

Deductive Verification

Mooly Sagiv

Slides from Zvonimir Rakamaric

First-Order Logic

- A formal notation for mathematics, with expressions involving
 - Propositional symbols
 - Predicates
 - Functions and constant symbols
 - Quantifiers
- In contrast, propositional (Boolean) logic only involves propositional symbols and operators

First-Order Logic: Syntax

- As with propositional logic, expressions in first-order logic are made up of sequences of symbols
- Symbols are divided into *logical symbols* and *non-logical symbols or parameters*
- Example:

$$(x = y) \wedge (y = z) \wedge (f(z) \rightarrow f(x)+1)$$

First-Order Logic: Syntax

- Logical Symbols
 - Propositional connectives: \wedge , \vee , \neg , \rightarrow , ...
 - Variables: V_1, V_2, \dots
 - Quantifiers: \exists , \forall
- Non-logical symbols/Parameters
 - Equality: $=$
 - Functions: $+$, $-$, $\%$, bit-wise $\&$, $f()$, concat , ...
 - Predicates: \leq , is_substring , ...
 - Constant symbols: 0 , 1.0 , null , ...

Example

$$\forall X:S. p(f(X),X) \Rightarrow \exists Y:S . p(f(g(X,Y)),g(X,Y))$$

Quantifier-free Subset

- We will largely restrict ourselves to formulas without quantifiers (\forall , \exists)
- This is called the quantifier-free subset/fragment of first-order logic with the relevant theory

Logical Theory

- Defines a set of parameters (non-logical symbols) and their meanings
- This definition is called a *signature*
- Example of a signature:
Theory of linear arithmetic over integers
Signature is $(0, 1, +, -, \cdot)$ interpreted over \mathbb{Z}

Presbruger Arithmetic

- Signature $(0, 1, +, =)$ interpreted over Z
- Axioms
 - $\forall X: Z. \neg ((X+1) = 0)$
 - $\forall X, Y: Z. (X+1) = (Y+1) \Rightarrow X = Y$
 - $\forall X: Z. X+0 = X$
 - $\forall X, Y: Z. X+(Y+1) = (X+Y)+1$
 - Let $P(X)$ be a first order formula over X
 - $(P(0) \wedge \forall X: Z. P(X) \Rightarrow P(X+1)) \Rightarrow \forall Y: Z. P(Y)$

Many Sorted First Order Vocabulary

- A finite set of **sorts** S
- A finite set of **function** symbols F each with a fixed signature $S^* \rightarrow S$
 - Zero arity functions are **constant** symbols
- A finite set of **relation** symbols R each with a fixed arity S^*

An Interpretation ι

- A **domain** D_s for every $s \in S$
 - $D = \cup_{s \in S} D_s$
- For every function symbol $f \in F$, an **interpretation** $\iota[f]: D_{s_1} \times D_{s_2} \times \dots \times D_{s_n} \rightarrow D_s$
- For every relation symbol $r \in R$, an **interpretation**
 $\iota[r] \subseteq D_{s_1} \times D_{s_2} \times \dots \times D_{s_m}$

Many-Sorted First Order Formulas

- Logical Variables

 - Begin with Capital variables

- Typed Terms

$\langle \text{term} \rangle ::= \langle \text{variable} \rangle \mid f [(\langle \text{term} \rangle, \dots \langle \text{term} \rangle)]$

- Formulas

$\langle \text{form} \rangle ::= \langle \text{term} \rangle = \langle \text{term} \rangle \mid r(\langle \text{term} \rangle, \dots \langle \text{term} \rangle) // \text{ atomic}$

$\langle \text{form} \rangle \vee \langle \text{form} \rangle \mid \langle \text{form} \rangle \wedge \langle \text{form} \rangle \mid \neg \langle \text{form} \rangle // \text{ Boolean}$

$\exists X : s \langle \text{form} \rangle \mid \forall X : s. \langle \text{form} \rangle // \text{ Quantifications}$

