

# OCaml Revisited

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**Code from Ilya Sergey,  
Real World OCaml**

# Factorial in OCaml

```
let rec fac n = if n = 0 then 1 else n * fac (n - 1)
```

```
val fac : int -> int = <fun>
```

```
let rec fac n : int = if n = 0 then 1 else n * fac (n - 1)
```

```
let rec fac n = match n with
```

```
  | 0 -> 1
```

```
  | n -> n * fac(n - 1)
```

```
let rec fac = function
```

```
  | 0 -> 1
```

```
  | n -> n * fac(n - 1)
```

```
let fac n =
```

```
  let rec ifac n acc =
```

```
    if n=0 then acc else ifac n-1, n * acc
```

```
  in ifac n, 1
```

# Functions on Lists

```
let rec length l =  
  match l with  
  [] -> 0  
  | hd :: tl -> 1 + length tl  
val length : 'a list -> int = <fun>
```


```
length [1; 2; 3] + length ["red"; "yellow"; "green"]  
:- int = 6
```

```
length ["red"; "yellow"; 3]
```

# Map Function on Lists

- Apply function to every element of list

```
let rec map f arg =  
  match arg with  
  | [] -> []  
  | hd :: tl -> f hd :: (map f tl)  
  
val map : ('a -> 'b) -> 'a list -> 'b list = <fun>
```

map (fun x -> x+1) [1;2;3]  [2,3,4]

- Compare to Lisp

```
(define map  
  (lambda (f xs)  
    (if (eq? xs ()) ()  
        (cons (f (car xs)) (map f (cdr xs))))  
  )))
```

# More Functions on Lists

- Append lists

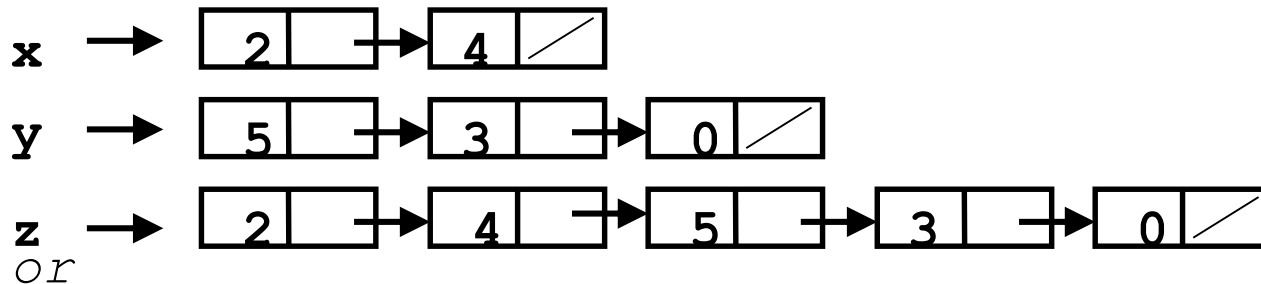
```
let rec append l1 l2 =  
  match l1 with  
  | [] -> l2  
  | hd :: tl -> hd :: append (tl l2)  
val append 'a list -> 'a list -> 'a list
```

```
let rec append l1 l2 =  
  match l1 with  
  | [] -> []  
  | hd :: tl -> hd :: append (tl l2)  
val append 'a list -> 'b -> 'a list
```

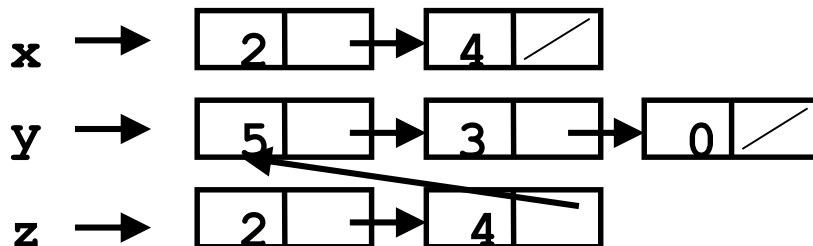
# List Example

```
let rec append l1 l2 =  
  match l1 with  
  | [] -> l2  
  | hd :: tl -> hd :: append tl l2  
val append : 'a list -> 'a list -> 'a list = <fun>
```

```
let x = [2;4] //val x : int list = [2; 4]  
let y = [5;3;0] //val y : int list = [5; 3; 0]  
let z = append x y  
//val z : int list = [2; 4; 5; 3; 0]
```



