $n \in \mathcal{I}$ . In the following fix  $n \in \mathcal{I}$ .

# Focusing on a good set

#### Claim 11

There exists a set  $S \subseteq \{0,1\}^n$  with

- $\bullet$   $\frac{|\mathcal{S}|}{2^n} \geq \frac{1}{2p(n)}$ , and
- 2  $\alpha(x) := \Pr[A(f(x), R_n) = b(x, R_n)] \ge \frac{1}{2} + \frac{1}{2p(n)}, \forall x \in S.$

Proof: Let  $S := \{x \in \{0, 1\}^n : \alpha(x) \ge \frac{1}{2} + \frac{1}{2p(n)}\}$ . It follows that

$$\Pr[\mathsf{A}(g(U_n,R_n)) = b(U_n,R_n)] \leq \Pr[U_n \notin \mathcal{S}] \cdot \left(\frac{1}{2} + \frac{1}{2p(n)}\right) + \Pr[U_n \in \mathcal{S}]$$
$$\leq \left(\frac{1}{2} + \frac{1}{2p(n)}\right) + \Pr[U_n \in \mathcal{S}] \square$$

We will present  $q \in \text{poly}$  and a PPT B such that

$$\Pr[\mathsf{B}(y = f(x)) \in f^{-1}(y) \ge \frac{1}{g(n)},$$
 (3)

for every  $x \in S$ . Fix  $x \in S$ .

Perfect case

# The perfect case $\alpha(x) = 1$

For every  $i \in [n]$ , it holds that

$$A(f(x),e^i)=b(x,e^i),$$

where 
$$e^i = (\underbrace{0,\ldots,0}_{i-1},1,\underbrace{0,\ldots,0}_{n-i}).$$

• Hence, 
$$x_i = \langle x, e^i \rangle_2 = \mathsf{A}(f(x), e^i)$$

We let 
$$B(f(x)) = (A(f(x), e^1), ..., A(f(x), e^n))$$

Easy case

# Easy case: $\alpha(x) \ge 1 - \text{neg}(n)$

#### Fact 12

- **1**  $\forall r \in \{0,1\}^n$ , the rv  $(r \oplus R_n)$  is uniformly dist. over  $\{0,1\}^n$
- $\forall w, y \in \{0,1\}^n, \text{ it holds that } b(x,w) \oplus b(x,y) = b(x,w \oplus y)$

# Hence, $\forall i \in [n]$ :

- varphi  $\forall r \in \{0,1\}^n$  it holds that  $x_i = b(x,r) \oplus b(x,r \oplus e^i)$
- $Pr[A(f(x), R_n) = b(x, R_n) \land A(f(x), R_n \oplus e^i) = b(x, R_n \oplus e^i)]$   $\ge 1 \text{neg}(n)$

We let 
$$B(f(x)) = (A(f(x), R_n) \oplus A(f(x), R_n \oplus e^1)), \dots, A(f(x), R_n) \oplus A(f(x), R_n \oplus e^n)).$$

Intermediate case

# Intermediate case: $\alpha(x) \geq \frac{3}{4} + \frac{1}{q(n)}$

For any  $i \in [n]$ , it holds that

$$Pr[A(f(x), R_n) \oplus A(f(x), R_n \oplus e^i) = x_i]$$
(4)

$$\geq \operatorname{Pr}[A(f(x),R_n)=b(x,R_n)\wedge A(f(x),R_n\oplus e^i)=b(x,R_n\oplus e^i)]$$

$$\geq \frac{1}{2} + \frac{2}{q(n)}$$

#### Algorithm 13 (B)

Input:  $f(x) \in \{0, 1\}^n$ 

- For every  $i \in [n]$ 
  - Sample  $r^1, \ldots, r^v \in \{0, 1\}^n$  uniformly at random
  - Let  $m_i = \operatorname{\mathsf{maj}}_{i \in [v]} \{ (A(f(x), r^j) \oplus A(f(x), r^j \oplus e^j) \}$
- ② Output  $(m_1, \ldots, m_n)$

# B's success provability

The following holds for "large enough" v = v(n).

#### Claim 14

For every  $i \in [n]$ , it holds that  $Pr[m_i = x_i] \ge 1 - \text{neg}(n)$ .

Proof: For  $j \in [v]$ , let the indicator  $v \in W^j$  be 1, iif

$$A(f(x), r^j) \oplus A(f(x), r^j \oplus e^i) = x_i.$$

We want to lowerbound  $\Pr\left[\sum_{j=1}^{\nu}W^{j}>\frac{\nu}{2}\right]$ .