Free Variables

- FV: $\langle \text{term} \rangle, \langle \text{formula} \rangle \rightarrow 2^{\text{Var}}$
- Terms
 - $\text{FV}(X) = \{X\}$
 - $\text{FV}(f(\langle t_1, t_2, \dots, t_n \rangle)) = \bigcup_{i=1..n} \text{FV}(t_i)$
- Formulas
 - $\text{FV}(t_1 = t_2) = \text{FV}(t_1) \cup \text{FV}(t_2)$
 - $\text{FV}(r(\langle t_1, t_2, \dots, t_n \rangle)) = \bigcup_{i \in 1..n} \text{FV}(t_i)$
 - $\text{FV}(f_1 \vee, \wedge f_2) = \text{FV}(f_1) \cup \text{FV}(f_2)$
 - $\text{FV}(\neg f_2) = \text{FV}(f_2)$
 - $\text{FV}(\exists X:s.f) = \text{FV}(f) - \{X\}$
 - $\text{FV}(\forall X:s.f) = \text{FV}(f) - \{X\}$

Assignments and Models

- **Assignment** $A: \text{Var} \rightarrow D$
- Extended to terms
 - $A(f(t_1, t_2, \dots, t_n)) = \iota[f](A(t_1), A(t_2), \dots, A(t_n))$
- An assignment A **models** a formula f under interpretation ι (denoted by $A, \iota \models f$) if f is true in A (Tarsky's semantics)
- $A, \iota \models t_1 = t_2$ if $A(t_1) = A(t_2)$
- $A, \iota \models r(t_1, t_2, \dots, t_n)$ if $\langle A(t_1), A(t_2), \dots, A(t_n) \rangle \in \iota[r]$
- $A, \iota \models f_1 \vee f_2$ if $A, \iota \models f_1$ or $A, \iota \models f_2$
- $A, \iota \models \neg f$ if not $A, \iota \models f$
- $A, \iota \models \exists X: t. f$ if there exists $d \in D_t$ such that $A[X \mapsto d]$ if $A, \iota \models f$

A T-Interpretation

- A **domain** D_s for every $s \in S$
 - $D = \cup_{s \in S} D_s$
- For every function symbol $f \in F$, an **interpretation**
 $\iota [f]: D_{s_1} \times D_{s_2} \times \dots \times D_{s_n} \rightarrow D_s$
- For every relation symbol $r \in R$, an **interpretation**
 $\iota [r] \subseteq D_{s_1} \times D_{s_2} \times \dots \times D_{s_m}$
- The domain and the interpretations satisfy the theory requirements(axioms)

Example Linear Arithmetic

- $S = \{\text{int}\}$, $F = \{\mathbf{0}^0, \mathbf{1}^1, +^2\}$, $r = \{\leq^2\}$
- Domain
 - $D_{\text{int}} = \mathbb{Z}$
- Functions
 - $\llbracket \mathbf{0} \rrbracket = 0$
 - $\llbracket \mathbf{1} \rrbracket = 1$
 - $\llbracket + \rrbracket = \lambda x, y: \text{int}. x + y$
- Relations
 - $\llbracket \leq \rrbracket = \lambda x, y: \text{int}. x \leq y$

Assignments and T-Models

- **Assignment** $A: \text{Var} \rightarrow D$
- Extended to terms
 - $A(f(t_1, t_2, \dots, t_n)) = \iota[f](A(t_1), A(t_2), \dots, A(t_n))$
- An assignment A which models a theory T , **T-models** a formula f under interpretation ι (denoted by $A, \iota \models_T f$) if f is true in A (Tarsky's semantics)
- $A, \iota \models_T t_1 = t_2$ if $A(t_1) = A(t_2)$
- $A, \iota \models_T r(t_1, t_2, \dots, t_n)$ if $\langle A(t_1), A(t_2), \dots, A(t_n) \rangle \in \iota[r]$
- $A, \iota \models_T f_1 \vee f_2$ if $A, \iota \models_T f_1$ or $A, \iota \models_T f_2$
- $A, \iota \models_T \neg f$ if not $A, \iota \models_T f$
- $A, \iota \models_T \exists X: t. f$ if there exists $d \in D_t$ such that $A[X \mapsto d]$ if $A, \iota \models_T f$

The SMT decision problem

- Input: A quantifier-free formula f over a theory T
- Does there exist an T -interpretation ι and an assignment $A: FV(f) \rightarrow D$ such that $A \models_T f$
- The complexity depends on the complexity of the theory solvers
 - NPC-Undecidable

