

# Syntax Analysis

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Textbook: Modern Compiler Design

Chapter 2.2 (Partial)

# A motivating example

- Create a desk calculator
- Challenges
  - Non trivial syntax
  - Recursive expressions (semantics)
    - Operator precedence

# Solution (lexical analysis)

```
import java_cup.runtime.*;
%%
%cup
%eofval{
    return sym.EOF;
%eofval}
NUMBER=[0-9]+
%%
"+" { return new Symbol(sym.PLUS); }
"-" { return new Symbol(sym.MINUS); }
"*" { return new Symbol(sym.MULT); }
"/" { return new Symbol(sym.DIV); }
"(" { return new Symbol(sym.LPAREN); }
")" { return new Symbol(sym.RPAREN); }
{NUMBER} {
    return new Symbol(sym.NUMBER, new Integer(yytext()));
}
\n { }
. { }
```

- Parser gets terminals from the Lexer

terminal Integer NUMBER;  
terminal PLUS,MINUS,MULT,DIV;  
terminal LPAREN, RPAREN;  
terminal UMINUS;  
non terminal Integer expr;  
precedence left PLUS, MINUS;  
precedence left DIV, MULT;  
Precedence left UMINUS;  
%%

expr ::= expr:e1 PLUS expr:e2  
      {: RESULT = new Integer(e1.intValue() + e2.intValue()); :}  
      | expr:e1 MINUS expr:e2  
      {: RESULT = new Integer(e1.intValue() - e2.intValue()); :}  
      | expr:e1 MULT expr:e2  
      {: RESULT = new Integer(e1.intValue() \* e2.intValue()); :}  
      | expr:e1 DIV expr:e2  
      {: RESULT = new Integer(e1.intValue() / e2.intValue()); :}  
      | MINUS expr:e1 %prec UMINUS  
      {: RESULT = new Integer(0 - e1.intValue()); :}  
      | LPAREN expr:e1 RPAREN  
      {: RESULT = e1; :}  
      | NUMBER:n  
      {: RESULT = n; :}

