

# Some Accessible Open Problems

WACT 2016

February 12, 2016

## 1 Shifted Partial Derivatives

These are questions from Chandan Saha's tutorial on shifted partial derivative and variants.

1. Can one show that  $\text{IMM}_{w,d}$ , the iterated matrix multiplication polynomial involving  $d$  matrices of width  $w$  each, cannot be computed by multilinear formulas?

This would be sufficient to separate non-commutative ABPs and formulas. We know the answer is yes for  $\text{Det}_n$  but is it also true for IMM?

2. Can one show a super-polynomial lower bound for  $\Sigma\Pi\Sigma$  circuits?

We know strong lower bounds when the fan-in of the linear polynomials at the bottom is *slightly* smaller than  $n$ . Can this be improved? One possibility is to attack this via homogeneous depth-5 circuits (suggestion by Ankit Gupta).

3. Can we prove that  $\text{Sym}_d$  requires super-polynomial homogeneous formulas (for  $d$  large enough)? As a first step, can we show  $\text{Sym}_d$  require homogeneous  $\Sigma\Pi\Sigma\Pi$  circuits of super-polynomial size?

The best upper-bound, for hom.  $\Sigma\Pi\Sigma\Pi$  circuits we have is  $2^{O(\sqrt{d})}$ , and the best known unbounded depth hom. formula has size  $d^{O(\log d)} \cdot \text{poly}(n)$ . These results are by Hrubes and Yehudayoff [HY11].

4. Are the *Nisan-Wigderson* polynomial families VNP-complete?

## 2 Border Complexity of Polynomials

The talks by both Ketan Mulmuley and Michael Forbes looked at the *closure* of computational classes. The following questions are from Ketan's talk.

1. Show that PIT for the closure of VP is in EXPH or even better, in PSPACE.
2. Is  $\overline{\text{VP}} \subset \text{VNP}$ ?
3. Can we solve the problem of *efficient Noether Normalization* for left-right action (i.e.  $A, B$  acts on  $(M_1, \dots, M_r)$  as  $(AM_1B, \dots, AM_rB)$ ) in P, or in quasi-polynomial time?

The following concrete questions were posed by Michael Forbes in his talk.

Consider the class  $\Sigma^s \wedge^d \Sigma$  of top fan-in  $s$  depth-3 powering circuits (or depth-3 diagonal circuits). Let  $\mu(f) = \dim \partial^{<\infty}(f)$ .

1. If  $\mu(f)$  is small, does that imply that  $f \in \overline{\Sigma^s \wedge^d \Sigma}$  ?
2. If  $f \in \overline{\Sigma^s \wedge^d \Sigma}$ , then does that imply that  $f \in \Sigma^{s'} \wedge^d \Sigma$  where  $s' = \text{poly}(s, n, d)$  (or maybe quasi-poly)?
3. What is the order of approximation needed in the closure of diagonal? (that is, what is the largest power of  $\varepsilon$  that we need to use. Known is  $\exp(O(n))$  for general circuits. Can we improve?)
4. For the monomial  $x_1 \cdots x_n$ , what is the best *infinitesimal approximation* by  $\Sigma \wedge \Sigma$  circuits? We know that the answer is at most  $2^{n-1}$  and is at least  $\Omega(2^n / \sqrt{n})$ . Can we close the gap?

And a more general question about hitting sets.

5. Suppose we have a hitting set  $\mathcal{H}$  for a class  $\mathcal{C}$  of polynomials, can we construct a hitting set for  $\overline{\mathcal{C}}$  also?

### 3 Determinantal complexity, and Kronecker coefficients

Let  $\text{dc}(f)$  denote the determinantal complexity of  $f$  and let  $\overline{\text{dc}}(f)$  refer to the *border determinantal complexity*. The following are some concrete questions in Christian Ikenmeyer's talk.

1. What is the right answer for  $\overline{\text{dc}}(\text{Perm}_3)$ ? We know the answer is at least 5 and at most 7.
2. Is computing Kronecker coefficients in #P? (Stanley's 10th problem [Sta99])
3. Is computing Plethysm coefficients in #P? (Stanley's 9th problem [Sta99])

### 4 Proof Complexity

One of the major questions in this field is the question of finding lower bounds for Frege or extended-Frege proofs. There were also many other question mentioned during the talks.

