

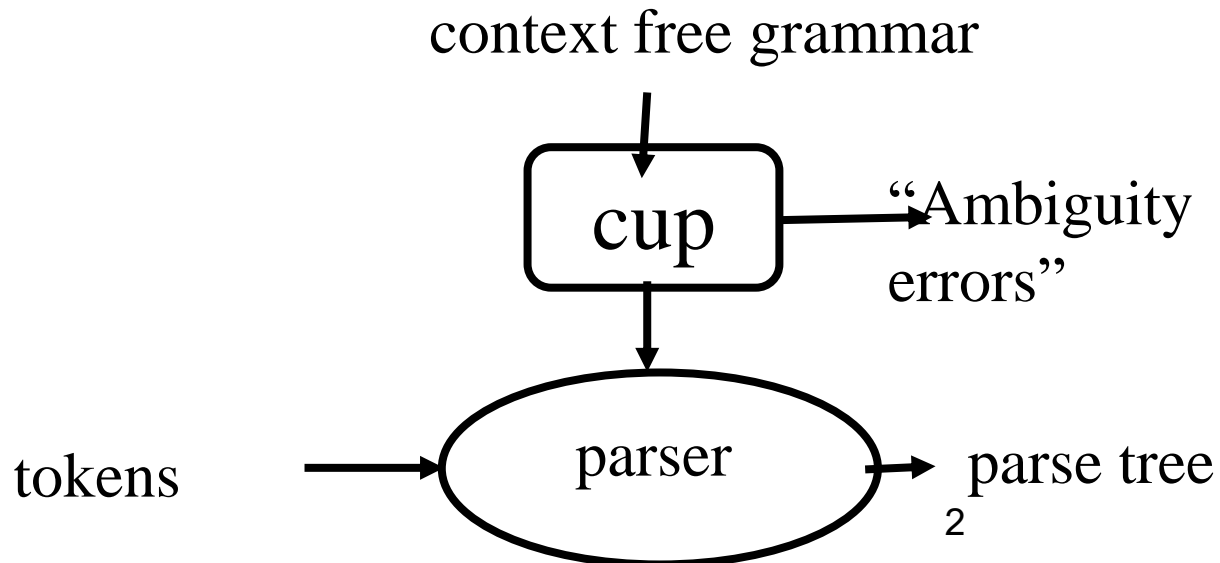
Bottom-Up Syntax Analysis

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Textbook: Modern Compiler Design
Chapter 2.2.5 (modified)

Efficient Parsers

- Pushdown automata
- Deterministic
- Report an error as soon as the input is not a prefix of a valid program
- Not usable for all context free grammars



Kinds of Parsers

- Top-Down (Predictive Parsing) LL
 - Construct parse tree in a top-down manner
 - Find the leftmost derivation
 - For every non-terminal and token **predict** the next production
- Bottom-Up LR
 - Construct parse tree in a bottom-up manner
 - Find the rightmost derivation in a reverse order
 - For every potential right hand side and token decide when a production is found

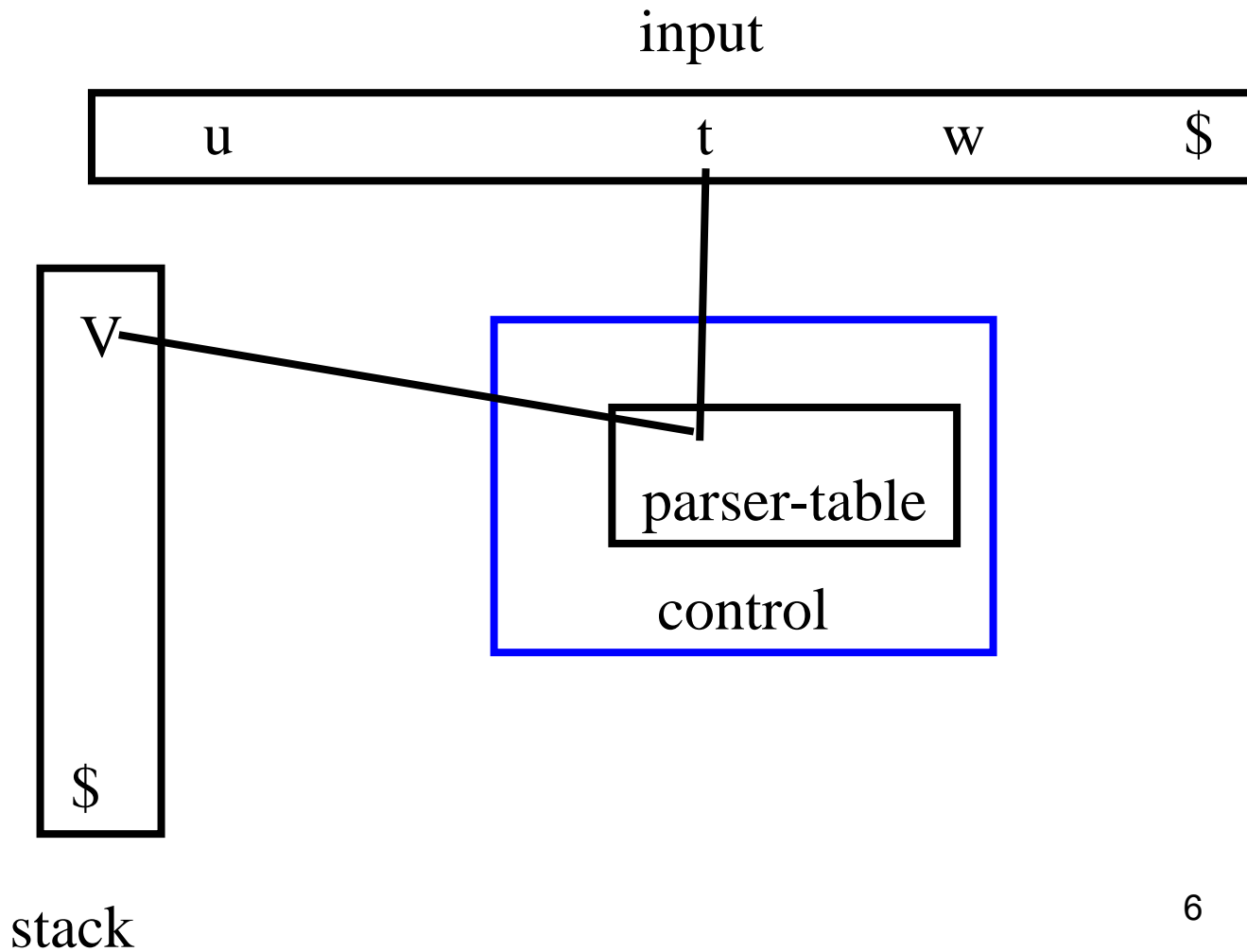
Bottom-Up Syntax Analysis

- Input
 - A context free grammar
 - A stream of tokens
- Output
 - A syntax tree or error
- Method
 - Construct parse tree in a bottom-up manner
 - Find the rightmost derivation in (reversed order)
 - For every potential right hand side and token decide when a production is found
 - Report an error as soon as the input is not a prefix of valid program

Plan

- Pushdown automata
- Bottom-up parsing (informal)
- Non-deterministic bottom-up parsing
- Deterministic bottom-up parsing
- Interesting non LR grammars

Pushdown Automaton



Informal Example(1)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

tree

input

\$

i + i \$

shift

stack

tree

input

i \$

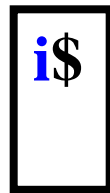
i

+ i \$

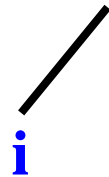
Informal Example(2)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack



tree



input

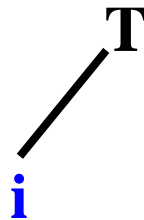


reduce $T \rightarrow i$

stack



tree



input



Informal Example(3)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

T
\$

tree

T
/
i

input

+ i \$

reduce $E \rightarrow T$

stack

E
\$

tree

E
/
T
/
i

input

+ i \$

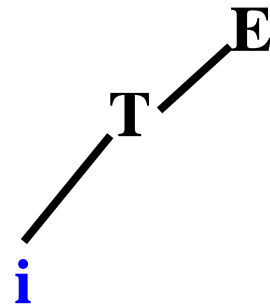
Informal Example(4)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

E
\$

tree



input

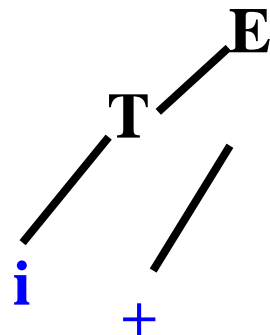
+ i \$

shift

stack

+
E
\$

tree



input

i \$

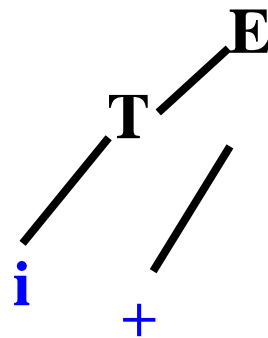
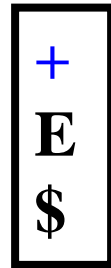
Informal Example(5)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

tree

input

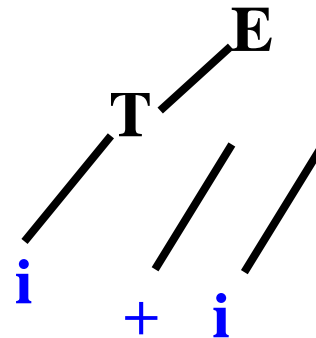


shift

stack

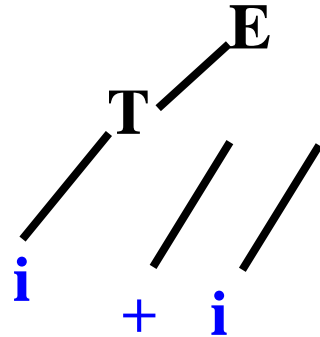
tree

input



Informal Example(6)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$
 stack tree input