*(can't tell,  
but it's the  
second one)*



# More Functions on Lists

- Reverse a list

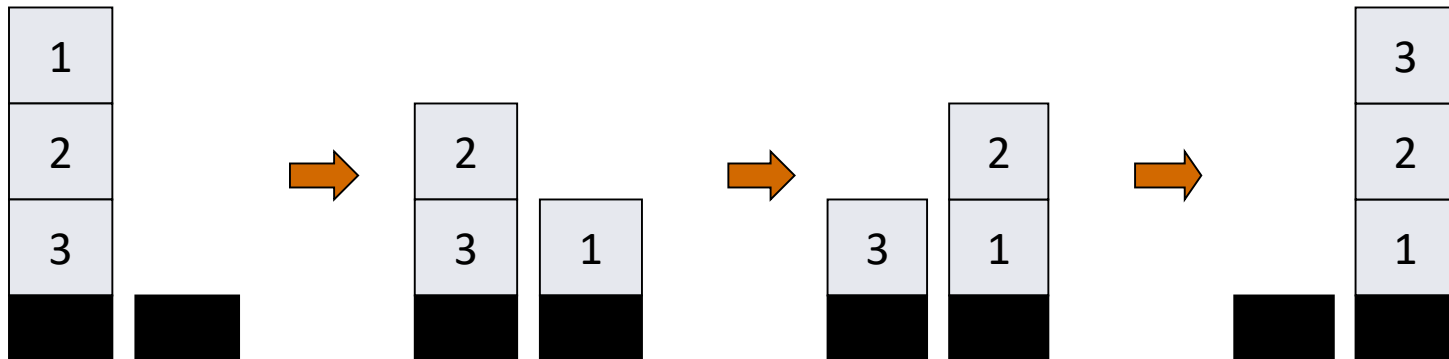
```
let rec reverse l =  
  match l with  
  | [] -> []  
  | hd :: tl -> append (reverse tl) [hd]  
val reverse 'a list -> 'a list
```

- Questions

- How efficient is reverse?
- Can it be done with only one pass through list?

# More Efficient Reverse

```
let rev list =  
  let rec aux acc arg =  
    match arg with  
    [] -> acc  
    | h::t -> aux (h::acc) t  
  in  
    aux [] list  
val rev : 'a list -> 'a list = <fun>
```





# Some Exercises

- Sum of the elements
- Drop an element
- Filter elements
- Insertion sort

# Insertion Sort

```
let rec sort ls = match ls with
  | [] -> []
  | x :: rest -> insert x (sort rest)
and insert elem ls = match ls with
  | [] -> [elem]
  | x :: l -> if elem < x then elem :: x :: l
              else x :: insert elem l
```

```
val sort : 'a list -> 'a list = <fun>
val insert : 'a -> 'a list -> 'a list = <fun>
```

```
sort [2; 1; 0];;
- : int list = [0; 1; 2]
```

```
sort ["yes"; "ok"; "sure"; "ya"; "yep"];;
- : string list = ["ok"; "sure"; "ya"; "yep"; "ye"]
```

# Variant Records

- Provides a way to declare Algebraic data types

```
type expression = Number of int | Plus of expression * expression
```

```
let rec eval_exp (e : expression) =  
  match e with  
  | Number(n) -> n  
  | Plus (left, right) -> eval_exp(left) + eval_exp(right)  
val eval_exp : expression -> int = <fun>
```

```
eval_exp (Plus(Plus(Number(2), Number(3)), Number(5)))  
:- int = 10
```

# Algebraic Type for Naturals

```
type nat = zero | Succ of nat
```

```
let nat_to_int n = ....
```

```
let int_to_nat n = ....
```

# List Algebraic Type

```
type 'a list = empty | cons of 'a * list
```

# Binary Search Trees

```
type 'a bst = Empty | Node of 'a bst * 'a * 'a bst
```

```
let rec find_max ...
```

```
let rec insert ...
```

```
let rec delete ...
```