# Solution (syntax analysis)

```
// input  
7 + 5 * 3
```

```
calc <input
```

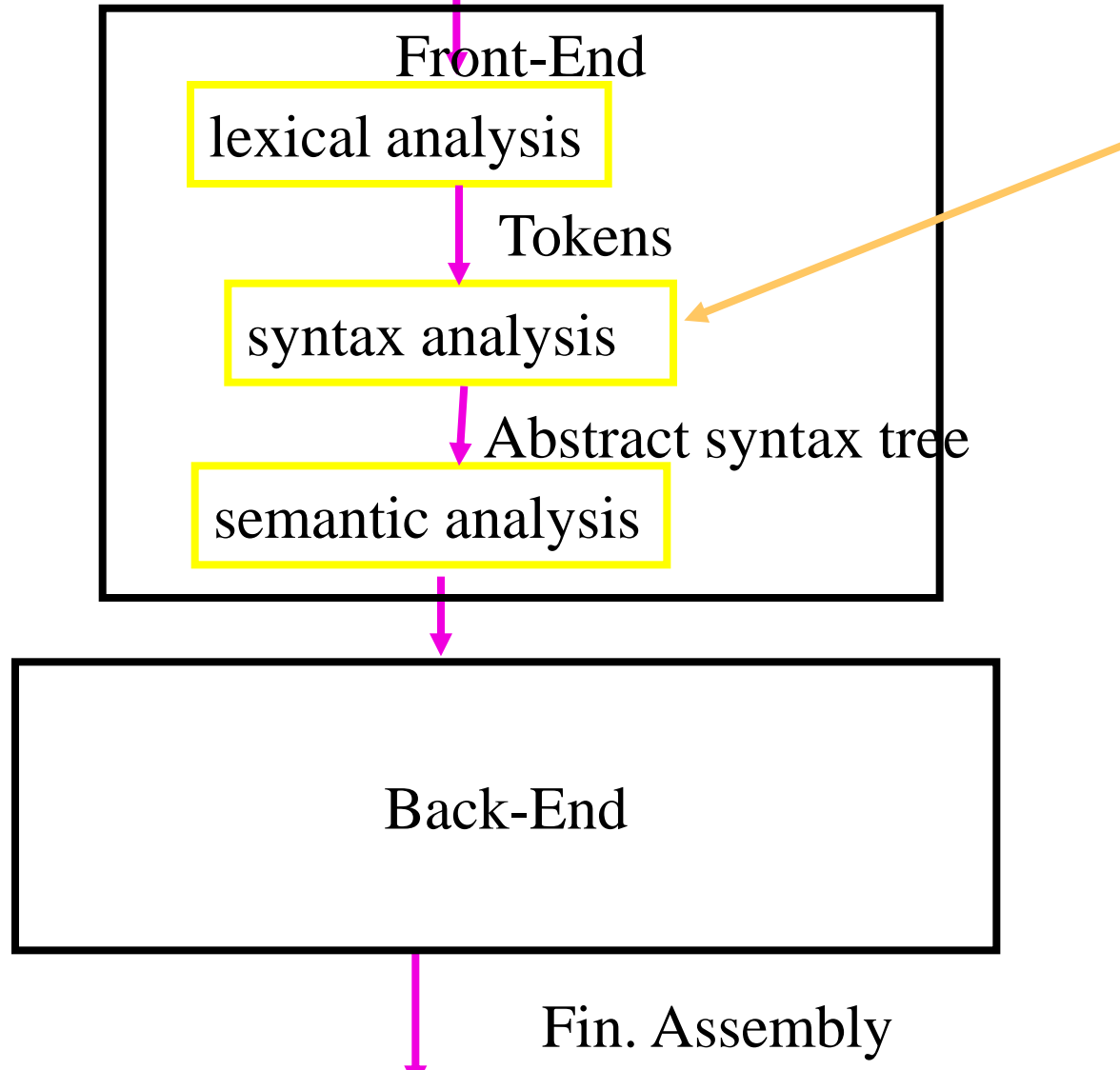
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# Subjects

- The task of syntax analysis
- Automatic generation
- Error handling
- Context Free Grammars
- Ambiguous Grammars
- Top-Down vs. Bottom-Up parsing
- Bottom-up Parsing (next lesson)

# Basic Compiler Phases

Source program (string)



# Syntax Analysis (Parsing)

- input
  - Sequence of tokens
- output
  - Abstract Syntax Tree
- Report syntax errors
  - unbalanced parentheses
- [Create “symbol-table” ]
- [Create pretty-printed version of the program]
- In some cases the tree need not be generated (one-pass compilers)



# Handling Syntax Errors

- Report and locate the error
- Diagnose the error
- Correct the error
- Recover from the error in order to discover more errors
  - without reporting too many “strange” errors

# Example

$a := a * (b + c * d ;$

# The Valid Prefix Property

- For every prefix tokens
  - $t_1, t_2, \dots, t_i$  that the parser identifies as legal:
    - there exists tokens  $t_{i+1}, t_{i+2}, \dots, t_n$  such that  $t_1, t_2, \dots, t_n$  is a syntactically valid program
- If every token is considered as single character:
  - For every prefix word  $u$  that the parser identifies as legal:
    - there exists  $w$  such that
      - $u.w$  is a valid program

# Error Diagnosis

- Line number
  - may be far from the actual error
- The current token
- The expected tokens
- Parser configuration

# Error Recovery

- Becomes less important in interactive environments
- Example heuristics:
  - Search for a semi-column and ignore the statement
  - Try to “replace” tokens for common errors
  - Refrain from reporting 3 subsequent errors
- Globally optimal solutions
  - For every input  $w$ , find a valid program  $w'$  with a “minimal-distance” from  $w$

# Why use context free grammars for defining PL syntax?

- Captures program structure (hierarchy)
- Employ formal theory results
- Automatically create “efficient” parsers

# Context Free Grammar (Review)

- What is a grammar
- Derivations and Parsing Trees
- Ambiguous grammars
- Resolving ambiguity