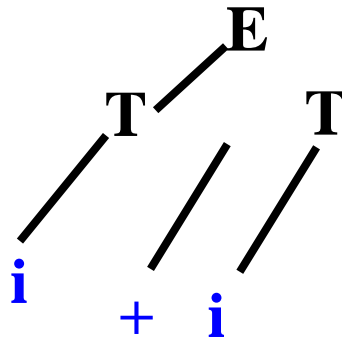
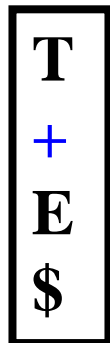


reduce $T \rightarrow i$

stack

tree

input



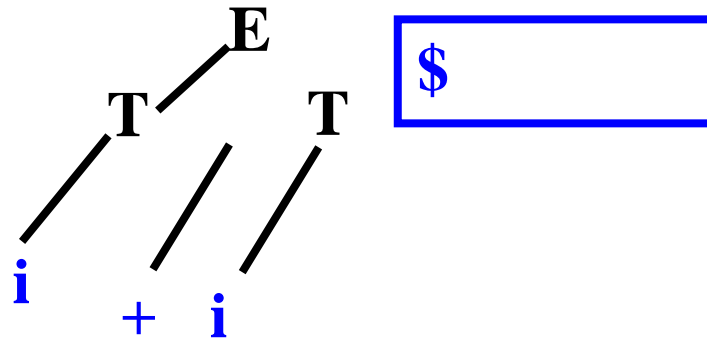
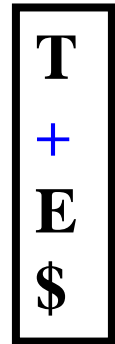
Informal Example(7)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

tree

input

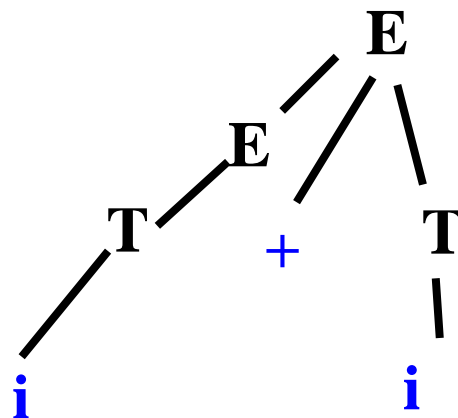


reduce $E \rightarrow E + T$

stack

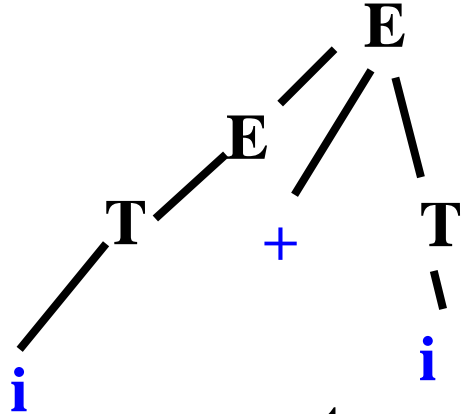
tree

input



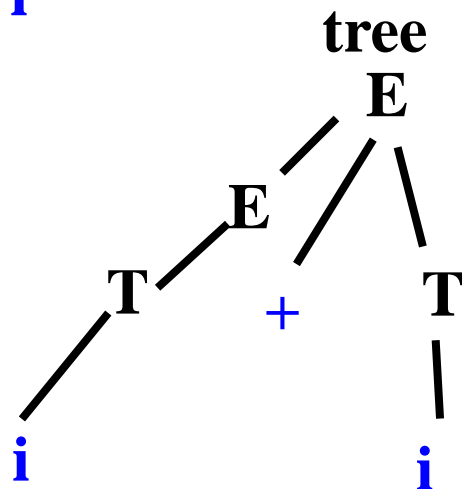
Informal Example(8)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$
 stack tree input



shift

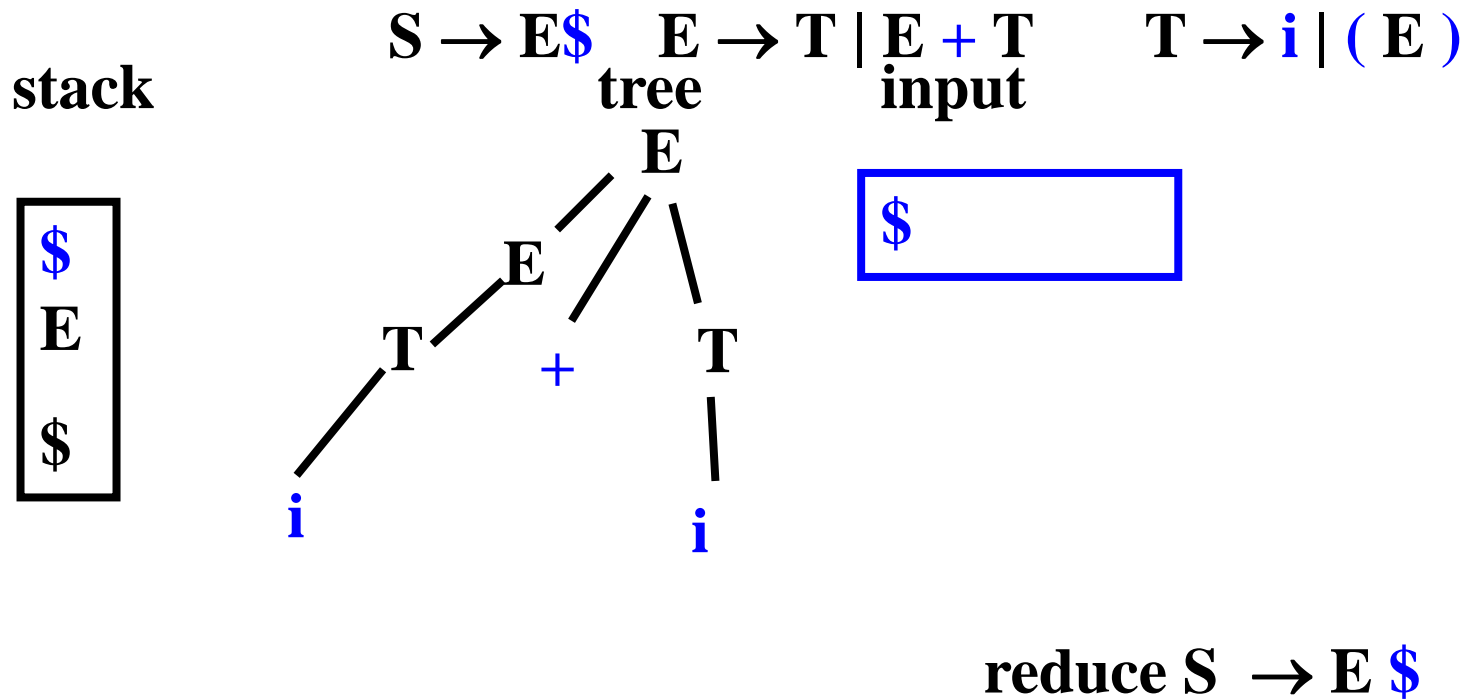
stack



input



Informal Example(9)



Informal Example

reduce S \rightarrow E \$

reduce E \rightarrow E + T

reduce T \rightarrow i

reduce E \rightarrow T

reduce T \rightarrow i

The Problem

- Deciding between shift and reduce

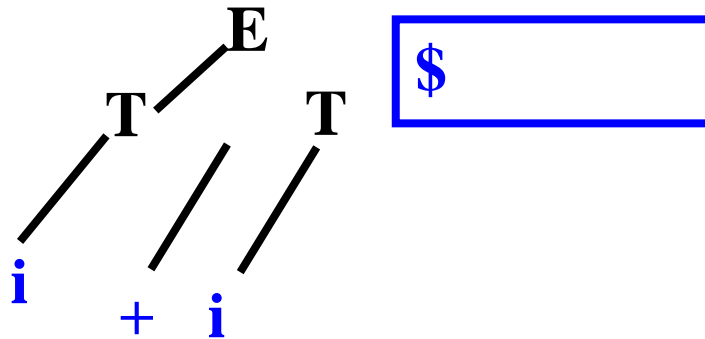
Informal Example(7)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

tree

input

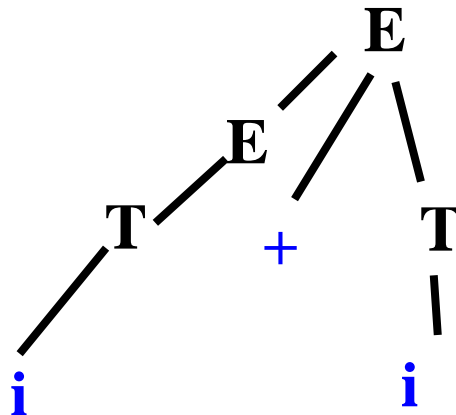


reduce $E \rightarrow E + T$

stack

tree

input



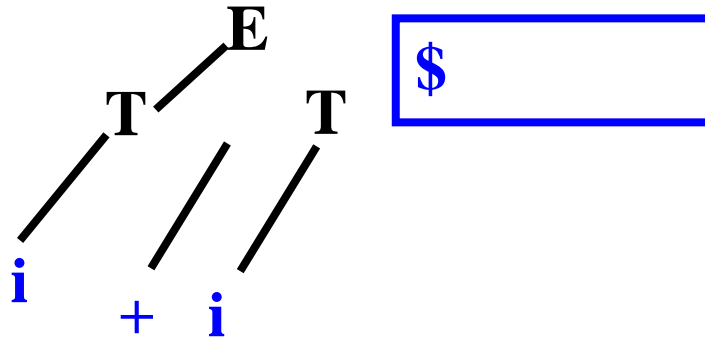
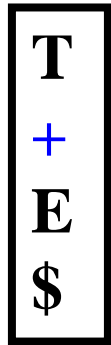
Informal Example(7')