Summary of Decidability Results

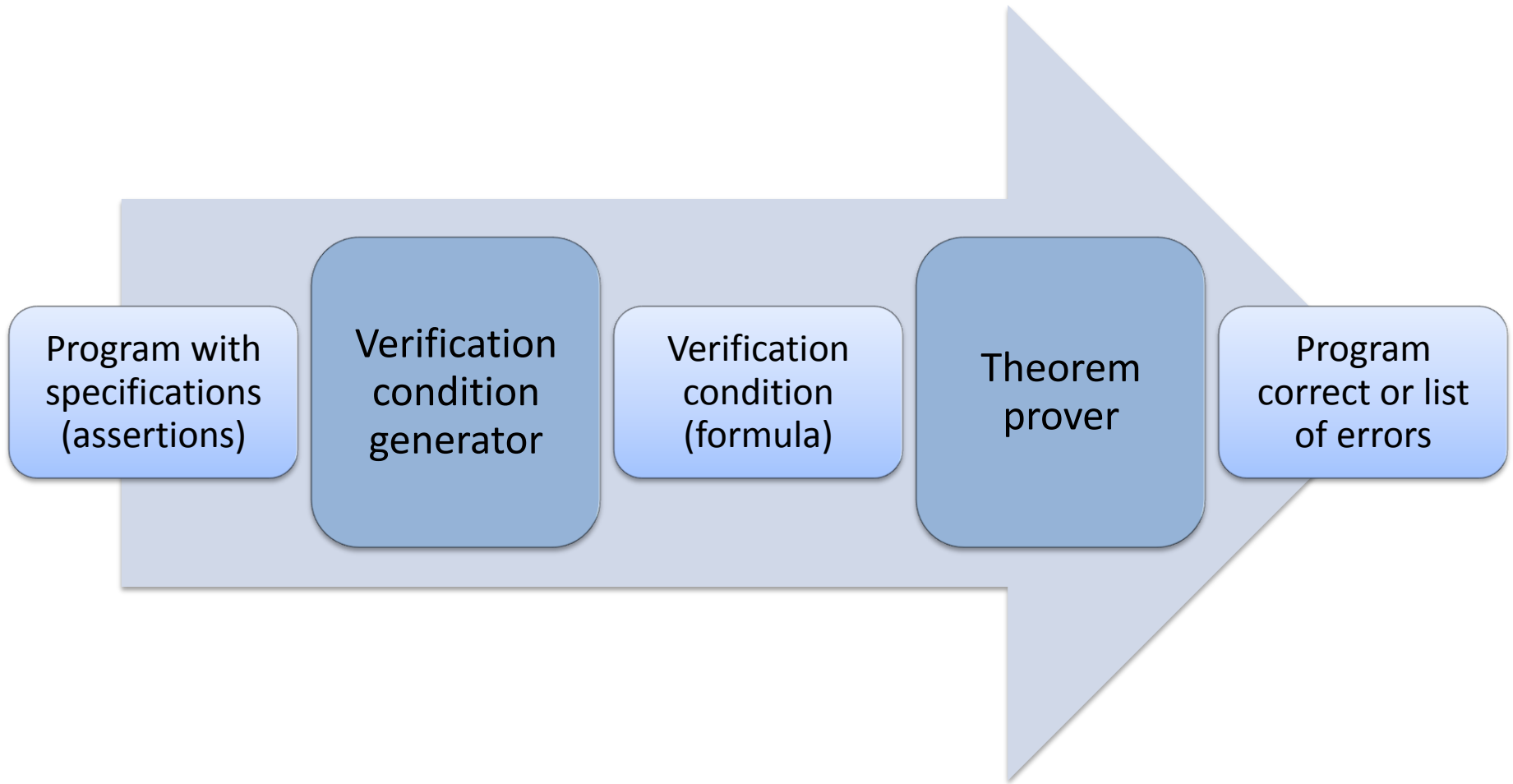
Theory		Quantifiers Decidable	QFF Decidable
T_E	Equality	NO	YES
T_{PA}	Peano Arithmetic	NO	NO
$T_{\mathbb{N}}$	Presburger Arithmetic	YES	YES
$T_{\mathbb{Z}}$	Linear Integer Arithmetic	YES	YES
$T_{\mathbb{R}}$	Real Arithmetic	YES	YES
$T_{\mathbb{Q}}$	Linear Rationals	YES	YES
T_A	Arrays	NO	YES

