# Foundation of Cryptography (0368-4162-01), Lecture 8 Encryption Schemes

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# Section 1

# Definitions

### Correctness

### **Definition 1 (encryption scheme)**

A trippet of PPT's (G, E, D) such that

- **O**  $G(1^n)$  outputs a key  $(e, d) \in \{0, 1\}^* \times \{0, 1\}^*$
- 2 E(e, m) outputs a string in  $c \in \{0, 1\}^*$
- **3** D(*d*, *c*) outputs *m* ∈  $\{0, 1\}^*$

**Correctness:** D(d, E(e, m)) = m, for any  $(e, d) \in \text{Supp}(G(1^n))$  and  $m \in \{0, 1\}^*$ 

- *e* encryption key, *d* decryption key
- m plaintext, c = E(e, m) ciphertext
- $E_e(m) \equiv E(e,m)$  and  $D_d(c) \equiv D(d,c)$ ,
- public/private key



- What would we like to achieve?
- Attempt: for any  $m \in \{0, 1\}^*$ :

$$(m, E_{G(1^n)_1}(m)) \equiv (m, U_{\ell(|m|)})$$

- Shannon only for *m* with  $|m| \leq |G(1^n)_1|$
- Other concerns, e.g., multiple encryptions, active adversary

Semantic Security

**Semantic Security** 

- O Ciphertext reveal "no information" about the plaintext
- Pormulate via the simulation paradigm
- Output the state of the stat

Semantic Security

### Semantic security – private-key model

### Definition 2 (Semantic Security – private-key model)

An encryption scheme (G, E, D) is semantically secure in the private-key model, if for any PPT A,  $\exists$  PPT A' s.t.  $\forall$  poly-bounded dist. ensemble  $\mathcal{M} = {\mathcal{M}_n}_{n \in \mathbb{N}}$  and poly-bounded functions  $h, f: {0,1}^* \mapsto {0,1}^*$  $|\Pr_{m \leftarrow \mathcal{M}_n, e \leftarrow G(1^n)_1}[A(1^n, 1^{|m|}, h(1^n, m), E_e(m)) = f(1^n, m)]$ 

 $-\mathsf{Pr}_{m\leftarrow\mathcal{M}_n}[\mathsf{A}'(1^n,1^{|m|},h(1^n,m))=f(1^n,m)]\big|=\mathsf{neg}(n)$ 

- poly-bounded? for simplicity we assume polynomial length
- $1^n$  and  $1^{|m|}$  can be omitted
- Non-uniform definition
- Reflection to ZK
- public-key variant A gets e

Constructions

Active Adversaries

Indistinguishablity

### Indistinguishablity of encryptions

- The encryption of two strings is indistinguishable
- Less intuitive than semantic security, but easier to work with

Indistinguishablity

### Indistinguishablity of encryptions – private-key model

# Definition 3 (Indistinguishablity of encryptions – private-key model)

An encryption scheme (G, E, D) has indistinguishable encryptions in the private-key model, if for any  $p, \ell \in \text{poly}$ ,  $\{x_n, y_n \in \{0, 1\}^{\ell(n)}\}_{n \in \mathbb{N}}, \{z_n \in \{0, 1\}^{p(n)}\}_{n \in \mathbb{N}}$  and poly-time B,

$$\Pr_{e \leftarrow G(1^n)_1}[\mathsf{B}(z_n, E_e(x_n)) = 1] - \Pr_{e \leftarrow G(1^n)_1}[\mathsf{B}(z_n, E_e(y_n)) = 1]|$$
  
= neg(n)

- Non-uniform definition
- Public-key variant

Constructions

Active Adversaries

Equivalence

### Equivalence of definitions

### Theorem 4

An encryption scheme (G, E, D) is semantically secure iff is has indistinguishable encryptions.