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

tree

input

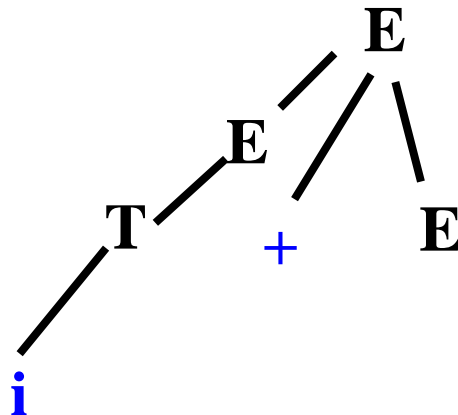
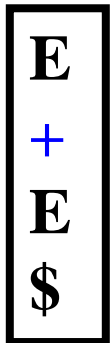


reduce $E \rightarrow T$

stack

tree

input



$S \rightarrow E\$$

$E \rightarrow T$

$E \rightarrow E + T$

$T \rightarrow i$

$T \rightarrow (E)$

| LR(0) items | (|) | i | + | \$ | T | E | ϵ |
|----------------------------------|-----|-----|-----|----|----|----|----|------------|
| 1: $S \rightarrow \bullet E \$$ | | | | | | | 2 | 4, 6 |
| 2: $S \rightarrow E \bullet \$$ | | | | | s3 | | | |
| 3: $S \rightarrow E \$ \bullet$ | | | | | | | | r |
| 4: $E \rightarrow \bullet T$ | | | | | | 5 | | 10, 12 |
| 5: $E \rightarrow T \bullet$ | | | | | | | | r |
| 6: $E \rightarrow \bullet E + T$ | | | | | | | 7 | 4, 6 |
| 7: $E \rightarrow E \bullet + T$ | | | | s8 | | | | |
| 8: $E \rightarrow E + \bullet T$ | | | | | | 9 | | 10, 12 |
| 9: $E \rightarrow E + T \bullet$ | | | | | | | | r |
| 10: $T \rightarrow \bullet i$ | | | s11 | | | | | |
| 11: $T \rightarrow i \bullet$ | | | | | | | | r |
| 12: $T \rightarrow \bullet (E)$ | s13 | | | | | | | |
| 13: $T \rightarrow (\bullet E)$ | | | | | | | 14 | 4, 6 |
| 14: $T \rightarrow (E \bullet)$ | | s15 | | | | | | |
| 15: $T \rightarrow (E) \bullet$ | | | | | | 21 | | r |

Formal Example(1)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

1: $S \rightarrow \bullet E\$$

input

$i + i \$$

ϵ -move 6

stack

6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E\$$

input

$i + i \$$

Formal Example(2)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

input

6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

$i + i \$$

ϵ -move 4

stack

input

4: $E \rightarrow \bullet T$
6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

$i + i \$$

Formal Example(4)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

10: $T \rightarrow \bullet i$
4: $E \rightarrow \bullet T$
6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

input

$i + i \$$

stack

11: $T \rightarrow i \bullet$
10: $T \rightarrow \bullet i$
4: $E \rightarrow \bullet T$
6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

input

$+ i \$$

shift 11

Formal Example(6)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

input

5: $E \rightarrow T \bullet$
4: $E \rightarrow \bullet T$
6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

+ i \$

stack

input

reduce $E \rightarrow T$

7: $E \rightarrow E \bullet + T$
6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

+ i \$

Formal Example(7)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

input

7: $E \rightarrow E \bullet + T$
6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

+ i \$

stack

input

shift 8

8: $E \rightarrow E + \bullet T$
7: $E \rightarrow E \bullet + T$
6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

i \$

Formal Example(8)

$S \rightarrow E\$ \quad E \rightarrow T \mid E + T \quad T \rightarrow i \mid (E)$

stack

input

8: $E \rightarrow E + \bullet T$
 7: $E \rightarrow E \bullet + T$
 6: $E \rightarrow \bullet E + T$
 1: $S \rightarrow \bullet E \$$

i \$

stack

input

ϵ -move 10

10: $T \rightarrow \bullet i$
 8: $E \rightarrow E + \bullet T$
 7: $E \rightarrow E \bullet + T$
 6: $E \rightarrow \bullet E + T$
 1: $S \rightarrow \bullet E \$$

i \$

Formal Example(9)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

input

10: $T \rightarrow \bullet i$
8: $E \rightarrow E + \bullet T$
7: $E \rightarrow E \bullet + T$
6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

i \$

stack

input

shift 11

11: $T \rightarrow i \bullet$
10: $T \rightarrow \bullet i$
8: $E \rightarrow E + \bullet T$
7: $E \rightarrow E \bullet + T$
6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

\$

Formal Example(10)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

11: $T \rightarrow i \bullet$
10: $T \rightarrow \bullet i$
8: $E \rightarrow E + \bullet T$
7: $E \rightarrow E \bullet + T$
6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

input

\$

stack

9: $E \rightarrow E + T \bullet$
8: $E \rightarrow E + \bullet T$
7: $E \rightarrow E \bullet + T$
6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

input

\$

reduce $T \rightarrow i$

Formal Example(11)

$S \rightarrow E\$$ $E \rightarrow T \mid E + T$ $T \rightarrow i \mid (E)$

stack

9: $E \rightarrow E + T \bullet$
8: $E \rightarrow E + \bullet T$
7: $E \rightarrow E \bullet + T$
6: $E \rightarrow \bullet E + T$
1: $S \rightarrow \bullet E \$$

input

\$

reduce $E \rightarrow E + T$

stack

2: $S \rightarrow E \bullet \$$
1: $S \rightarrow \bullet E \$$

input

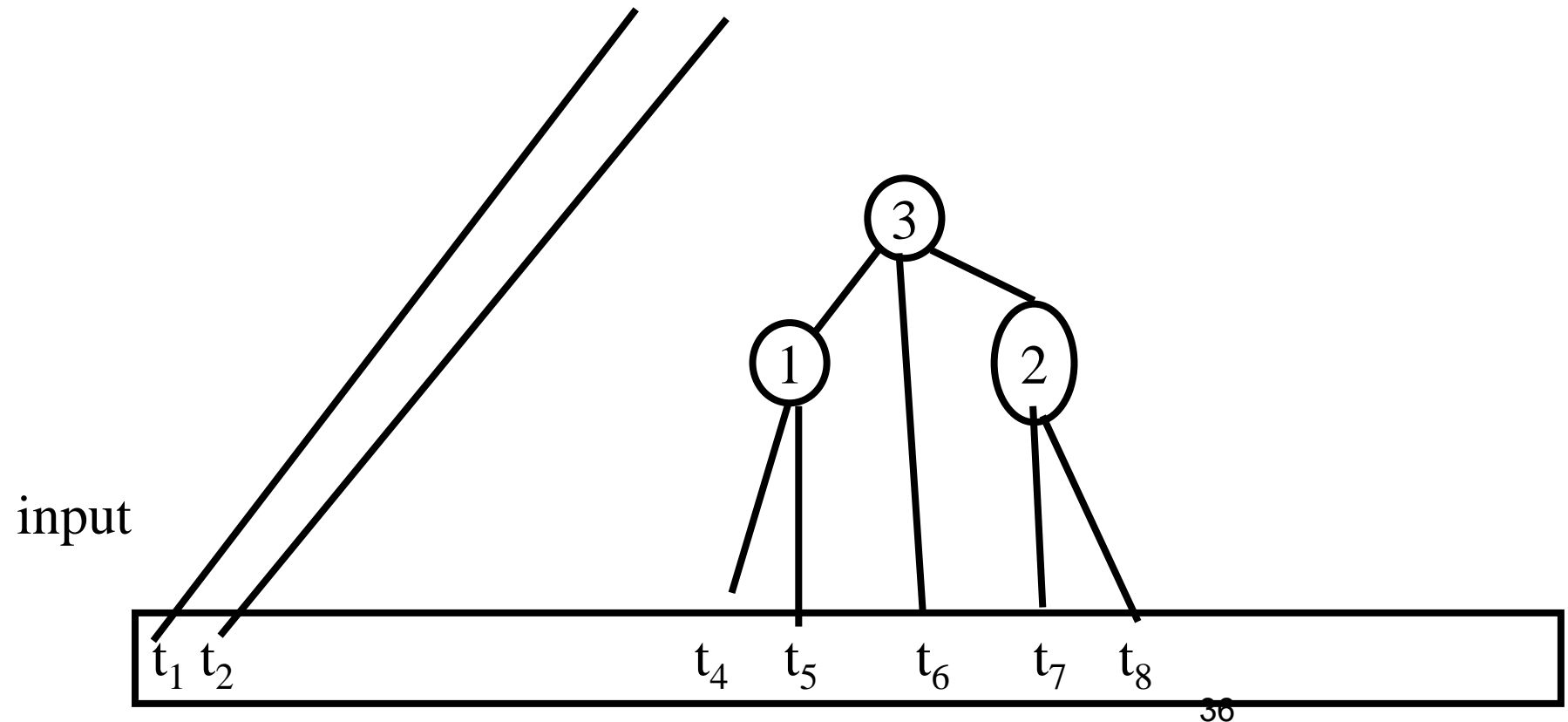
\$

But how can this be done
efficiently?

Deterministic Pushdown Automaton

Handles

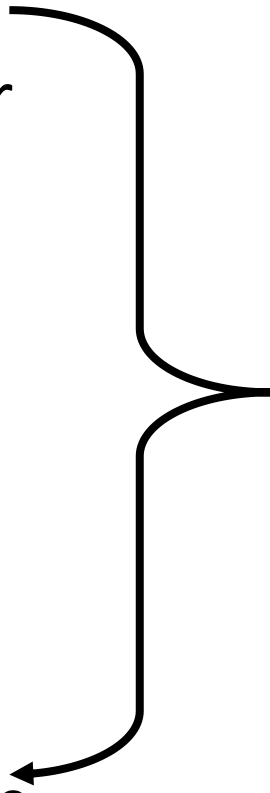
- Identify the leftmost node (nonterminal) that has not been constructed but all whose children have been constructed