Summary of Complexity Results

Theory		Quantifiers	QF Conjunctive
PL	Propositional Logic	NP-complete	$O(n)$
T_E	Equality	–	$O(n \log n)$
$T_{\mathbb{N}}$	Presburger Arithmetic	$O(2^{2^{2^{kn}}})$	NP-complete
$T_{\mathbb{Z}}$	Linear Integer Arithmetic	$O(2^{2^{2^{kn}}})$	NP-complete
$T_{\mathbb{R}}$	Real Arithmetic	$O(2^{2^{kn}})$	$O(2^{2^{kn}})$
$T_{\mathbb{Q}}$	Linear Rationals	$O(2^{2^{kn}})$	PTIME
T_A	Arrays	–	NP-complete

n – input formula size; k – some positive integer

Basic Verifier Architecture



Verification Condition Generator

- Creates verification conditions (mathematical logic formulas) from program's source code
 - If VC is valid – program is correct
 - If VC is invalid – possible error in program
- Based on the theory of Hoare triples
 - Formalization of software semantics for verification
- Verification conditions computed automatically using *weakest preconditions* (wp)

Simple Command Language

$x := E$

havoc x

assert P

assume P

$S ; T$ [sequential composition]

$S \square T$ [choice statement]

Program States

- **Program state** s
 - Assignment of values (of proper type) to all program variables
 - Sometimes includes **program counter** variable pc
 - Holds current program location
- **Example**
 - $s : (x \mapsto -1, y \mapsto 1)$
 - $s : (pc \mapsto L, a \mapsto 0, i \mapsto 3)$
- **Reachable state** is a state that can be reached during some computation

Program States cont.

- A set of program states can be described using a FOL formula
- Example

Set of states:

$$s : \{ (x \mapsto 1), (x \mapsto 2), (x \mapsto 3) \}$$

FOL formulas defining s :

$$x = 1 \ \dot{\vee} \ x = 2 \ \dot{\vee} \ x = 3$$

$$0 < x \ \wedge \ x < 4 \quad [\text{if } x \text{ is integer}]$$

Hoare Triple

- ▶ Used for reasoning about (program) executions

$$\{ P \} S \{ Q \}$$

- S is a command
- P is a **precondition** – formula about program state before S executes
- Q is a **postcondition** – formula about program state after S executes

Hoare Triple Definition

$$\{ P \} S \{ Q \}$$

- When a state s satisfies precondition P , every terminating execution of command S starting in s
 - does not go wrong, and
 - establishes postcondition Q

Hoare Triple Examples

- $\{a = 2\} b := a + 3; \{b > 0\}$
- $\{a = 2\} b := a + 3; \{b = 5\}$
- $\{a > 3\} b := a + 3; \{a > 0\}$
- $\{a = 2\} b := a * a; \{b > 0\}$

Weakest Precondition [Dijkstra]

- The most general (i.e., weakest) P that satisfies

$$\{ P \} S \{ Q \}$$

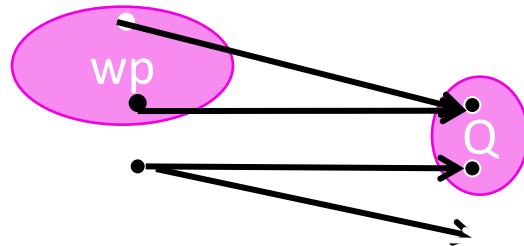
is called the **weakest precondition** of S with respect to Q , written:

$$wp(S, Q)$$

- To check $\{ P \} S \{ Q \}$ prove $P \rightarrow wp(S, Q)$

Weakest Precondition

- $wp: \text{Stm} \rightarrow (\text{Ass} \rightarrow \text{Ass})$
- $wp \llbracket S \rrbracket (Q)$ – the weakest condition such that every terminating computation of S results in a state satisfying Q
- $\sigma \models wp \llbracket S \rrbracket (Q) \leftrightarrow \forall \sigma': \sigma \llbracket S \rrbracket \sigma' \rightarrow \sigma' \models Q$



Weakest Precondition [Dijkstra]

- The most general (i.e., weakest) P that satisfies

$$\{ P \} S \{ Q \}$$

is called the **weakest precondition** of S with respect to Q , written:

$$\text{wp}(S, Q)$$

- To check $\{ P \} S \{ Q \}$ prove $P \rightarrow \text{wp}(S, Q)$
- Example

$$\{ ?P? \} b := a + 3; \{ b > 0 \}$$

$$\{ a + 3 > 0 \} b := a + 3; \{ b > 0 \}$$

$$\text{wp}(b := a + 3, b > 0) = a + 3 > 0$$

Strongest Postcondition

- The strongest Q that satisfies

$$\{ P \} S \{ Q \}$$

is called the **strongest postcondition** of S with respect to P , written:

$$sp(S, P)$$

- To check $\{ P \} S \{ Q \}$ prove $sp(S, P) \rightarrow Q$
- Strongest postcondition is (almost) a dual of weakest precondition