We prove the private key case

Constructions

Active Adversaries

Equivalence

### Indistinguishability $\implies$ Semantic Security

### Fix $\mathcal{M}$ , A, f and h, be as in Definition 2. We construct A' as

### Algorithm 5 (A')

**Input:** 1<sup>*n*</sup>, 1<sup>|*m*|</sup> and *h*(*m*)

• 
$$e \leftarrow G(1^n)$$

2 
$$c = E_e(1^{|m|})$$

### Claim 6

### A' is a good simulator for A (according to Definition 2)

#### 

For  $n \in \mathbb{N}$ , let  $\delta(n) := \left| \mathsf{Pr}_{m \leftarrow \mathcal{M}_n, e \leftarrow G(1^n)_1} [\mathsf{A}(1^n, 1^{|m|}, h(1^n, m), E_e(m)) = f(1^n, m)] - \mathsf{Pr}_{m \leftarrow \mathcal{M}_n} [\mathsf{A}'(1^n, 1^{|m|}, h(1^n, m)) = f(1^n, m)] \right|$ 

### Claim 7

For every  $n \in \mathbb{N}$ , exists  $x_n \in \text{Supp}(\mathcal{M}_n)$  with

$$\delta(n) \le \left| \mathsf{Pr}_{e \leftarrow G(1^n)_1} [\mathsf{A}(1^n, 1^{|x_n|}, h(1^n, x_n), E_e(x_n)) = f(1^n, x_n)] - \mathsf{Pr}[\mathsf{A}'(1^n, 1^{|x_n|}, h(1^n, x_n)) = f(1^n, x_n)] \right|$$

Proof: Write the lhs and rhs terms in the definition of  $\delta(n)$  as sums over the different choices of  $m \in \text{Supp}(\mathcal{M}_n)$ , pair the two terms of each  $m \in \text{Supp}(\mathcal{M}_n)$  into a term  $a_m$ , and use  $\left|\sum_{m \in \text{Supp}(\mathcal{M}_n)} \mathcal{M}_n(m) \cdot a_m\right| \leq \max_{m \in \text{Supp}(\mathcal{M}_n)} |a_m|$ 

Equivalence

Assume  $\exists$  an infinite  $\mathcal{I} \subseteq \mathbb{N}$  and  $p \in \text{poly s.t. } \delta(n) > 1/p(n)$  for every  $n \in \mathcal{I}$ . The following algorithm contradicts the indistinguishability of (G, E, D) with respect to  $\{(x_n, y_n = 1^{|x_n|})\}_{n \in \mathbb{N}}$  and  $\{z_n = (1^n, 1^{|x_n|}, h(1^n, x_n), f(1^n, x_n))\}_{n \in \mathbb{N}}$ .

### Algorithm 8 (B)

**Input:**  $z_n = (1^n, 1^{|x_n|}, h(1^n, x_n), f(1^n, x_n)), c$ Output 1 iff  $A(1^n, 1^{|x_n|}, h(x_n), c) = f(1^n, x_n)$  Constructions

#### Equivalence

### Semantic Security $\implies$ Indistinguishability

Assume  $\exists$  PPT B,  $\{x_n, y_n \in \{0, 1\}^{\ell(n)}\}_{n \in \mathbb{N}}$  and a  $\{z_n\}_{n \in \mathbb{N}}$ , such that (wlg) for infinitely many *n*'s: (1)

$$\begin{aligned} &\mathsf{Pr}_{e \leftarrow G(1^{n})_{1}}[\mathsf{B}(z_{n}, E_{e}(x_{n})) = 1] - \mathsf{Pr}_{e \leftarrow G(1^{n})_{1}}[\mathsf{B}(z_{n}, E_{e}(y_{n})) = 1] \geq \frac{1}{p(n)} \\ &\bullet \mathsf{Let} \ \mathcal{M}_{n} \ \mathsf{be} \ x_{n} \ \mathsf{wp} \ \frac{1}{2} \ \mathsf{and} \ y_{n} \ \mathsf{otherwise.} \\ &\bullet \mathsf{Let} \ f(1^{n}, x_{n}) = 1, \ f(1^{n}, y_{n}) = 0 \ \mathsf{and} \ h(1^{n}, \cdot) = z_{n}. \\ &\bullet \mathsf{Define} \ \mathsf{A}(1^{n}, 1^{\ell(n)}, z_{n}, c) \ \mathsf{to} \ \mathsf{return} \ \mathsf{B}(z_{n}, c). \end{aligned}$$

$$\begin{aligned} &\mathsf{Pr}_{m \leftarrow \mathcal{M}_{n}, e \leftarrow G(1^{n})_{1}}[\mathsf{A}(1^{n}, 1^{|m|}, h(1^{n}, m), E_{e}(m)) = f(1^{n}, m)] \geq \frac{1}{2} + \frac{1}{p(n)} \\ &\mathsf{where} \ \mathsf{for} \ any \ \mathsf{A}' \end{aligned}$$

$$\begin{aligned} &\mathsf{Pr}_{m \leftarrow \mathcal{M}_{n}, e \leftarrow G(1^{n})_{1}}[\mathsf{A}'(1^{n}, 1^{|m|}, h(1^{n}, m), E_{e}(m)) = f(1^{n}, m)] \leq \frac{1}{2} \end{aligned}$$