# Insertion into a sorted tree

```
let rec insert x = function
| Empty -> Node (Empty, x, Empty)
| Node (l, y, r) ->
    if x = y then Node (l, y, r)
    else if x < y then Node (insert x l, y, r)
    else Node (l, y, insert x r)
```

```
val insert : 'a -> 'a bst -> 'a bst =
```

# Modules & Side-effects



# Benefits of Functional Programming

- No side-effects
- Referential Transparency
  - The value of expression  $e$  depends only on its arguments
- Conceptual
- Commutativity
- Easier to show that the code is correct
- Easier to generate efficient implementation

# Side-Effects

- But sometimes side-effects are necessary
- The whole purpose of programming is to conduct side-effects
  - Input/Output
- Sometimes sharing is essential for functionality
- OCaml provides mechanisms to capture side-effects
  - Enable efficient handling of code with little side effects

# OCaml Features for modularity

- **modules** organize identifiers (functions, values, etc.) into namespaces
- **signatures**
  - describe related modules
- **abstract types**
  - control what is visible outside a namespace

# Stack with Abstract Data Types

```
module type STACK = sig
  type t
  val empty : t
  val is_empty : t -> bool
  val push : int -> t -> t
  val pop : t -> int * t
end
module Stack : STACK = struct
  type t = int list
  let empty = [ ]
  let is_empty s = s = [ ]
  let push x s = x :: s
  let pop s = match s with
    [ ] -> failwith "Empty"
    | x::xs -> (x,xs)
end
```

# Input/Output

```
print_string: String -> Unit
```

```
let x = 3 in  
  let () = print_string ("Value of x is " ^ (string_of_int x)) in  
  x + 1  
value of x is 3- : int = 4
```

```
e ::= ... | ( e1; ... ; en )
```

```
let x = 3 in  
  (print_string ("Value of x is " ^ (string_of_int x));  
   x + 1)
```

Iterative loops are supported too

# Refs and Arrays

- Two built-in data-structures for implementing shared objects

```
module type REF =  
  sig  
    type 'a ref  
    (* ref(x) creates a new ref containing x *)  
    val ref : 'a -> 'a ref  
    (* !x is the contents of the ref cell x *)  
    val (!) : 'a ref -> 'a  
    (* Effects: x := y updates the contents of x  
     * so it contains y. *)  
    val (:=) : 'a ref -> 'a -> unit  
  end
```

# Simple Ref Examples

```
let x : int ref = ref 3
in
  let y : int = !x
  in
    (x := !x + 1);
    y + !x
- : int = 7
```

# More Examples of Imperative Programming

- Create cell and change contents

```
let x = ref "Bob";  
x := "Bill";
```



- Create cell and increment

```
let y = ref 0;  
y := !y + 1;
```



- While loop

```
let i = ref 0;  
while !i < 10 do i := !i + 1;  
i;
```



# Imperative Loops

- Combine normal control flow structures and functional programming

```
for i = 1 to 100 do  
  Printf.printf ("%d", fact i)  
done
```

# Imperative Factorial

```
let fact n =  
  let result = ref 1 in  
  for i = 2 to n do  
    result := i * !result  
  done;  
  !result;;  
val fact : int -> int = <fun>
```

# Fibonacci Numbers

- A sequence
  - $\text{fib}_0=1$
  - $\text{fib}_1=1$
  - $\text{fib}_n = \text{fib}_{n-2} + \text{fib}_{n-1}$

1 1 2 3 5

# Fibonacci in OCaml

```
let rec fib n =  
  match n with  
  | 0 -> 1  
  | 1 -> 1  
  | n -> n * fib(n - 1)
```

```
let rec fibonacci n =  
  if n < 3 then  
    1  
  else  
    fibonacci (n-1) + fibonacci (n-2)
```

```
let () =  
  for n = 1 to 16 do  
    Printf.printf "%d, " (fibonacci n)  
  done;  
  print_endline "..."
```

# Fibonacci in OCaml

```
let rec fib n =  
  match n with  
  | 0 -> 1  
  | 1 -> 1  
  | n -> n * fib(n - 1)
```

How efficient is fib(n)?

```
time (fun () -> fib 20);  
Time: 0.379086ms - : int = 10946
```

```
time (fun () -> fib 40);  
Time: Time: 4.61983s - : int = 165580141
```