Identifying Handles

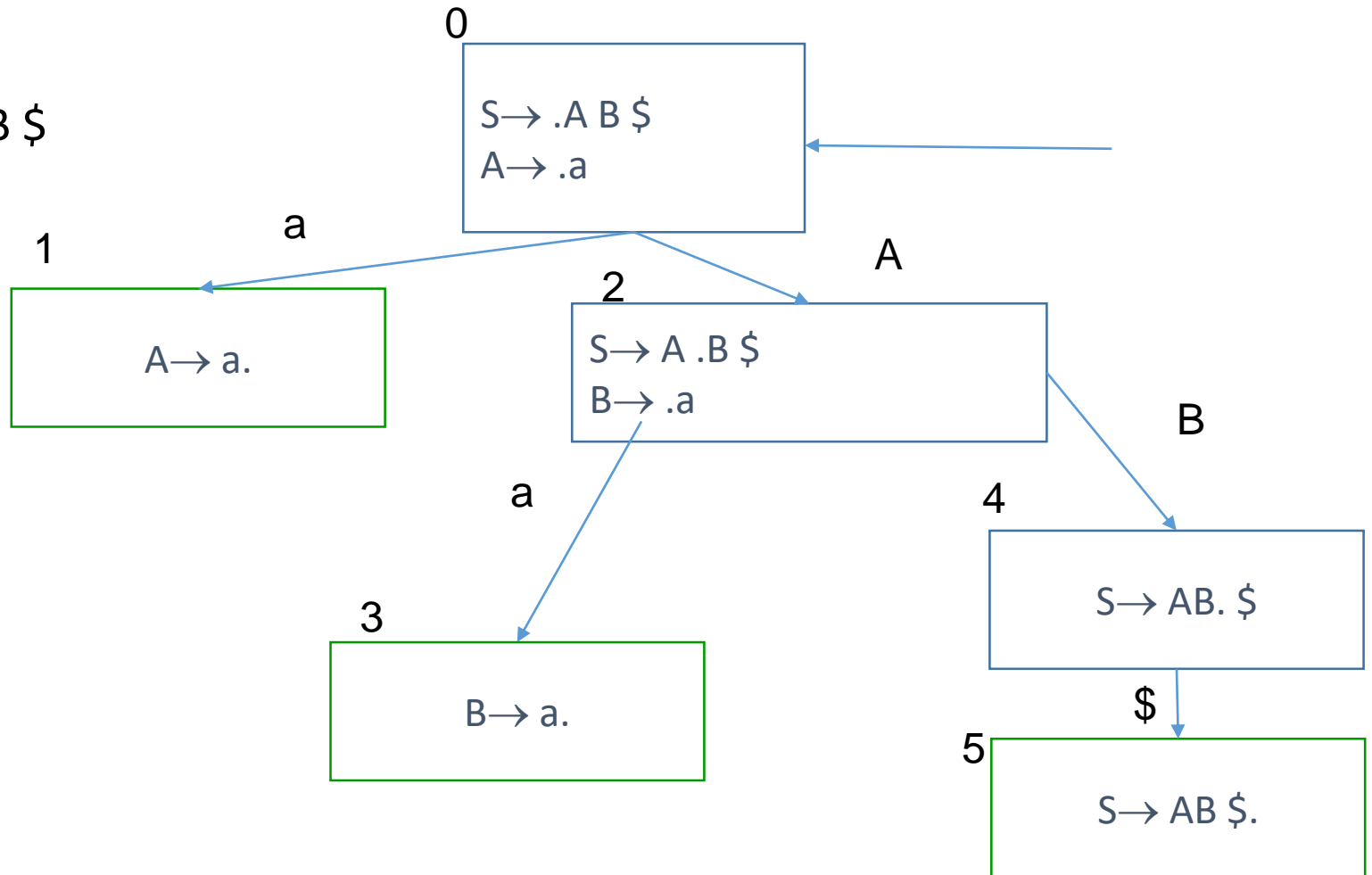
- The language of stack symbols $(T \cup N)^*$ is regular
- Create a deterministic finite state automaton over grammar symbols
 - Sets of LR(0) items $A \rightarrow \alpha \bullet \beta$
 - Identified α
 - Expecting β
- Use automaton to build parser tables
 - **reduce** For items $A \rightarrow \alpha \bullet$ on every token
 - **shift** For items $A \rightarrow \alpha \bullet t \beta$ on token t
 - **goto** For items $A \rightarrow \alpha \bullet X \beta$ on nonterminal X

Identifying Handles

- Create a deterministic finite state automaton over grammar symbols
 - Sets of LR(0) items
 - Use automaton to build parser tables
 - **reduce** For items $A \rightarrow \alpha \bullet$ on every token
 - **shift** For items $A \rightarrow \alpha \bullet t \beta$ on token t
 - **goto** For items $A \rightarrow \alpha \bullet X \beta$ on nonterminal X
 - **When conflicts occur the grammar is not LR(0)**
 - When no conflicts occur use a DPDA which pushes states on the stack
- 

A Trivial Example

- $S \rightarrow A B \$$
- $A \rightarrow a$
- $B \rightarrow a$



Control Table Trivial Example

| state | terminal | | | nonterminal | | |
|----------------------------|--------------|---------|-------|-------------|---|---|
| | a | \$ | other | S | A | B |
| 0 S → .A B \$ A → .a | shift 1 | err | err | | 2 | |
| 1 A → a. | reduce A → a | | | | | |
| 2 S → A .B \$ B → .a | shift 3 | err | err | | | 4 |
| 3 B → a. | reduce B → a | | | | | |
| 4 S → AB. \$ | err | shift 5 | err | | | |
| 5 S → AB \$. | accept | | | | | |

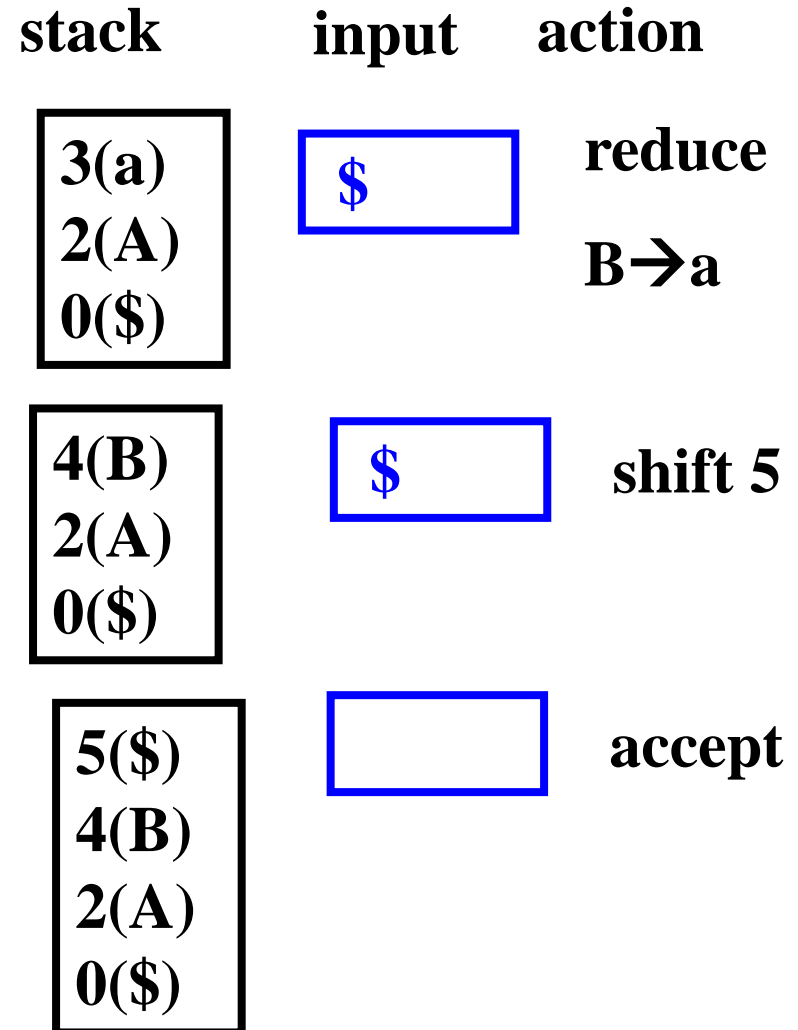
Parsing aa

| state | terminal | | | nonterminal | | |
|-------|--------------------------|-----|-------|-------------|---|---|
| | a | \$ | other | S | A | B |
| 0 | s1 | err | err | | 2 | |
| 1 | reduce $A \rightarrow a$ | | | | | |
| 2 | s3 | err | err | | | 4 |
| 3 | reduce $B \rightarrow a$ | | | | | |
| 4 | err | s5 | err | | | |
| 5 | accept | | | | | |

| stack | input | action |
|---------------|-------|-----------------------------|
| 0(\$) | aa \$ | shift 1 |
| 1(a) 0(\$) | a \$ | reduce $A \rightarrow a$ |
| 0(\$) | a \$ | A |
| 2(A) 0(\$) | a \$ | shift 3 |

Parsing aa (Cont)

| state | terminal | | | Nonterminal | | |
|-------|--------------------------|-----|-------|-------------|---|---|
| | a | \$ | other | S | A | B |
| 0 | s1 | err | err | | 2 | |
| 1 | reduce $A \rightarrow a$ | | | | | |
| 2 | s3 | err | err | | | 4 |
| 3 | reduce $B \rightarrow a$ | | | | | |
| 4 | err | s5 | err | | | |
| 5 | accept | | | | | |



The Rightmost Derivation in Reverse Order

1. $S \rightarrow BA\$$

2. $B \rightarrow a$

3. $A \rightarrow a$

Parsing ab

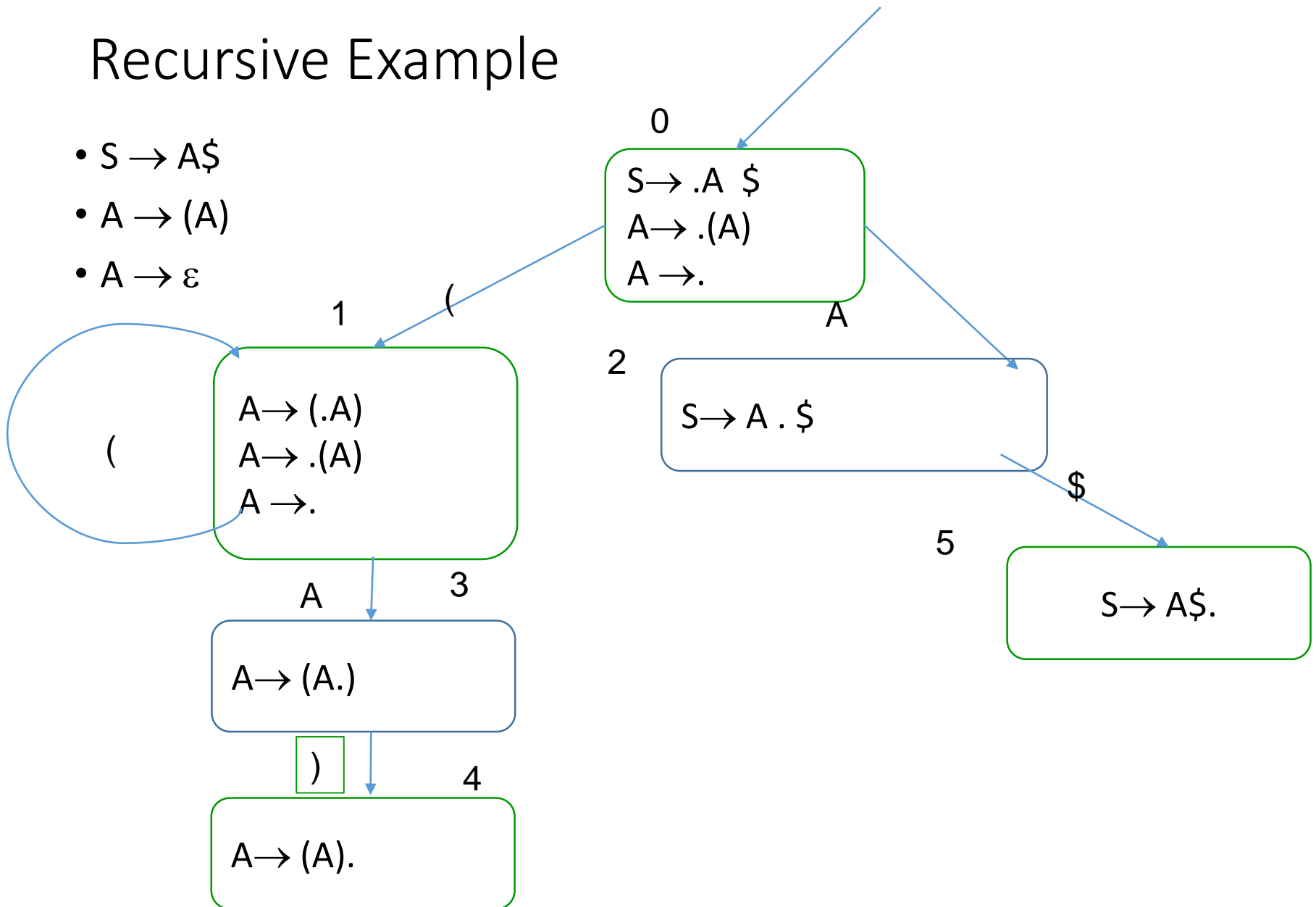
| state | terminal | | | nonterminal | | |
|-------|--------------------------|-----|-------|-------------|---|---|
| | a | \$ | other | S | A | B |
| 0 | s1 | err | err | | 2 | |
| 1 | reduce $A \rightarrow a$ | | | | | |
| 2 | s3 | err | err | | | 4 |
| 3 | reduce $B \rightarrow a$ | | | | | |
| 4 | err | s5 | err | | | |
| 5 | accept | | | | | |