• The  $W^j$  are iids and  $\mathsf{E}[W^j] \geq \frac{1}{2} + \frac{2}{q(n)}$ , for every  $j \in [v]$ 

# Lemma 15 (Hoeffding's inequality)

Let  $X^1, \ldots, X^v$  be iid over [0, 1] with expectation  $\mu$ . Then,

$$\Pr[|\frac{\sum_{j=i}^{\nu} X^j}{\nu} - \mu| \ge \varepsilon] \le 2 \cdot \exp(-2\varepsilon^2 \nu) \text{ for every } \varepsilon > 0.$$

We complete the proof taking  $X^j = W^j$ ,  $\varepsilon = 1/4q(n)$  and  $v \in \omega(\log(n) \cdot q(n)^2)$ .

Actual case

The actual case: 
$$\alpha(x) \ge \frac{1}{2} + \frac{1}{q(n)}$$

- What goes wrong?
- Idea: guess the values of  $\{b(x, r^1), \dots, b(x, r^v)\}$  (instead of calling  $\{A(f(x), r^1), \dots, A(f(x), r^v)\}$ )
- Problem: negligible success probability
- Solution: choose the samples in a correlated manner

## **Algorithm** B

Fix  $\ell = \ell(n)$  (will be  $O(\log n)$ ) and set  $v = 2^{\ell} - 1$ . We let  $\mathcal{L} \subseteq [\ell]$  stands for non-empty subset.

# Algorithm 16 (B)

Input:  $f(x) \in \{0, 1\}^n$ 

- **①** Sample uniformly (and independently)  $t_1, \ldots, t_\ell \in \{0, 1\}^n$
- **2** For all  $\mathcal{L} \subseteq [\ell]$ , set  $r^{\mathcal{L}} = \bigoplus_{i \in \mathcal{L}} t^i$
- **3** Guess  $\{b(x, t^i)\}$ , and compute  $\{b(x, r^{\mathcal{L}})\}$  (how?)
- For all  $i \in [n]$ , let  $m_i = \text{maj}_{\mathcal{L} \subseteq \{0,1\}^n} \{ A(f(x), r^{\mathcal{L}} \oplus e^i) \oplus b(x, r^{\mathcal{L}}) \}$

Fix  $i \in [n]$ , and let  $W^{\mathcal{L}}$  be 1, iff  $A(f(x), r^{\mathcal{L}} \oplus e^i) \oplus b(x, r^{\mathcal{L}}) = x_i$ . We want to lowerbound  $\Pr[\sum_{\mathcal{L} \subset [\ell]} W^{\mathcal{L}} > \frac{v}{2}]$ 

Problem: the  $W^{\mathcal{L}}$ 's are dependent!

# Analyzing B's success probability

- Let  $T^1, \ldots, T^\ell$  be iid over  $\{0, 1\}^n$ .
- ② For every  $\mathcal{L} \subseteq [\ell]$ , let  $R^{\mathcal{L}} = \bigoplus_{i \in \mathcal{L}} T^i$ .

#### Fact 17

- $\mathbf{0} \ \, \forall \mathcal{L} \subseteq [\ell] \text{, } \textit{R}^{\mathcal{L}} \textit{ is uniformly distributed over } \{0,1\}^n$
- ②  $\forall w, y \in \{0,1\}^n$  and  $\forall \mathcal{L} \neq \mathcal{L}' \subseteq [\ell]$ , it holds that  $\Pr[R^{\mathcal{L}} = w \land R^{\mathcal{L}'} = y] = \Pr[R^{\mathcal{L}} = w] \cdot \Pr[R^{\mathcal{L}'} = y]$

That is, the  $R^{\mathcal{L}}$ 's are pairwise independent.

## **Proving Fact 17(2)**

Assume wlg. that  $1 \in (\mathcal{L}' \setminus \mathcal{L})$ .

$$\begin{aligned} & \Pr[R^{\mathcal{L}} = w \land R^{\mathcal{L}'} = y] \\ &= \sum_{(t^2, \dots, t^\ell) \in \{0, 1\}^{(\ell-1)n}} & \Pr[(T^2, \dots, T^\ell) = (t^2, \dots, t^\ell)] \cdot \\ & \Pr[R^{\mathcal{L}} = w \land R^{\mathcal{L}'} = y \mid (T^2, \dots, T^\ell) = (t^2, \dots, t^\ell)] \\ &= \sum_{(t^2, \dots, t^\ell) : (\bigoplus_{i \in \mathcal{L}} t^i) = w} & \Pr[(T^2, \dots, T^\ell) = (t^2, \dots, t^\ell)] \\ &= \sum_{(t^2, \dots, t^\ell) : (\bigoplus_{i \in \mathcal{L}} t^i) = w} & \Pr[T^2, \dots, T^\ell] = (t^2, \dots, t^\ell) \\ &= \sum_{(t^2, \dots, t^\ell) : (\bigoplus_{i \in \mathcal{L}} t^i) = w} & \Pr[T^2, \dots, T^\ell] = (t^2, \dots, t^\ell) \\ &= 2^{-n} \cdot 2^{-n} = \Pr[R^{\mathcal{L}} = w] \cdot \Pr[R^{\mathcal{L}'} = y] \Box \end{aligned}$$