### Security Under Multiple Encryptions

Definition 9 (Indistinguishablity for multiple encryptions – private-key model)

An encryption scheme (G, E, D) has indistinguishable encryptions for multiple messages in the private-key model, if for any  $p, \ell, t \in poly$ ,

 $\{x_{n,1}, \dots, x_{n,t(n)}, y_{n,1}, \dots, y_{n,t(n)} \in \{0, 1\}^{\ell(n)}\}_{n \in \mathbb{N}}, \{z_n \in \{0, 1\}^{p(n)}\}_{n \in \mathbb{N}} \text{ and polynomial-time B},$ 

$$|\Pr_{e \leftarrow G(1^n)_1}[B(z_n, E_e(x_{n,1}), \dots E_e(x_{n,t(n)})) = 1] - \Pr_{e \leftarrow G(1^n)_1}[B(z_n, E_e(y_{n,1}), \dots E_e(y_{n,t(n)})) = 1| = \operatorname{neg}(n)$$

### Extensions:

- Different length messages
- Semantic security version
- Public-key definition

### Multiple Encryption in the Public-Key Model

### Theorem 10

A public-key encryption scheme has indistinguishable encryptions for multiple messages, iff it has indistinguishable encryptions for a single message.

Proof: Assume (G, E, D) is public-key secure for a single message and not for multiple messages with respect to B,  $\{x_{n,1}, \ldots, x_{n,t(n)}, y_{n,1}, \ldots, y_{n,t(n)} \in \{0,1\}^{\ell(n)}\}_{n \in \mathbb{N}}, \{z_n \in \{0,1\}^{p(n)}\}_{n \in \mathbb{N}}.$  It follows that for some function  $i(n) \in [t(n)]$ 

$$\begin{aligned} &|\Pr[\mathsf{B}(1^{n}, e, E_{e}(x_{n,1}), \dots, E_{e}(x_{n,i-1}), E_{e}(y_{n,i}), \dots, E_{e}(y_{n,t(n)})) = 1] \\ &-\Pr[\mathsf{B}(1^{n}, e, E_{e}(x_{n,1}), \dots, E_{e}(x_{n,i}), E_{e}(y_{n,i+1}), \dots, E_{e}(y_{n,t(n)})) = 1] \\ &> \mathsf{neg}(n) \end{aligned}$$

where in both cases  $e \leftarrow G(1^n)_1$ 

### Algorithm 11 (B')

**Input:** 1<sup>*n*</sup>,  $z_n = (i(n), x_{n,1}, \dots, x_{n,t(n)}, y_{n,1}, \dots, y_{n,t(n)})$ , *e*,*c* Return B(*c*,  $E_e(x_{n,1}), \dots, E_e(x_{n,i-1}), c$ ,  $E_e(y_{n,i+1}), \dots, E_e(y_{n,t(n)})$ )

B' is critically using the public key

### Multiple Encryption in the Private-Key Model

### Fact 12

Assuming (non uniform) OWFs exists, there exists an encryption scheme that has private-key indistinguishable encryptions for a single messages, but not for multiple messages

Proof: Let  $g: \{0, 1\}^n \mapsto \{0, 1\}^{n+1}$  be a (non-uniform) PRG, and for  $i \in \mathbb{N}$  let  $g^i$  be its "iterated extension" to output of length i (see Lecture 2, Construction 15).