# Context Free Grammars

- Non-terminals
  - Start non-terminal
- Terminals (tokens)
- Context Free Rules  
 $\langle \text{Non-Terminal} \rangle \rightarrow \text{Symbol Symbol} \dots \text{Symbol}$



# Example Context Free Grammar

- 1  $S \rightarrow S ; S$
- 2  $S \rightarrow \text{id} := E$
- 3  $S \rightarrow \text{print } (L)$
- 4  $E \rightarrow \text{id}$
- 5  $E \rightarrow \text{num}$
- 6  $E \rightarrow E + E$
- 7  $E \rightarrow (S, E)$
- 8  $L \rightarrow E$
- 9  $L \rightarrow L, E$

# Derivations

- Show that a sentence is in the grammar (valid program)
  - Start with the start symbol
  - Repeatedly replace one of the non-terminals by a right-hand side of a production
  - Stop when the sentence contains terminals only
- Rightmost derivation
- Leftmost derivation

# Example Derivations

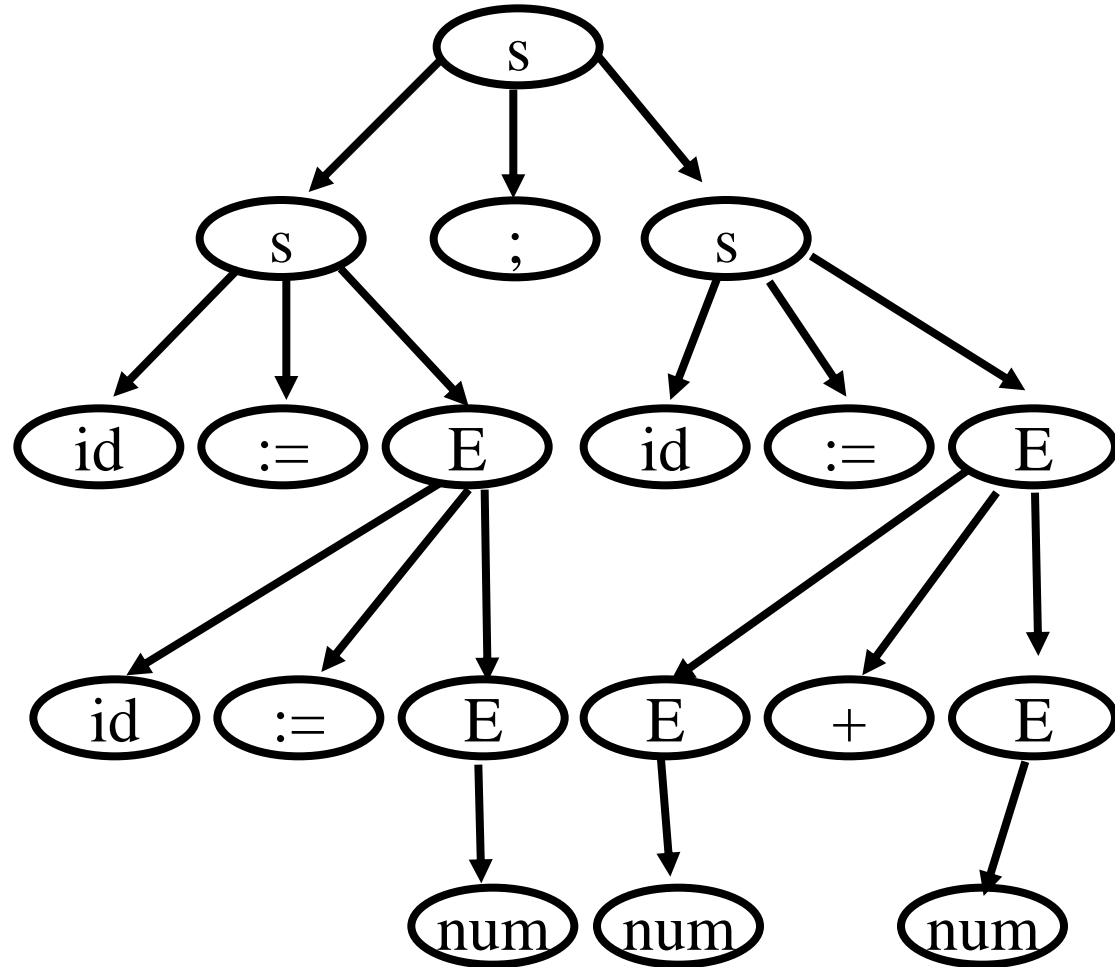
	<u>S</u>
	S ; <u>S</u>
1	$S \rightarrow S ; S$
2	$S \rightarrow \text{id} := E$
3	$S \rightarrow \text{print}(L)$
4	$E \rightarrow \text{id}$
5	$E \rightarrow \text{num}$
6	$E \rightarrow E + E$
7	$E \rightarrow (S, E)$
8	$L \rightarrow E$
9	$L \rightarrow L, E$
	$\text{id} := \text{num} ; \text{id} := E$
	$\text{id} := \text{num} ; \text{id} := E + E$
	$\text{id} := \text{num} ; \text{id} := \text{num} + \text{num}$
	a    56    b    77    16