Actual case

# Pairwise independence variables

# **Definition 18 (pairwise independent random variables)**

A sequence of random variables  $X^1, \ldots, X^v$  is pairwise independent, if  $\forall i \neq j \in [v]$  and  $\forall a, b$ , it holds that

$$\Pr[X^i = a \land X^j = b] = \Pr[X^i = a] \cdot \Pr[X^j = b]$$

For every  $\mathcal{L} \neq \mathcal{L}' \subseteq [\ell]$ , the rvs  $R^{\mathcal{L}}$  and  $R^{\mathcal{L}'}$  are pairwise independent, and therefore also  $W^{\mathcal{L}}$  and  $W^{\mathcal{L}'}$  (why?).

# Lemma 19 (Chebyshev's inequality)

Let  $X^1, \ldots, X^{\nu}$  be pairwise-independent random variables with expectation  $\mu$  and variance  $\sigma^2$ . Then, for every  $\varepsilon > 0$ ,

$$\Pr\left[\left|\frac{\sum_{j=1}^{\nu} X^{j}}{\nu} - \mu\right| \ge \varepsilon\right] \le \frac{\sigma^{2}}{\varepsilon^{2} \nu}$$

# B's success provability cont

Assuming that B always guesses  $\{b(x, t^i)\}$  correctly, then for every  $\mathcal{L} \subseteq [\ell]$ 

• 
$$E[W^{\mathcal{L}}] \ge \frac{1}{2} + \frac{1}{q(n)}$$

• 
$$Var(W^{\mathcal{L}}) := E[W^{\mathcal{L}}]^2 - E[(W^{\mathcal{L}})^2] \le 1$$

Taking  $\varepsilon = 1/2q(n)$  and  $v = 2n/\varepsilon^2$  (i.e.,  $\ell = \lceil \log(2n/\varepsilon^2) \rceil$ ), yields that

$$\Pr[m_i = x_i] = \Pr\left[\frac{\sum_{\mathcal{L} \subseteq [\ell]} W^{\mathcal{L}}}{v} > \frac{1}{2}\right] \ge 1 - \frac{1}{2n}$$
 (5)

and by a union bound, B outputs x with probability  $\frac{1}{2}$ . Taking the guessing into account, yields that B outputs x with probability at least  $2^{-\ell-1} \in \Omega(n/q(n)^2)$ .

#### Reflections

- **Hardcore functions.** Similar ideas allows to output log *n* "pseudorandom bits"
- Alternative proof for the LHL. Let X be a rv with over  $\{0,1\}^n$  with  $H_{\infty}(X) \ge t$ , and assume that  $SD((R_n, \langle R_n, X \rangle_2), (R_n, U_1)) > \alpha = 2^{-c \cdot t}$  for some universal c > 0. Hence
  - **1** ∃ (a possibly inefficient) algorithm D that distinguishes  $(R_n, \langle R_n, X \rangle_2)$  from  $(R_n, U_1)$  with advantage  $\alpha$
  - **2**  $\exists$ A that predicts  $\langle R_n, X \rangle_2$  given  $R_n$  with prob  $\frac{1}{2} + \alpha$
  - ③ (by GL) ∃B that guesses X "from nothing", with prob  $\alpha^{O(1)} > 2^{-t}$

Reflections

#### Reflections cont.

List decoding. An efficient encoding  $C: \{0,1\}^n \mapsto \{0,1\}^m$ , and a decoder D. Such that the following holds for any  $x \in \{0,1\}^n$  and c of hamming distance  $\frac{1}{2} - \delta$  from C(x):  $D(c,\delta) \text{ outputs a list of size at most poly}(1/\delta) \text{ that whp. contains } x$ The code we used here is known as the

**LPN - learning parity with noise.** Find x given polynomially many samples of  $\langle x, R_n \rangle_2 + N$ , where  $\Pr[N=1] \leq \frac{1}{2} - \delta$ . The difference comparing to Goldreich-Levin – no control over the  $R_n$ 's.

Hadamard code