### **Construction 13**

- G(1<sup>*n*</sup>) outputs  $e \leftarrow \{0, 1\}^n$ ,
- $\mathsf{E}_{e}(m)$  outputs  $g^{|m|}(e)\oplus m$
- $\mathsf{D}_e(c)$  outputs  $g^{|c|}(e)\oplus c$

### Claim 14

 $(\mathsf{G},\mathsf{E},\mathsf{D})$  has private-key indistinguishable encryptions for a single message

Proof: Assume not, and let B,  $\{x_n, y_n \in \{0, 1\}^{\ell(n)}\}_{n \in \mathbb{N}}$  and  $\{z_n \in \{0, 1\}^{p(n)}\}_{n \in \mathbb{N}}$  be the triplet that realizes it. Namely,

$$|\Pr[\mathsf{B}(z_n, g^{\ell(n)}(U_n) \oplus x_n) = 1] - \Pr[\mathsf{B}(z_n, g^{\ell(n)}(U_n) \oplus y_n) = 1]| > \operatorname{neg}(n)$$
  
(4)

Hence, B yields a (non-uniform) distinguisher for g

#### Claim 15

(G, E, D) does not have a private-key indistinguishable encryptions for multiple messages

Proof: Take  $x_{n,1} = x_{n,2}$ ,  $y_{n,1} \neq y_{n,2}$  and let B be the algorithm that on input  $(c_1, c_2)$ , outputs 1 iff  $c_1 = c_2$ .

# Section 2

## Constructions

### Private key indistinguishable encryptions for multiple messages

Suffice to encrypt messages of some fixed length (here the length is n).

Let  $\mathcal{F}$  be a (non-uniform) length preserving PRF

### **Construction 16**

- G(1<sup>*n*</sup>): output  $e \leftarrow \mathcal{F}_n$ ,
- $E_e(m)$ : choose  $r \leftarrow \{0,1\}^n$  and output  $(r, e(r) \oplus m)$
- D<sub>e</sub>(r, c): output e(r) ⊕ c

### Claim 17

 $(\mathsf{G},\mathsf{E},\mathsf{D})$  has private-key indistinguishable encryptions for a multiple messages

Proof:

### Public-key indistinguishable encryptions for multiple messages

Let (G, f, Inv) be a (non-uniform) TDP, and let *b* be an hardcore predicate for it.

### **Construction 18 (bit encryption)**

- $G(1^n)$ : output  $(e, d) \leftarrow G(1^n)$
- $E_e(m)$ : choose  $r \leftarrow \{0,1\}^n$  and output  $(y = f_e(r), c = b(r) \oplus m)$
- $D_d(y, c)$ : output  $b(Inv_d(y)) \oplus c$

### Claim 19

 $(\mathsf{G},\mathsf{E},\mathsf{D})$  has public-key indistinguishable encryptions for a multiple messages

 We believe that public-key encryptions schemes are "more complex" than private-key ones

# Section 3

## **Active Adversaries**

### **Active Adversaries**

- Chosen plaintext attack (CPA): The adversary can ask for encryption and choose the messages to distinguish accordingly
- Chosen ciphertext attack (CCA): The adversary can also ask for *decryptions* of certain messages
- In the public-key settings, the adversary is also given the public key
- We focus on indistinguishability, but each of the above definitions has an equivalent semantic security variant.

### **CPA Security**

Let (G, E, D) be an encryption scheme. For a pair of algorithms  $A = (A_1, A_2)$ ,  $n \in \mathbb{N}$ ,  $z \in \{0, 1\}^*$  and  $b \in \{0, 1\}$ , let:

### **Experiment 20 (** $Exp_{A,n,z}^{CPA}(b)$ **)**

$$(e,d) \leftarrow G(1^n)$$

$$(m_0, m_1, s) \leftarrow \mathsf{A}_1^{E_e(\cdot)}(1^n, z)$$

3 
$$c \leftarrow \mathsf{E}_e(m_b)$$

• Output 
$$A_2^{E_e(\cdot)}(1^n, s, c)$$

### Definition 21 (private key CPA)

 $\begin{array}{l} (G, E, D) \text{ has indistinguishable encryptions in the private-key} \\ \text{model under CPA attack, if } \forall \ \texttt{PPT} \ A_1, A_2, \ \texttt{and poly-bounded} \\ \{z_n\}_{n \in \mathbb{N}} \\ |\texttt{Pr}[\texttt{Exp}_{A,n,z_n}^{\texttt{CPA}}(0) = 1] - \texttt{Pr}[\texttt{Exp}_{A,n,z_n}^{\texttt{CPA}}(1) = 1]| = \texttt{neg}(n) \end{array}$ 