# Parse Trees

- The trace of a derivation
- Every internal node is labeled by a non-terminal
- Each symbol is connected to the deriving non-terminal

# Example Parse Tree

S  
S ; S  
S ; id := E  
id := E ; id := E  
id := num ; id := E  
id := num ; id := E + E  
id := num ; id := E + num  
id := num ; id := num + num



# Ambiguous Grammars

- Two leftmost derivations
- Two rightmost derivations
- Two parse trees

# A Grammar for Arithmetic Expressions

1  $E \rightarrow E + E$

2  $E \rightarrow E * E$

3  $E \rightarrow \text{id}$

4  $E \rightarrow (E)$

# Drawbacks of Ambiguous Grammars

- Ambiguous semantics
- Parsing complexity
- May affect other phases



# Non Ambiguous Grammar for Arithmetic Expressions

Ambiguous grammar

$$1 \quad E \rightarrow E + E$$

$$2 \quad E \rightarrow E * E$$

$$3 \quad E \rightarrow \text{id}$$

$$4 \quad E \rightarrow (E)$$

$$1 \quad E \rightarrow E + T$$

$$2 \quad E \rightarrow T$$

$$3 \quad T \rightarrow T * F$$

$$4 \quad T \rightarrow F$$

$$5 \quad F \rightarrow \text{id}$$

$$6 \quad F \rightarrow (E)$$

# Non Ambiguous Grammars for Arithmetic Expressions

Ambiguous grammar

$$1 \quad E \rightarrow E + E$$

$$2 \quad E \rightarrow E * E$$

$$3 \quad E \rightarrow \text{id}$$

$$4 \quad E \rightarrow (E)$$

$$1 \quad E \rightarrow E + T$$

$$2 \quad E \rightarrow T$$

$$3 \quad T \rightarrow T * F$$

$$4 \quad T \rightarrow F$$

$$5 \quad F \rightarrow \text{id}$$

$$6 \quad F \rightarrow (E)$$

$$1 \quad E \rightarrow E * T$$

$$2 \quad E \rightarrow T$$

$$3 \quad T \rightarrow F + T$$

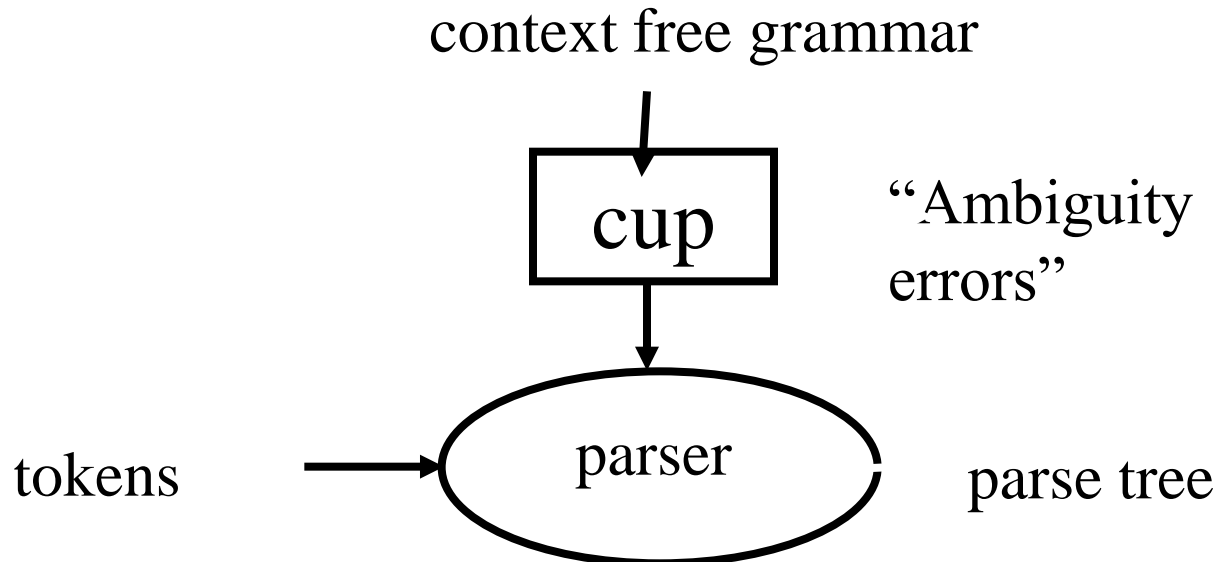
$$4 \quad T \rightarrow F$$

$$5 \quad F \rightarrow \text{id}$$

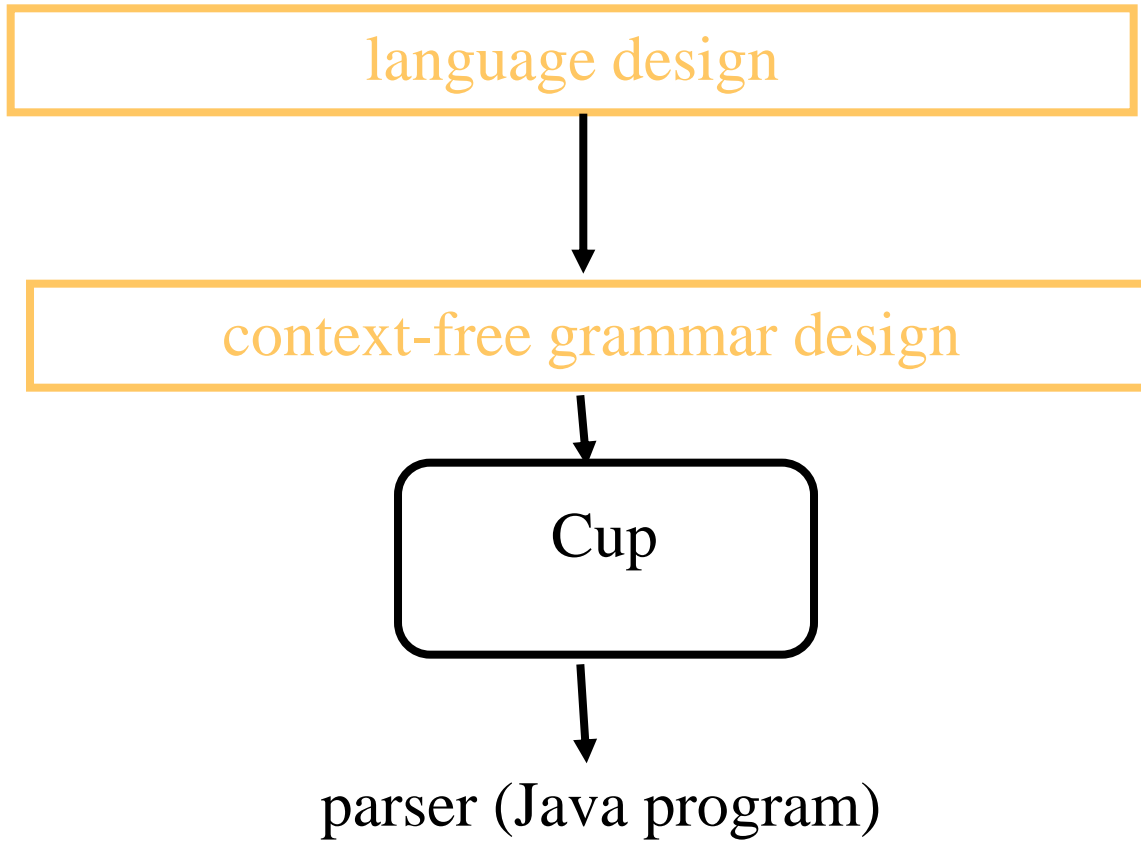
$$6 \quad F \rightarrow (E)$$

# Efficient Parsers

- Pushdown automata
- Deterministic
- Report an error as soon as the input is not a prefix of a valid program
- Not usable for all context free grammars