| stack | input | action |
|-------|-------|-------------------|
| 0(\$) | ab \$ | shift 1 |
| 1(a) | b\$ | reduce |
| 0(\$) | | $A \rightarrow a$ |
| 2(A) | b\$ | err |
| 0(\$) | | |

Does this satisfy the valid prefix property?

Recursive Example

- $S \rightarrow A\$$
- $A \rightarrow (A)$
- $A \rightarrow \epsilon$



Control Table Recursive Example

| state | terminal | | | | nonterminal | |
|------------------------------------|------------------------|------------|---------|-------|-------------|---|
| | (|) | \$ | other | S | A |
| 0 S → .A\$ A → .(A) A → . | shift 1/ reduce A → | err | err | err | | 2 |
| 1 A → (.A) A → .(A) A → . | shift 1/ reduce A → | reduce A → | | | | |
| 2 S → A . \$ | shift 5 | err | | | | |
| 3 A → (A.) | err | shift 4 | | | | |
| 4 A → (A). | err | err | shift 5 | | | |
| 5 S → A\$. | accept | | | | | |

Resolving Conflicts using one token SLR(1)

- For every token $t \in \text{follow}(A)$ and for every item $A \rightarrow \alpha. \in S$
 - reduce $A \rightarrow \alpha$ in S
- CUP implements more sophisticated mechanism

Trivial Example Follow

- $S \rightarrow A B \$$
- $A \rightarrow a$
- $B \rightarrow a$

$\text{Follow}(S) = \{\}$

$\text{Follow}(A) = \{a\}$

$\text{Follow}(B) = \{\$ \}$

Control Table Trivial Example with Follow

| state | terminal | | | nonterminal | | |
|----------------------------|-----------------|-----------------|-------|-------------|---|---|
| | a | \$ | other | S | A | B |
| 0 S → .A B \$ A → .a | shift 1 | err | err | | 2 | |
| 1 A → a. | reduce A → a | err | err | | | |
| 2 S → A .B \$ B → .a | shift 3 | err | err | | | 4 |
| 3 B → a. | err | reduce B → a | err | | | |
| 4 S → AB. \$ | err | shift 5 | err | | | |
| 5 S → AB \$. | accept | | | | | |

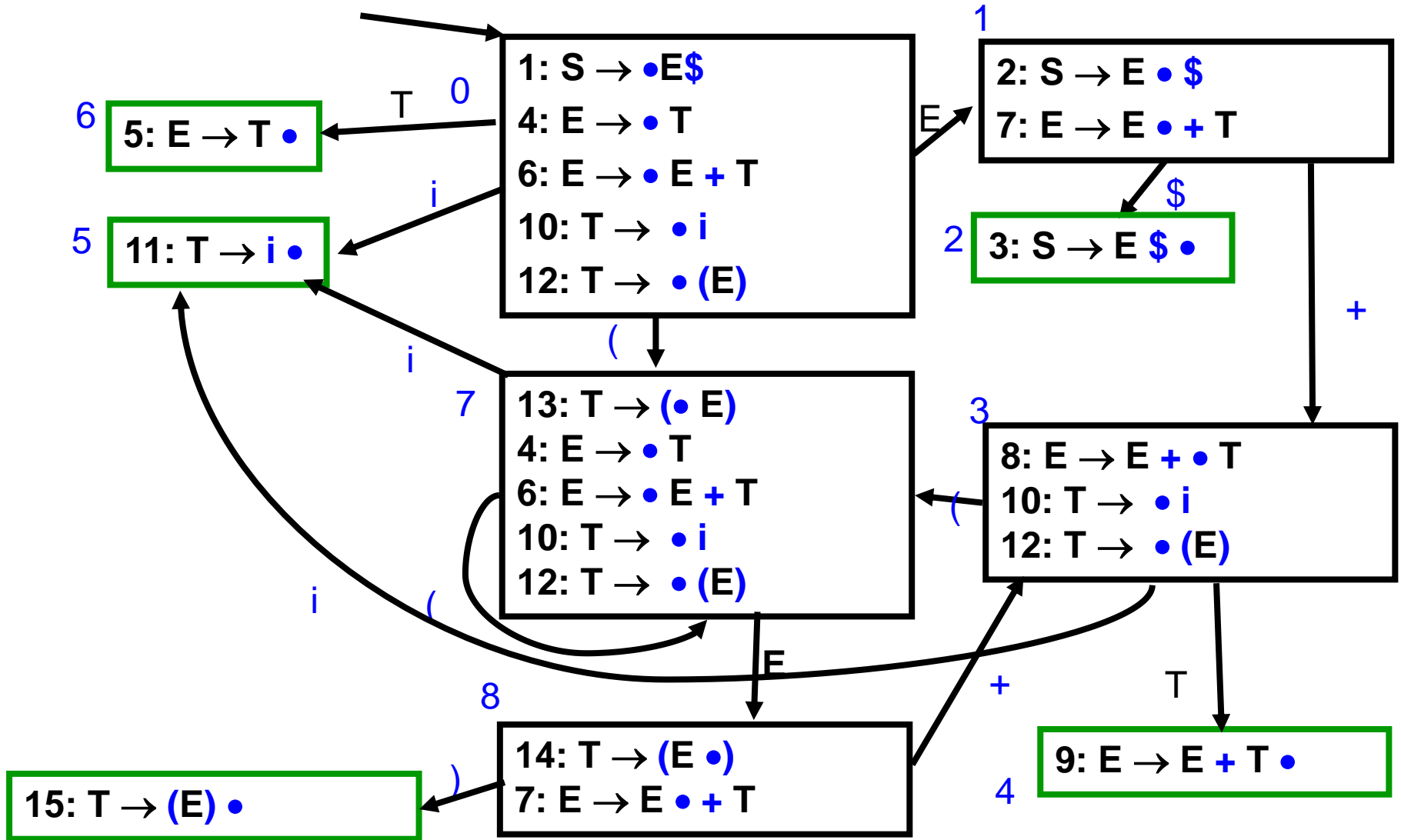
Recursive Example

- $S \rightarrow A\$$
- $A \rightarrow (A)$
- $A \rightarrow \varepsilon$

$\text{Follow}(A) = \{\$,)\}$

Control Table Recursive Example with Follow

| state | terminal | | | | nonterminal | |
|------------------------------------|-----------------------------------|------------|---------|-------|-------------|---|
| | (|) | \$ | other | S | A |
| 0 S → .A\$ A → .(A) A → . | shift 1/ reduce A → | err | err | err | | 2 |
| 1 A → (.A) A → .(A) A → . | shift 1/ reduce A → | reduce A → | | | | |
| 2 S → A . \$ | shift 5 | err | | | | |
| 3 A → (A.) | err | shift 4 | | | | |
| 4 A → (A). | err | err | shift 5 | | | |
| 5 S → A \$. | accept | | | | | |



9

Example Control Table

| | i | + | (|) | \$ | E | T |
|---|------------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E + T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

0(\$)

input

i + i \$

shift 5

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

| |
|---------------|
| 5 (i) |
| 0 (\$) |

input

| |
|---------------|
| + i \$ |
|---------------|

reduce $T \rightarrow i$

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

| |
|---------------|
| 6 (T) |
| 0 (\$) |

input

| |
|---------------|
| + i \$ |
|---------------|

reduce $E \rightarrow T$

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

| |
|--------|
| 1(E) |
| 0 (\$) |

input

| |
|--------|
| + i \$ |
|--------|

shift 3

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

| |
|--------|
| 3 (+) |
| 1 (E) |
| 0 (\$) |

input

| |
|------|
| i \$ |
|------|

shift 5

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

5 (i)

3 (+)

1(E)

0(\$)