- public-key variant...
- The scheme from Construction 16 has indistinguishable encryptions in the private-key model under CPA attack (for short, private-key CPA secure)
- The scheme from Construction 18 has indistinguishable encryptions in the public-key model under CPA attack (for short, public-key CPA secure)
- In both cases, definitions are *not* equivalent

### **CCA Security**

## **Experiment 22 (** $Exp_{A,n,z}^{CCA1}(b)$ **)**

1 
$$(e, d) \leftarrow G(1^n)$$
  
2  $(m_0, m_1, s) \leftarrow A_1^{E_e(\cdot), D_d(\cdot)}(1^n, z)$   
3  $c \leftarrow E_e(m_b)$   
4 Output  $A_2^{E_e(\cdot)}(1^n, s, c)$ 

# **Experiment 23 (** $Exp_{A,n,z_n}^{CCA2}(b)$ **)**

● 
$$(e, d) \leftarrow G(1^n)$$
  
●  $(x_0, x_1, s) \leftarrow A_1^{E_{\theta}(\cdot), D_d(\cdot)}(1^n, z)$ 

$$c \leftarrow \mathsf{E}_e(x_b)$$

• Output 
$$A_2^{E_e(\cdot),D_d^{\neg c}(\cdot)}(1^n, s, c)$$

### Definition 24 (private key CCA1/CCA2)

(G, E, D) has indistinguishable encryptions in the private-key model under  $x \in \{CCA1, CCA2\}$  attack, if  $\forall PPT A_1, A_2$ , and poly-bounded  $\{z_n\}_{n \in \mathbb{N}}$ :  $|Pr[Exp_{A,n,z_n}^x(0) = 1] - Pr[Exp_{A,n,z_n}^x(1) = 1]| = neg(n)$ 

• The public key definition is analogous



- Is the scheme from Construction 16 private-key CCA1 secure?
- CCA2 secure?

Let (G, E, D) be a private key CPA scheme, and let  $(Gen_M, Mac, Vrfy)$  be an existential unforgeable strong MAC.

### **Construction 25**

- $G'(1^n)$ : Output ( $e \leftarrow G_E(1^n), k \leftarrow Gen_M(1^n)$ ).<sup>*a*</sup>
- $\mathsf{E}'_{e,k}(m)$ : let  $c = \mathsf{E}_e(m)$  and output  $(c, t = \mathsf{Mac}_k(c))$
- D<sub>e,k</sub>(c, t): if Vrfy<sub>k</sub>(c, t) = 1, output D<sub>e</sub>(c). Otherwise, output ⊥

<sup>a</sup>We assume for simplicity that the encryption and decryption keys are the same.

Private-key CCA2

#### **Theorem 26**

# Construction 25 is a private-key CCA2-secure encryption scheme.

Proof: ?

### Public-key CCA1

Let (G, E, D) be a public-key CPA scheme and let (P, V) be a NIZK for  $\mathcal{L} = \{(c_0, c_1, pk_0, pk_1) : \exists (m, z_0, z_1) \ s.t. \ c_0 = \mathsf{E}_{pk_0}(m, z_0) \land c_1 = \mathsf{E}_{pk_1}(m, z_1)\}$ 

### Construction 27 (The Naor-Yung Paradigm)

- G'(1<sup>n</sup>): **①** For *i* ∈ {0, 1}: set  $(sk_i, pk_i) \leftarrow G(1^n)$ . 2 Let  $r \leftarrow \{0, 1\}^{\ell(n)}$ , and output  $pk' = (pk_0, pk_1, r)$  and  $sk' = (pk', sk_0, sk_1)$ •  $E'_{nk'}(m)$ : • For  $i \in \{0, 1\}$ :  $c_i = E_{pk_i}(m, z_i)$ , where  $z_i$  is a uniformly chosen string of the right length **2**  $\pi \leftarrow \mathsf{P}((c_0, c_1, pk_0, pk_1), (m, z_0, z_1), r)$ 3 Output  $(c_0, c_1, \pi)$ . •  $D'_{sk'}(c_0, c_1, \pi)$ : If  $V((c_0, c_1, pk_0, pk_1), \pi, r) = 1$ , return
  - $D_{sk_0}(c_0)$ . Otherwise, return  $\perp$

### **Omitted details:**

- We assume for simplicity that the encryption key output by G(1<sup>n</sup>) is of length at least *n*.
- *ℓ* is an arbitrary polynomial, and determines the maximum message length to encrypt using "security parameter" *n*.