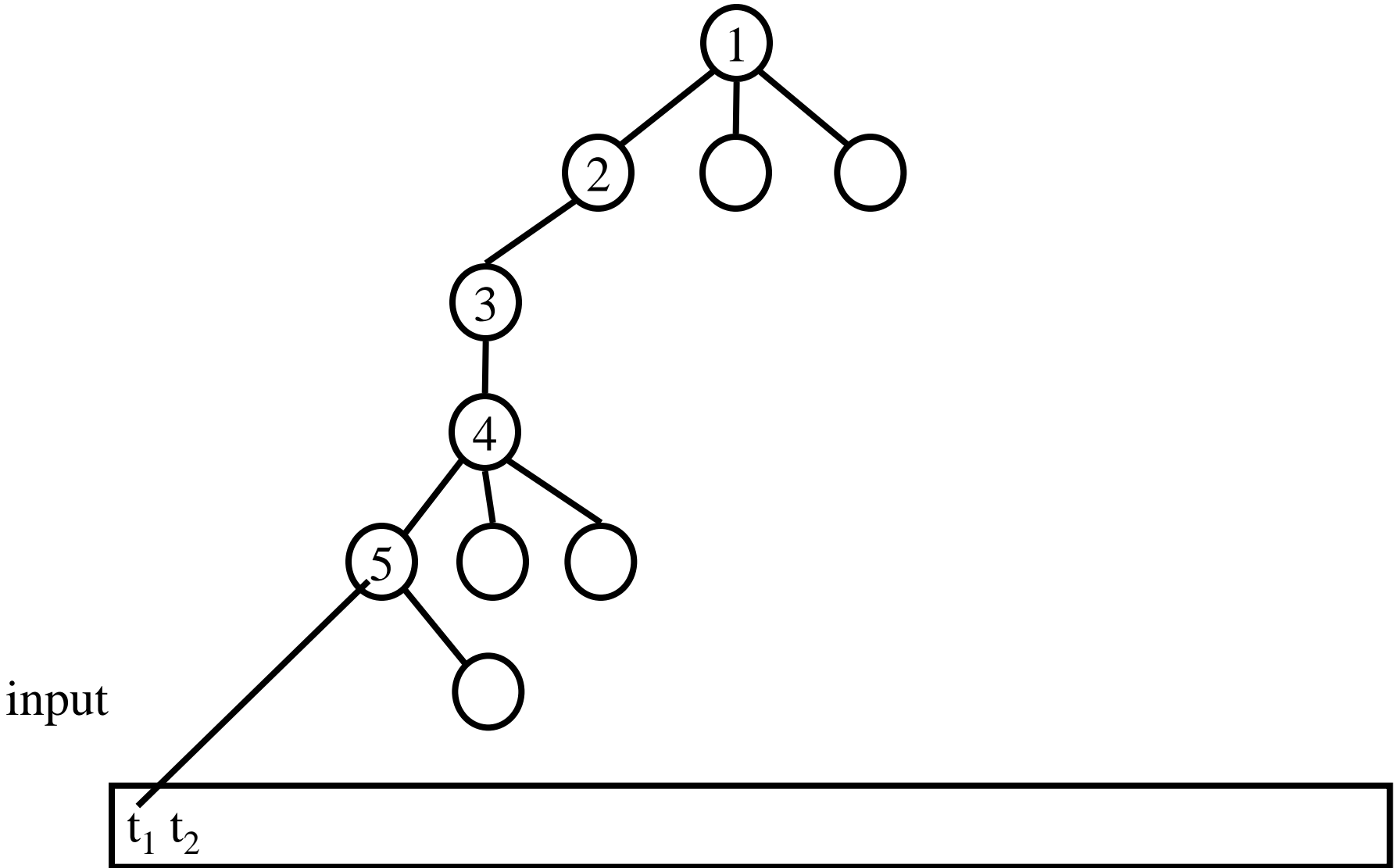
# Designing a parser



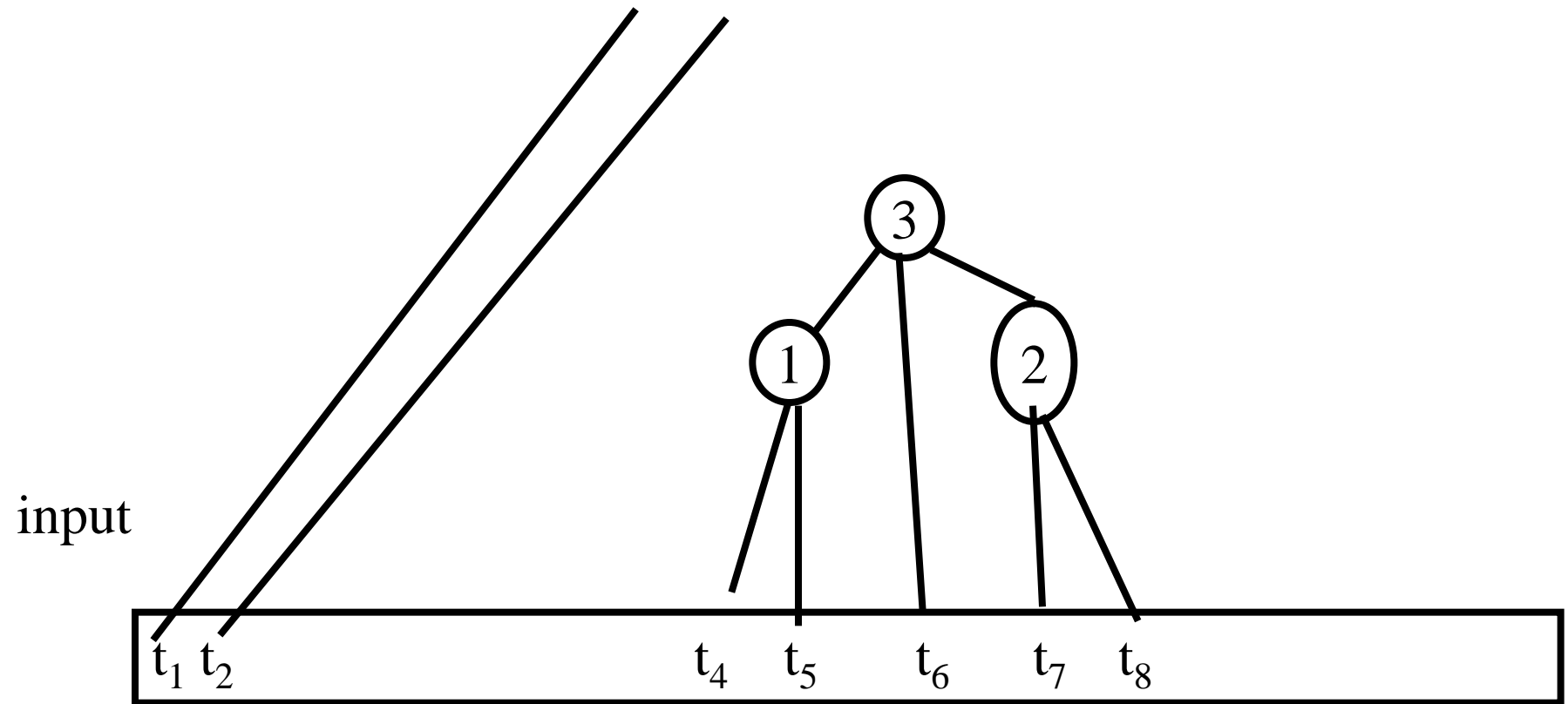
# Kinds of Parsers

- Top-Down (Predictive Parsing) LL
  - Construct parse tree in a top-down manner
  - Find the leftmost derivation
  - For every non-terminal and token **predict** the next production
  - Preorder tree traversal
- Bottom-Up LR
  - Construct parse tree in a bottom-up manner
  - Find the rightmost derivation in a reverse order
  - For every potential right hand side and token decide when a production is found
  - Postorder tree traversal

# Top-Down Parsing



# Bottom-Up Parsing



# Example Grammar for Predictive LL Top-Down Parsing

expression  $\rightarrow$  digit | ‘(‘ expression operator expression ‘)’

operator  $\rightarrow$  ‘+’ | ‘\*’

digit  $\rightarrow$  ‘0’ | ‘1’ | ‘2’ | ‘3’ | ‘4’ | ‘5’ | ‘6’ | ‘7’ | ‘8’ | ‘9’



```

static int Parse_Expression(Expression **expr_p) {
    Expression *expr = *expr_p = new_expression() ;