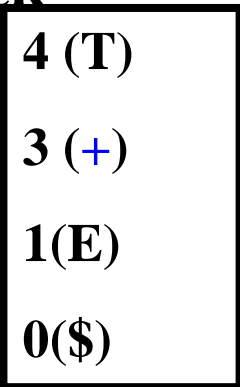
input

\$

reduce $T \rightarrow i$

| | i | + | (|) | \$ | E | T |
|---|------------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E + T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack



input



reduce $E \rightarrow E + T$

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

input

| |
|--------|
| 1 (E) |
| 0 (\$) |

| |
|----|
| \$ |
|----|

shift 2

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

| |
|--------|
| 2 (\$) |
| 1 (E) |
| 0 (\$) |

input

accept

The Rightmost Derivation in Reverse Order

1. $S \rightarrow E\$$

2. $E \rightarrow E + T$

3. $T \rightarrow I$

4. $E \rightarrow T$

5. $T \rightarrow i$

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

0(\$)

input

((i) \$

shift 7

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

| |
|---------------|
| 7(i) |
| 0(\$) |

input

| |
|---------------|
| (i) \$ |
|---------------|

shift 7

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

| |
|-------|
| 7 (() |
| 7(()) |
| 0(\$) |

input

| |
|-------|
| i) \$ |
|-------|

shift 5

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

| |
|--------|
| 5 (i) |
| 7 (() |
| 7 (() |
| 0 (\$) |

input

| |
|------|
|) \$ |
|------|

reduce $T \rightarrow i$

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

6 (T)
7 (()
7 (()
0 (\$)

input

) \$

reduce $E \rightarrow T$

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

8 (E)
7 (()
7 (()
0 (\$)

input

) \$

shift 9

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

9 ()
8 (E)
7 ()
7()
0(\$)

input

\$

reduce $T \rightarrow (E)$

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

stack

| |
|-------|
| 6 (T) |
| 7() |
| 0(\$) |

input

| |
|----|
| \$ |
|----|

reduce $E \rightarrow T$

| | i | + | (|) | \$ | E | T |
|---|----------------------------|-----|-----|-----|-----|---|---|
| 0 | s5 | err | s7 | err | err | 1 | 6 |
| 1 | err | s3 | err | err | s2 | | |
| 2 | acc | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 |
| 4 | reduce $E \rightarrow E+T$ | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 |
| 8 | err | s3 | err | s9 | err | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | |

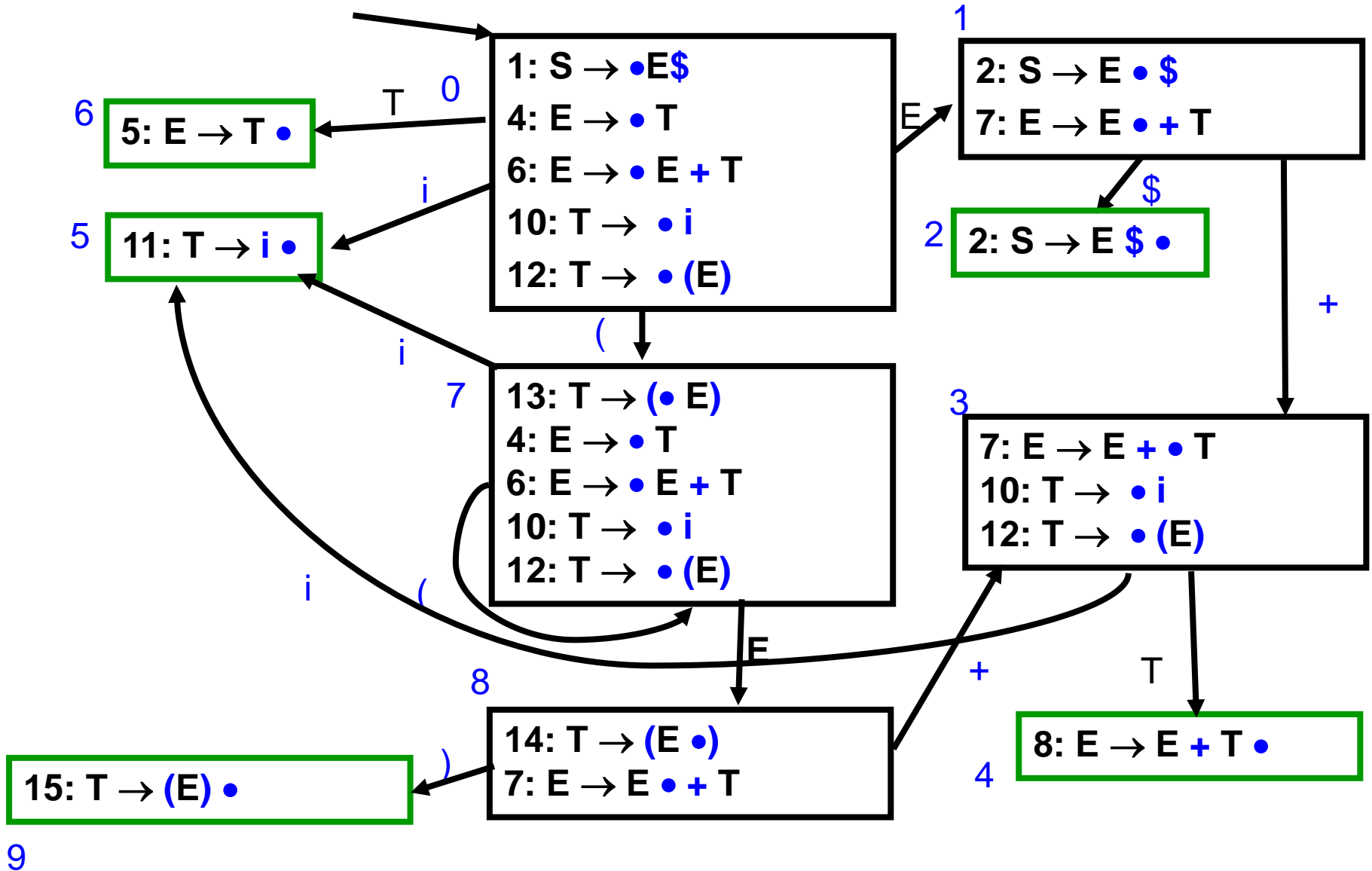
stack

| |
|-------|
| 8 (E) |
| 7() |
| 0(\$) |

input

| |
|----|
| \$ |
|----|

err



Constructing LR(0) parsing table

- Add a production $S' \rightarrow S\$$
- Construct a deterministic finite automaton accepting “valid stack symbols”
- States are set of items $A \rightarrow \alpha \bullet \beta$
 - The states of the automaton becomes the states of parsing-table
 - Determine **shift** operations
 - Determine **goto** operations
 - Determine **reduce** operations

Filling Parsing Table

- A state s_i
- reduce $A \rightarrow \alpha$
 - $A \rightarrow \alpha \bullet \in s_i$
- Shift on t
 - $A \rightarrow \alpha \bullet t \beta \in s_i$
- Goto(s_i, X) = s_j
 - $A \rightarrow \alpha \bullet X \beta \in s_i$
 - $\delta(s_i, X) = s_j$
- When conflicts occurs the grammar is not LR(0)

Example Control Table

| | i | + | (|) | \$ | E | T | |
|---|------------------------------|-----|-----|-----|-----|---|---|--|
| 0 | s5 | err | s7 | err | err | 1 | 6 | |
| 1 | err | s3 | err | err | s2 | | | |
| 2 | acc | | | | | | | |
| 3 | s5 | err | s7 | err | err | | 4 | |
| 4 | reduce $E \rightarrow E + T$ | | | | | | | |
| 5 | reduce $T \rightarrow i$ | | | | | | | |
| 6 | reduce $E \rightarrow T$ | | | | | | | |
| 7 | s5 | err | s7 | err | err | 8 | 6 | |
| 8 | err | s3 | err | s9 | err | | | |
| 9 | reduce $T \rightarrow (E)$ | | | | | | | |