Is the scheme CCA1 secure? We need the NIZK to be "adaptive secure".

### Theorem 28

Assuming that (P,V) is adaptive secure, then Construction 27 is a public-key CCA1 secure encryption scheme.

Proof: Given an attacker A' for the CCA1 security of (G', E', D'), we use it to construct an attacker A on the CPA security of (G, E, D). Let  $S = (S_1, S_2)$  be the (adaptive) simulator for  $(P, V, \mathcal{L})$ 

### Algorithm 29 (A)

### **Input:** (1<sup>*n*</sup>, *pk*)

- let  $j \leftarrow \{0, 1\}$ ,  $pk_{1-j} = pk$ ,  $(pk_j, sk_j) \leftarrow G(1^n)$  and  $(r, s) \leftarrow S_1(1^n)$
- Semulate  $A'(1^n, pk' = (pk_0, pk_1, r))$  as follows:
- On query  $(c_0, c_1, \pi)$  of A' to D': If V( $(c_0, c_1, pk_0, pk_1), \pi, r$ ) = 1, answer D<sub>*skj*</sub> $(c_j)$ . Otherwise, answer ⊥.
- Output the same pair  $(m_0, m_1)$  as A' does
- On challenge c ( =  $E_{pk}(m_b)$ ):
  - Set  $c_{1-j} = c$ ,  $a \leftarrow \{0, 1\}$ ,  $c_j = E_{pk_j}(m_a)$ , and  $\pi \leftarrow S_2((c_0, c_1, pk_0, pk_1), r, s)$
  - Send  $\boldsymbol{c}' = (\boldsymbol{c}_0, \boldsymbol{c}_1, \pi)$  to A'
- Output the same value that A' does

### Claim 30

Assume that A' breaks the CCA1 security of (G', E', D') with probability  $\delta(n)$ , then A breaks the CPA security of (G, E, D) with probability  $(\delta(n) - \text{neg}(n))/2$ .

The adaptive soundness and adaptive zero-knowledge of  $(\mathsf{P},\mathsf{V}),$  yields that

 $Pr[A' \text{ "makes" } A(1^n) \text{ decrypt an invalid cipher}] = neg(n)$  (5)

Hence, only negligible information leaks about *j*. Let  $A'(1^n, a^*, b^*)$  be the output of  $A'(1^n)$  in the emulation induced by A, where  $a = a^*$  and  $b = b^*$ . It holds that

**1** 
$$A'(1^n, 0, 1) \equiv A'(1^n, 1, 0)$$

2 The adaptive zero-knowledge of (P, V) yields that  $|\Pr[A'(1^n, 1, 1) = 1] - \Pr[A'(1^n, 0, 0) = 1]| \ge \delta(n) - \operatorname{neg}(n)$ 

### Let A(b) be the outputs of A when the challenge is b.

$$\begin{aligned} |\Pr[\mathsf{A}(1) = 1] - \Pr[\mathsf{A}(0) = 1]| \\ &= \left|\frac{1}{2}(\Pr[\mathsf{A}'(0, 1) = 1] + \Pr[\mathsf{A}'(1, 1) = 1])\right| \\ &- \frac{1}{2}(\Pr[\mathsf{A}'(0, 0) = 1] + \Pr[\mathsf{A}'(1, 0) = 1])| \\ &\geq \frac{1}{2}\left|\Pr[\mathsf{A}'(1, 1) = 1] - \Pr[\mathsf{A}'(0, 0) = 1]\right| \\ &- \frac{1}{2}\left|\Pr[\mathsf{A}'(1, 0) = 1] - \Pr[\mathsf{A}'(0, 1) = 1]\right| \\ &\geq (\delta(n) - \operatorname{neg}(n))/2 \end{aligned}$$

Public-key CCA2

- Is Construction 27 CCA2 secure?
- **Problem:** Soundness might not hold with respect to the simulated CRS, after seeing a proof for an *invalid* statement
- Solution: use simulation sound NIZK