1. Is there an "optimal" proof system? That is, do we have a proof system for which proving lower bounds would imply  $\text{NP} \neq \text{coNP}$ ?
2. What can we say about the *proof complexity* of Polynomial Identity Testing?
3. Are there more inter-connections between monotone circuit complexity, various notions of ranks, Sum-of-squares proofs etc., and are there more connections to algebraic complexity theory?

4. Can we find *simple* polynomials  $f_1, \dots, f_k$  such that  $1 \in \langle f_1, \dots, f_k \rangle$  but any certificate requires super-polynomial circuit size? Note that it may be possible that the certificates could have exponentially large degree but it is not clear we have a proof of this even assuming  $VP \neq VNP$ .
5. Suppose you have  $f \in \langle f_1, \dots, f_k \rangle$  and suppose we know that there is a low-degree solution to  $f = \sum g_i f_i$ . Can we find a certificate of low-degree efficiently?
6. As a related question, can we do Strassen's division elimination in  $\text{poly}(s, \log d)$  instead of  $s \cdot \text{poly}(d)$ ?
7. Can we find an  $f$  that vanishes on  $\{0, 1\}^n$  so that any certificate for  $f \in \langle x_i^2 - x_i : i \in [n] \rangle$  requires super-polynomial size? If the answer is no, then  $\text{coNP} \subseteq \text{NP}^{\text{PIT}}$  which is unlikely. But the answer to this question is unknown even assuming  $VP \neq VNP$ .

## 5 Pseudorandomness for bounded memory

1. Can we prove an  $2^{\Omega(n)}$  lower bound for  $\text{AC}^0$  depth-3? Parity certainly won't work as we have an upper bound of  $2^{\sqrt{n}}$  for parity. One approach is to construct optimal PRGs for DNFs. A candidate function for this seems to be the support of an  $\varepsilon$ -biased distribution with some noise added to it.
2. Can one construct a truly optimal hashing (seed-length  $O(\log n)$  instead of  $\tilde{O}(\log n)$ ) for the  $m$ -balls-to- $m$ -bins problem?
3. Do we have algebraic analogues of Combinatorial Shapes or Fourier Shapes? There are some analogues of the *gradually increasing paradigm* in the some hitting sets for roABPs, but are there more?

The following concrete question is from Nitin Saxena's talk:

4. Construct a weight assignment  $\Phi$  of the form  $x_i \mapsto t^{w_i}$  with the following property:

For every  $t^d$  in the range of  $\Phi$ , let  $\Phi^{-1}(t^d)$  denote the set of  $n$ -variate monomials that  $\Phi$  maps to  $t^d$ . Then, there must be a set  $S_d \subset [n]$  such that if  $T = \{\prod_{i \in S} x_i^{e_i} : \mathbf{x}^e \in \Phi^{-1}(t^d)\}$  (the restriction of  $\Phi^{-1}(t^d)$  to the variables in  $S$ ) then  $|\Phi(T)| > w$ .

If we can find an explicit such map  $\Phi$ , then turns out that would yield a polynomial sized hitting set for width  $w$  commutative roABPs.

## 6 Polynomial factorization

1. Dvir, Shpilka and Yehudayoff [DSY09] show that given a polynomial  $f(\mathbf{x}, y)$  that is computable by a size  $s$  circuit of depth  $d$  with  $\deg_y(f) = r$ , then all its roots (factors of the form  $(y - g(\mathbf{x}))$ ) have circuits of  $\text{poly}(s, n^r)$  size and depth  $d + O(1)$ . Can the exponential dependence in  $r$  be removed in this case?

2. Can we show that factors of sparse polynomials can be computed by restricted circuits? Say  $\Sigma\Pi\Sigma\Pi$  circuits of polynomial sized?
3. Can we derandomize polynomial factorization for restricted classes for which we have PIT/hitting sets?
4. If  $P(x_1, \dots, x_n)$  is a circuit of polynomial size (but  $\deg(P)$  could be exponential), can we show that every factor of degree  $\text{poly}(n)$  can be computed by small circuits?
5. Suppose we have a PIT for the class VP (say without any constants on wires), is it possible to get PIT for even polynomial sized circuits (of possibly super-polynomial degree)?