# Memoized Fibonacci

```
let memo_fib n =  
  if n <= 1 then 1  
  else begin  
    let fib = ref 1 in  
    let fib_prev = ref 1 in  
    for i = 2 to n do  
      let tmp = !fib_prev in  
      fib_prev := !fib;  
      fib := tmp + !fib;  
    done;  
    !fib  
  end
```

# Testing the results

```
let test_fib n =  
  for i = 0 to n do  
    assert (memo_fib n = fib n)  
  done;  
  true
```

```
time fib 38;;  
Execution elapsed time: 1.433646 sec  
- : int = 63245986  
time memo_fib 38;;  
Execution elapsed time: 0.000008 sec  
- : int = 63245986
```

# Associative Maps

```
type 'k 'v assocMap = emptyMap | cons of 'k * 'v * assocMap
```

```
let rec access m index =  
  match m with  
  | emptyMap      -> raise  
    (FailWith "Element not found"^ (string_of_int index))  
  | cons(k, v, rest) ->  
    if k = index then v else access rest index
```

```
let rec set m index newValue =  
  match m with  
  | emptyMap      -> cons(index, newValue, emptyMap)  
  | cons(k, v, rest) -> if k = index then  
    cons(index, newValue, rest)  
    else  
    cons(k, v, set(rest, index, newValue))
```



# Arrays

- A ML module provides efficient constant time array access
  - `<array_expr>.(<index_expr>)`
  - `<array_expr>.(<index_expr>) <- <value_expr>`

# Array Example

```
let add_polynom p1 p2 =  
  let n1 = Array.length p1  
  and n2 = Array.length p2 in  
  let result = Array.make (max n1 n2) 0 in  
  for i = 0 to n1 - 1 do result.(i) <- p1.(i) done;  
  for i = 0 to n2 - 1 do result.(i) <- result.(i) + p2.(i) done;  
  result
```

```
val add_polynom : int array -> int array -> int array = <fun>
```

```
add_polynom [| 1; 2 |] [| 1; 2; 3 |];
```

```
- : int array = [|2; 4; 3|]
```

# In situ reverse

```
let rev_inplace ar =  
  let i = ref 0 in  
  let j = ref (Array.length ar - 1) in  
  (* terminate when the upper and lower indices meet *)  
  while !i < !j do  
    (* swap the two elements *)  
    let tmp = ar.(!i) in  
    ar.(!i) <- ar.(!j);  
    ar.(!j) <- tmp;  
    (* bump the indices *)  
    Int.incr i;  
    Int.decr j  
  done
```

```
let nums = [|1;2;3;4;5|];;
```

```
rev_inplace nums;
```

# OCaml Advanced Modularity Features

- Functors and Signatures
- Functions from Modules to Modules
- Permit
  - Dependency injection
  - Swap implementations
  - Advanced testing

# Summary Modularity

- ML provides flexible mechanisms for modularity
- Guarantees type safety

# Summary References

- Provide an escape for imperative programming
- But insures type safety
  - No dangling references
  - No (double) free
  - No null dereferences
- Relies on automatic memory management

# Functional Programming Languages

PL	types	evaluation	Side-effect
scheme	Weakly typed	Eager	yes
OCaml OCAOCaml F#	Polymorphic strongly typed	Eager	References
Haskell	Polymorphic strongly typed	Lazy	None

# Recommended ML Textbooks

- L. C. PAULSON: ML for the Working Programmer
- J. Ullman: Elements of ML Programming
- R. Harper: Programming in Standard ML



# Recommended OCaml Textbooks

- Xavier Leroy: The OCaml system release 4.02
  - Part I: Introduction
- Jason Hickey: Introduction to Objective Caml
- Yaron Minsky, Anil Madhavapeddy, Jason Hickey: Real World OCaml

# Summary

- Functional programs provide concise coding
- Compiled code compares with C code
- Successfully used in some commercial applications
  - F#, ERLANG, Jane Street, Scala. Kotlin
- Ideas used in imperative programs
- Good conceptual tool