    /* try to parse a digit */
    if (Token.class == DIGIT) {
        expr->type='D'; expr->value=Token.repr - '0';    get_next_token();
        return 1;    }
    /* try parse parenthesized expression */
    if (Token.class == '(') {
        expr->type='P'; get_next_token();
        if (!Parse_Expression(&expr->left)) Error("missing expression");
        if (!Parse_Operator(&expr->oper)) Error("missing operator");
        if (Token.class != ')') Error("missing )");
        get_next_token();
        return 1; }
    return 0;
}

```

# Parsing Expressions

- Try every alternative production
  - For  $P \rightarrow A_1 A_2 \dots A_n \mid B_1 B_2 \dots B_m$
  - If  $A_1$  succeeds
    - Call  $A_2$
    - If  $A_2$  succeeds
      - Call  $A_3$
    - If  $A_2$  fails report an error
  - Otherwise try  $B_1$
- Recursive descent parsing
- Can be applied for certain grammars
- Generalization: LL1 parsing

```

int P(...) {
    /* try parse the alternative  $P \rightarrow A_1 A_2 \dots A_n$  */
    if (A1(...)) {
        if (!A2()) Error("Missing A2");
        if (!A3()) Error("Missing A3");
        ..
        if (!An()) Error("Missing An");
        return 1;
    }
    /* try parse the alternative  $P \rightarrow B_1 B_2 \dots B_m$  */
    if (B1(...)) {
        if (!B2()) Error("Missing B2");
        if (!B3()) Error("Missing B3");
        ..
        if (!Bm()) Error("Missing Bm");
        return 1;
    }
    return 0;
}

```

# Predictive Parser for Arithmetic Expressions

- Grammar
  - 1  $E \rightarrow E + T$
  - 2  $E \rightarrow T$
  - 3  $T \rightarrow T * F$
  - 4  $T \rightarrow F$
  - 5  $F \rightarrow \text{id}$
  - 6  $F \rightarrow (E)$
  
- C-code?

# Summary

- Context free grammars provide a natural way to define the syntax of programming languages
- Ambiguity may be resolved
- Predictive parsing is natural
  - Good error messages
  - Natural error recovery
  - But not expressive enough
- But bottom-up parsing is more expressible