## 7 Determinant and matrix multiplication

Consider the two tasks of computing the determinant of a matrix (with say real entries), and the task of multiplying two matrices. Do these two tasks have the same complexity?

The computational model in mind is a straight-line program where you are allowed to divide, and branch on zero-tests. In this model, are the above two tasks of the same complexity? The best such program known for the determinant seems to be  $n^{\omega+1}$ , where  $\omega$  is the exponent of matrix multiplication. Can we say more?

## 8 Non-commutative identity testing

There were quite a few open problems suggested in the Ankit Garg and K V Subrahmanyam's talks on non-commutative rational identity testing.

1. The algorithm of [GGOW15] was largely analytic rather than algebraic. Are there other analytic polynomial identity tests for other classes?
2. Can we get an coRP algorithm for PIT for non-commutative circuits of possibly exponential degree?

**Conjecture.** If  $p \neq 0$  is a polynomial computed by a non-commutative circuit of size  $s$  (possibly of very large degree), then there exists matrices  $B_1, \dots, B_n$  of dimension  $\text{poly}(s)$  such that  $p(B_1, \dots, B_n) \neq 0$ .

3. Can we find hitting sets for the problem SINGULAR? Formally, is there a set of  $\text{poly}(n)$  tuples of matrices  $\{(B_{i1}, \dots, B_{im})\}_i$  such that for every  $(A_1, \dots, A_m)$  that do not have a shrunk subspace we have

$$\text{Det}(B_{i1} \otimes A_1 + \dots + B_{im} \otimes A_m) \neq 0$$

for some  $i$ ? This would capture many other problems such as bipartite matching, identity testing for non-commutative ABPs etc.

4. What about *syntactic proofs* for rational expressions? Can we prove upper/lower bounds for the degrees of intermediate expressions involved in resolving rational expressions syntactically?

## 9 Towards PIT for depth-4 circuits with bounded top and bottom fan-in

Ankit Gupta's talk gave a very concrete open problem solving which would get us closer to obtaining a polynomial identity test for depth-4 circuits with bounded top and bottom fan-in.

**Conjecture.** Let  $Q_1, \dots, Q_m \in \mathbb{C}[x_1, \dots, x_n]$  be homogeneous polynomials of degree at most  $r$  such that for every  $i \neq j$ , there is a  $k \neq i, j$  such that  $\mathbb{V}(Q_i, Q_j) \subseteq \mathbb{V}(Q_k)$ . Then,  $\text{trdeg}_{\mathbb{C}} \{Q_1, \dots, Q_m\} \leq c_r$ , where  $c_r$  is a function only of  $r$ .

This can be thought of a version of Sylvester-Gallai theorem for varieties. There are many other similar conjectures in the paper [Gup14].

## 10 PIT and lower bounds for read- $k$ ABPs

Ben Lee Volk's talk presented some natural questions about read- $k$  oblivious ABPs.

1. The algorithm presented degrades quite badly with  $k$ . Can we construct a faster PIT? Even for the two-pass varying order case would be very interesting.
2. Can we get a black-box PIT where the order of variables is unknown?
3. Can we get a hierarchy theory for read- $k$  oblivious ABPs? That is, can we show that there is a polynomial computed by read- $(k + 1)$  oblivious ABPs that require exponential sized read- $k$  oblivious ABPs to compute it? Right now the proof separates read- $k$  from read- $k^{O(k)}$  oblivious ABPs. Can this be made tighter?
4. What about the non-oblivious case? Open even for  $k = 1$ .
5. Are there connections between these hitting sets and techniques to boolean pseudorandomness?

## 11 Functional Lower Bounds

Ramprasad's talk presented a modest step towards trying to lift arithmetic circuit lower bounds to boolean complexity. A natural open problem there is to remove the individual degree bound required for the Taylor expansion. One concrete question was to prove functional lower bounds for the class of *sums of powers of quadratics* without an individual degree restriction. Formally, it would be great if one could prove a statement of the form:

There exists a  $n$ -variate degree  $d$  polynomial  $F$  such that any circuit  $C = \sum_{i=1}^s q_i^{d/2}$ , where each  $q_i$  is a quadratic, that agrees with  $f$  on  $\{0, 1\}^n$  must satisfy  $s = \exp(\Omega(n))$ .

This is the simplest example where we do not know how to remove the individual degree restriction on the polynomial computed by  $C$ .

## References

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