Example Non LR(0) Grammar

$S \rightarrow E\$$

$E \rightarrow E+E$

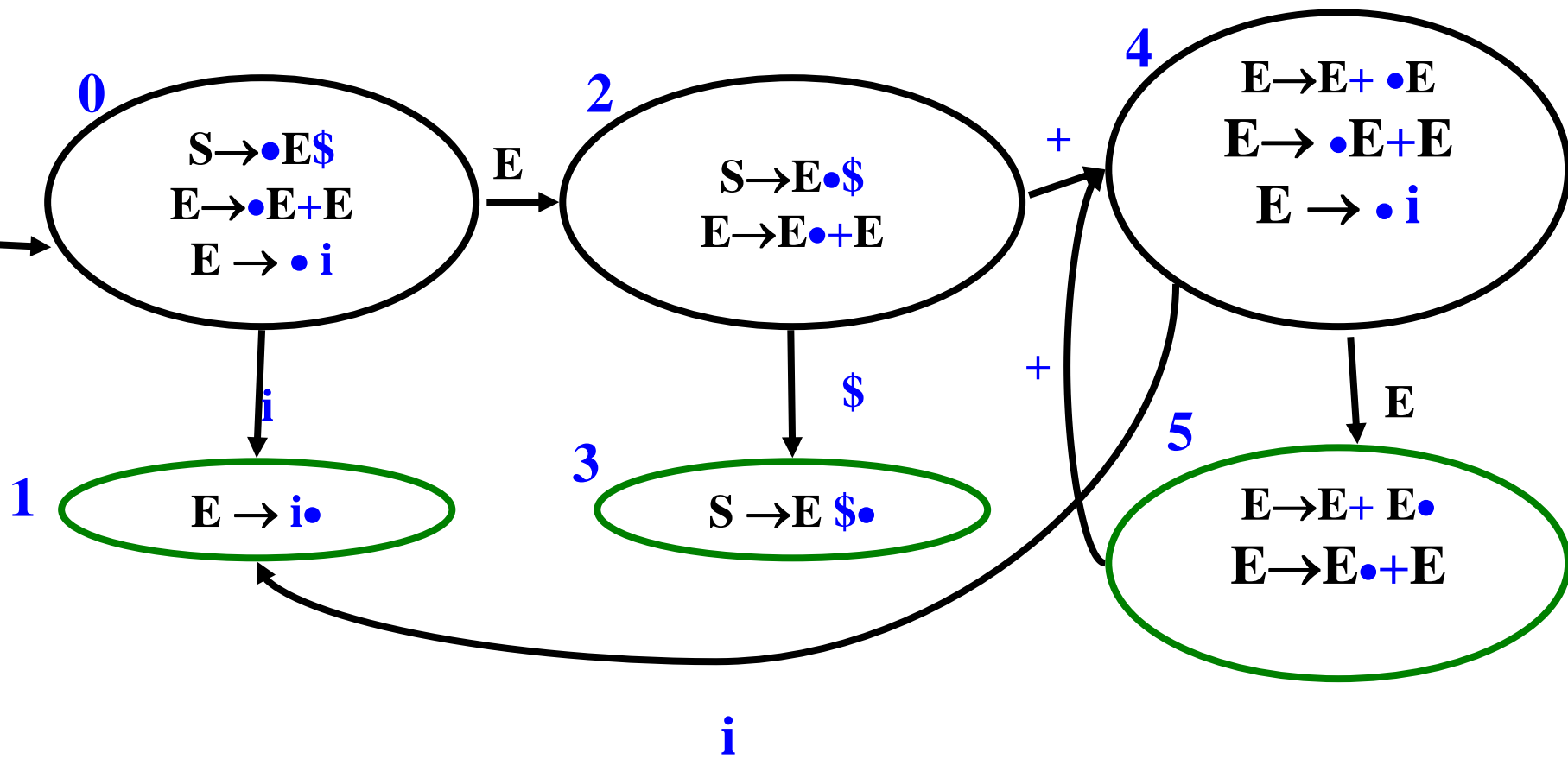
$E \rightarrow i$

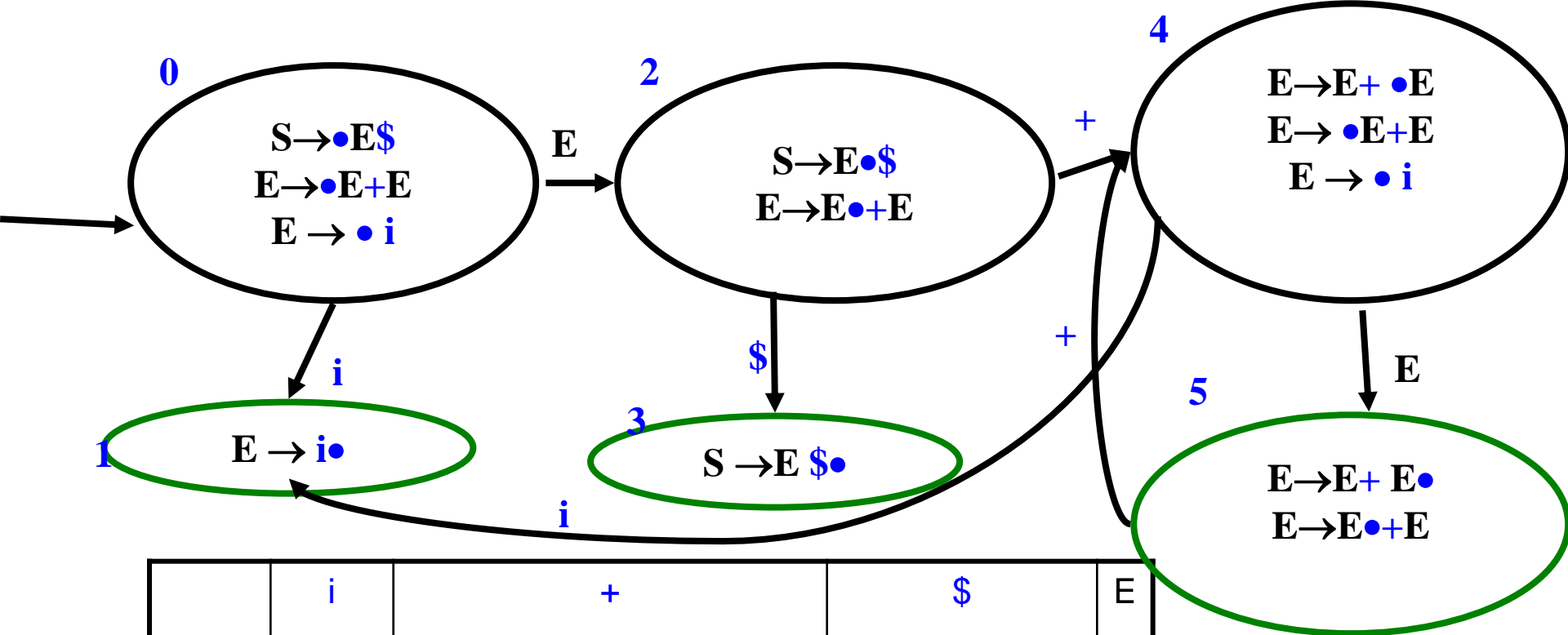
| LR(0) items | i | + | \$ | E | ϵ |
|----------------------------------|----|----|----|---|-----------------------|
| 1: $S \rightarrow \bullet E\$$ | | | | 2 | 4, 8 |
| 2: $S \rightarrow E \bullet \$$ | | | s3 | | |
| 3: $S \rightarrow E \$ \bullet$ | | | | | r $S \rightarrow E\$$ |
| 4: $E \rightarrow \bullet E + E$ | | | | 5 | 4, 8 |
| 5: $E \rightarrow E \bullet + E$ | | s6 | | | |
| 6: $E \rightarrow E + \bullet E$ | | | | 7 | |
| 7: $E \rightarrow E + E \bullet$ | | | | | r $E \rightarrow E+E$ |
| 8: $E \rightarrow \bullet i$ | s9 | | | | |
| 9: $E \rightarrow i \bullet$ | | | | | r $E \rightarrow i$ |

Example Non LR(0)

DFA

$S \rightarrow E \$ \quad E \rightarrow E + E \mid i$

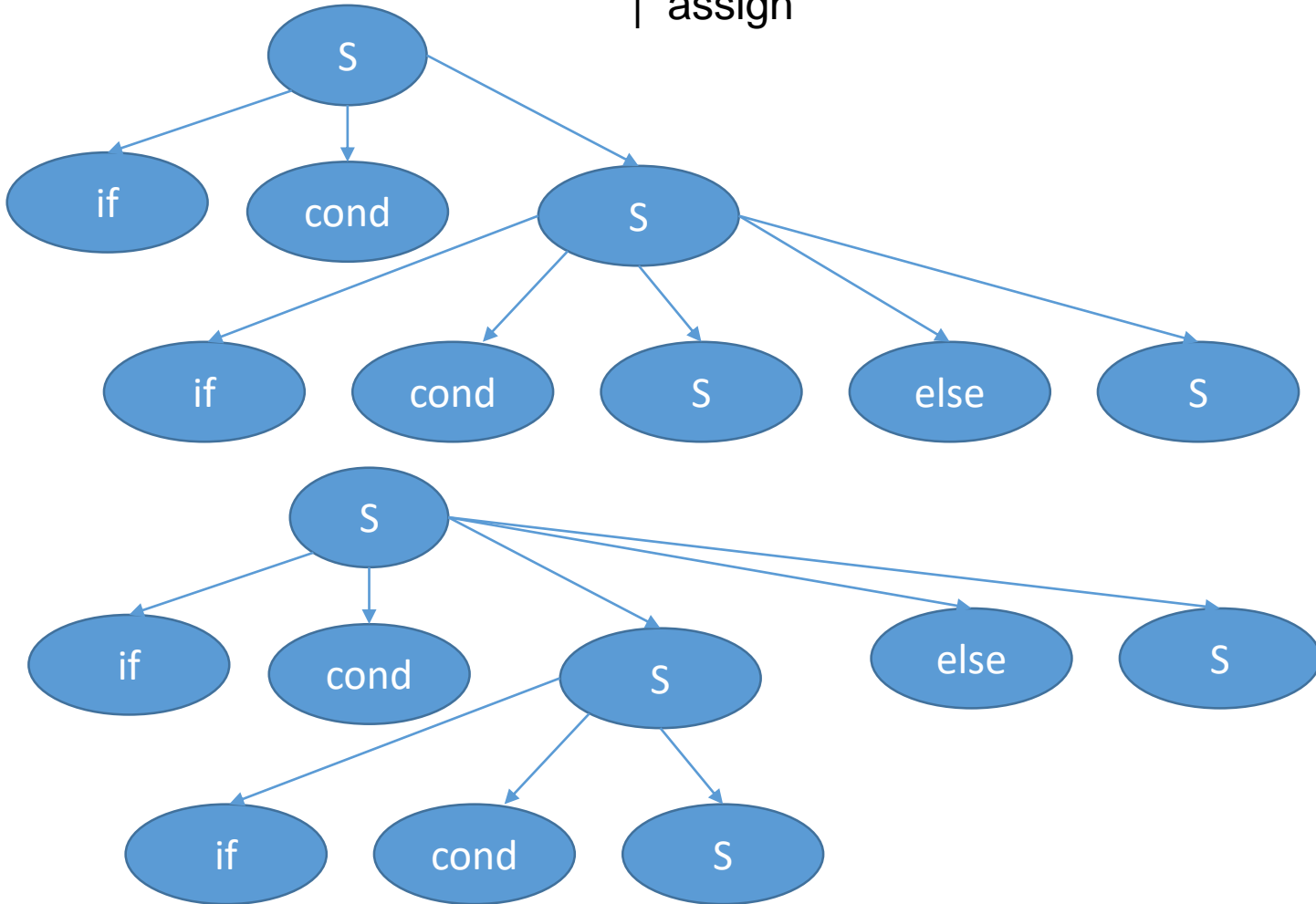




| | i | $+$ | $\$$ | E |
|---|---------------------------|---------------------------------|---------------------------|-----|
| 0 | s1 | err | err | 2 |
| 1 | red $E \rightarrow i$ | | | |
| 2 | err | s4 | s3 | |
| 3 | accept | | | |
| 4 | s1 | | | 5 |
| 5 | red $E \rightarrow E + E$ | s4 red $E \rightarrow E + E$ | red $E \rightarrow E + E$ | 79 |

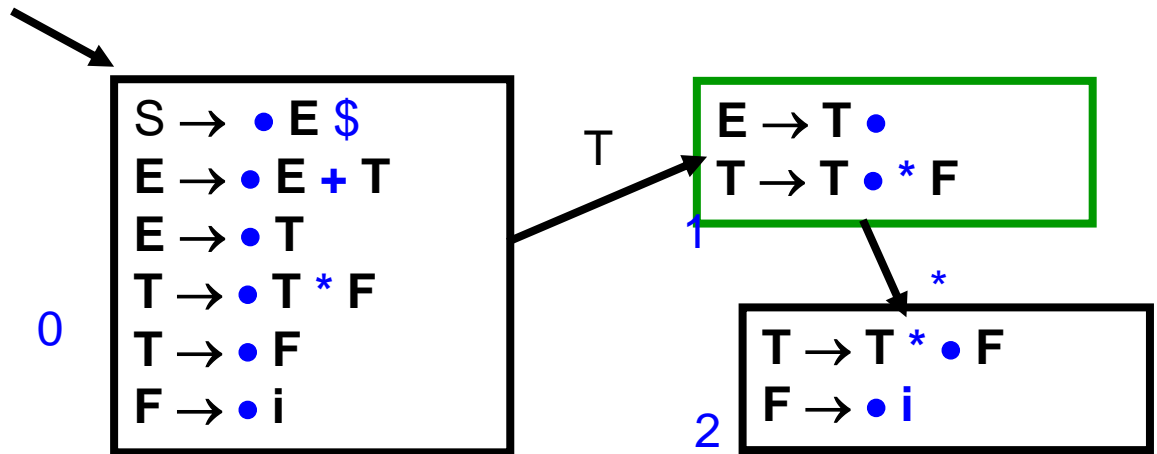
Dangling Else

$S \rightarrow$ if cond s else s
| if cond s
| assign



Non-Ambiguous Non LR(0) Grammar

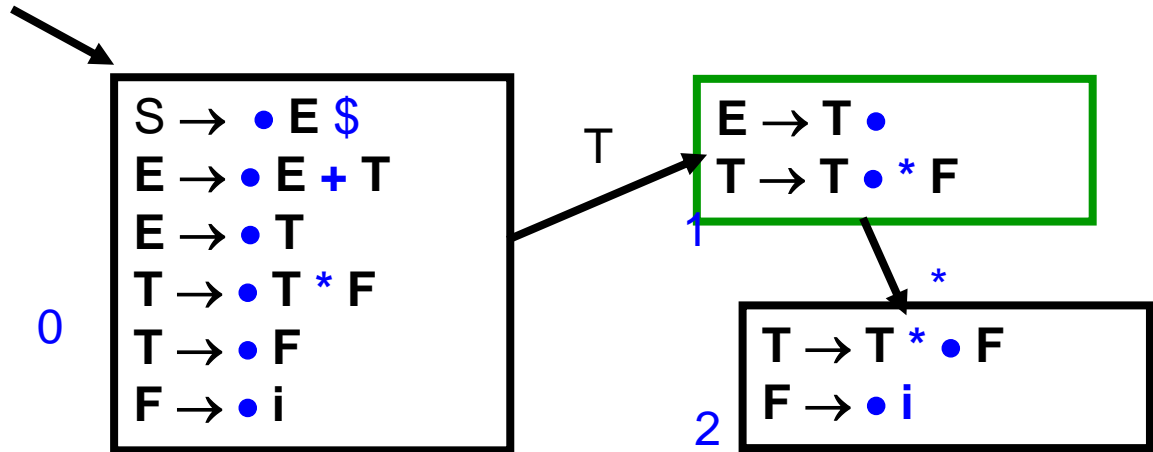
$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
 $T \rightarrow T * F \mid F$
 $F \rightarrow i$



| | i | + | * | |
|---|---|---|---|--|
| 0 | | | | |
| 1 | | ? | ? | |
| 2 | | | | |

Non-Ambiguous SLR(1) Grammar

$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
 $T \rightarrow T * F \mid F$
 $F \rightarrow i$

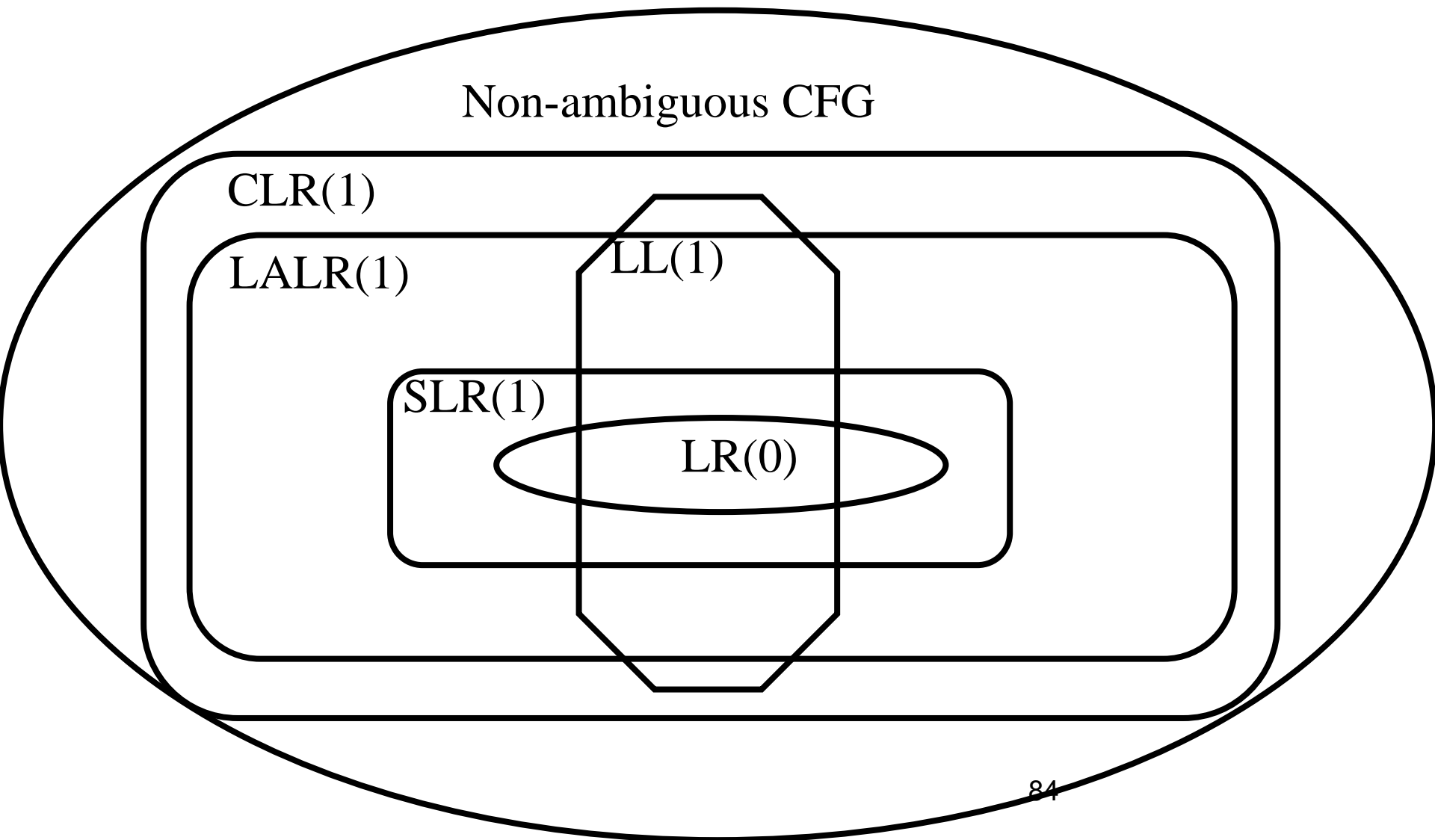


| | i | + | * | |
|---|---|---------------------|----|--|
| 0 | | | | |
| 1 | | r $E \rightarrow T$ | s2 | |
| 2 | | | | |

LR(1) Parser

- LR(1) Items $A \rightarrow \alpha \bullet \beta, t$
 - α is at the top of the stack and we are expecting βt
- LR(1) State
 - Sets of items
- LALR(1) State
 - Merge items with the same look-ahead

Grammar Hierarchy



Interesting Non LR(1) Grammars

- Ambiguous
 - Arithmetic expressions
 - Dangling-else
- Common derived prefix
 - $A \rightarrow B_1 a b \mid B_2 a c$
 - $B_1 \rightarrow \varepsilon$
 - $B_2 \rightarrow \varepsilon$
- Optional non-terminals
 - $st \rightarrow \text{OptLab Ass}$
 - $\text{OptLab} \rightarrow \text{id} : \mid \varepsilon$
 - $\text{Ass} \rightarrow \text{id} := \text{Exp}$

$St \rightarrow \text{id}: \text{Ass} \mid \text{Ass}$

Interesting Non LR(1) Grammars

- Ambiguous
 - Arithmetic expressions
 - Dangling-else
- Common derived prefix
 - $A \rightarrow B_1 a b \mid B_2 a c$
 - $B_1 \rightarrow \varepsilon$
 - $B_2 \rightarrow \varepsilon$
- Optional non-terminals
 - $st \rightarrow \text{OptLab Ass}$
 - $\text{OptLab} \rightarrow \text{id} : \mid \varepsilon$
 - $\text{Ass} \rightarrow \text{id} := \text{Exp}$

A motivating example

- Create a desk calculator
- Challenges
 - Non trivial syntax
 - Recursive expressions (semantics)
 - Operator precedence

Solution (lexical analysis)

```
import java_cup.runtime.*;
%%
%cup
%eofval{
    return sym.EOF;
%eofval}
NUMBER=[0-9]+
%%
"+" { return new Symbol(sym.PLUS); }
"-" { return new Symbol(sym.MINUS); }
"*" { return new Symbol(sym.MULT); }
"/" { return new Symbol(sym.DIV); }
"(" { return new Symbol(sym.LPAREN); }
")" { return new Symbol(sym.RPAREN); }
{NUMBER} {
    return new Symbol(sym.NUMBER, new Integer(yytext()));
}
\n { }
. { }
```

- Parser gets terminals from the Lexer

terminal Integer NUMBER;
terminal PLUS,MINUS,MULT,DIV;
terminal LPAREN, RPAREN;
terminal UMINUS;
nonterminal Integer expr;
precedence left PLUS, MINUS;
precedence left DIV, MULT;
Precedence left UMINUS;
%%

expr ::= expr:e1 PLUS expr:e2
 {: RESULT = new Integer(e1.intValue() + e2.intValue()); :}
 | expr:e1 MINUS expr:e2
 {: RESULT = new Integer(e1.intValue() - e2.intValue()); :}
 | expr:e1 MULT expr:e2
 {: RESULT = new Integer(e1.intValue() * e2.intValue()); :}
 | expr:e1 DIV expr:e2
 {: RESULT = new Integer(e1.intValue() / e2.intValue()); :}
 | MINUS expr:e1 %prec UMINUS
 {: RESULT = new Integer(0 - e1.intValue()); :}
 | LPAREN expr:e1 RPAREN
 {: RESULT = e1; :}
 | NUMBER:n
 {: RESULT = n; :}

Summary

- LR is a powerful technique
- Generates efficient parsers
- Generation tools exist LALR(1)
 - Bison, yacc, CUP
- But some grammars need to be tuned
 - Shift/Reduce conflicts
 - Reduce/Reduce conflicts
 - Efficiency of the generated parser
- There exist more general methods
 - GLR
 - Arbitrary grammars in n^3
 - Early parsers
 - CYK algorithms