Weakest Preconditions Cookbook

- $\text{wp}(x := E, Q) = Q[E / x]$
- $\text{wp}(\text{havoc } x, Q) = (\forall x. Q)$
- $\text{wp}(\text{assert } P, Q) = P \wedge Q$
- $\text{wp}(\text{assume } P, Q) = P \rightarrow Q$
- $\text{wp}(S ; T, Q) = \text{wp}(S, \text{wp}(T, Q))$
- $\text{wp}(S \square T, Q) = \text{wp}(S, Q) \wedge \text{wp}(T, Q)$

Checking Correctness with wp

{true}

x := 1;

y := x + 2;

assert y = 3;

{true}

Checking Correctness with wp cont.

{true}

$\text{wp}(x := 1, x + 2 = 3) = 1 + 2 = 3 \wedge \text{true}$

`x := 1;`

$\text{wp}(y := x + 2, y = 3) = x + 2 = 3 \wedge \text{true}$

`y := x + 2;`

$\text{wp}(\text{assert } y = 3, \text{true}) = y = 3 \wedge \text{true}$

`assert y = 3;`

{true}

Check: $\text{true} \rightarrow 1 + 2 = 3 \wedge \text{true}$

Example II

{x > 1}

y := x + 2;

assert y > 3;

{true}

Example II cont.

{x > 1}

$\text{wp}(y := x + 2, y > 3) = x + 2 > 3$

`y := x + 2;`

$\text{wp}(\text{assert } y > 3, \text{true}) = y > 3 \wedge \text{true} = y > 3$

`assert y > 3;`

{true}

Check: $x > 1 \rightarrow (x + 2 > 3)$

Example III

{true}

assume $x > 1;$

$y := x * 2;$

$z := x + 2;$

assert $y > z;$

{true}

Example III cont.

{true}

$\text{wp}(\text{assume } x > 1, x * 2 > x + 2) = x > 1 \rightarrow x * 2 > x + 2$

assume $x > 1$;

$\text{wp}(y := x * 2, y > x + 2) = x * 2 > x + 2$

$y := x * 2$;

$\text{wp}(z := x + 2, y > z) = y > x + 2$

$z := x + 2$;

$\text{wp}(\text{assert } y > z, \text{true}) = y > z \wedge \text{true} = y > z$

assert $y > z$;

{true}

Structured if Statement

- Just a “syntactic sugar”:

if E then S else T

gets desugared into

(assume E ; S) \square (assume :E ; T)

Absolute Value Example

```
if (x >= 0) {  
    abs_x := x;  
} else {  
    abs_x := -x;  
}  
assert abs_x >= 0;
```


While Loop

```
while E  
do  
    S  
end
```

The diagram shows the syntax of a while loop. The word 'while' is in blue, followed by 'E' in black. A blue callout box labeled 'loop condition' points to 'E'. Below 'while E' is the word 'do' in blue. A blue callout box labeled 'loop body' points to the space between 'do' and 'S'. Below 'do' is the letter 'S' in black. At the bottom is the word 'end' in blue.

- Loop body **S** executed as long as **loop condition E** holds

Desugar While Loop by Unrolling N Times

```
while E do S end =
```

```
if E {
```

```
  S;
```

```
  if E {
```

```
    S;
```

```
    if E {
```

```
      S;
```

```
      if E {assume false;} // blocks execution
```

```
    }
```

```
  }
```

```
}
```

Example

```
i := 0;  
while i < 2 do i := i + 1 end
```

```
i := 0;  
if i < 2 {  
  i := i + 1;  
  if i < 2 {  
    i := i + 1;  
    if i < 2 {  
      i := i + 1;  
      if i < 2 {assume false;} // blocks execution  
    }  
  }  
}
```

First Issue with Unrolling

```
i := 0;  
while i < 4 do i := i + 1 end
```

```
i := 0;  
if i < 4 {  
  i := i + 1;  
  if i < 4 {  
    i := i + 1;  
    if i < 4 {  
      i := i + 1;  
      if i < 4 {assume false;} // blocks execution  
    }  
  }  
}
```

Second Issue with Unrolling

```
i := 0;  
while i < n do i := i + 1 end
```

```
i := 0;  
if i < n {  
  i := i + 1;  
  if i < n {  
    i := i + 1;  
    if i < n {  
      i := i + 1;  
      if i < n {assume false;} // blocks execution  
    }  
  }  
}
```

While Loop with Invariant

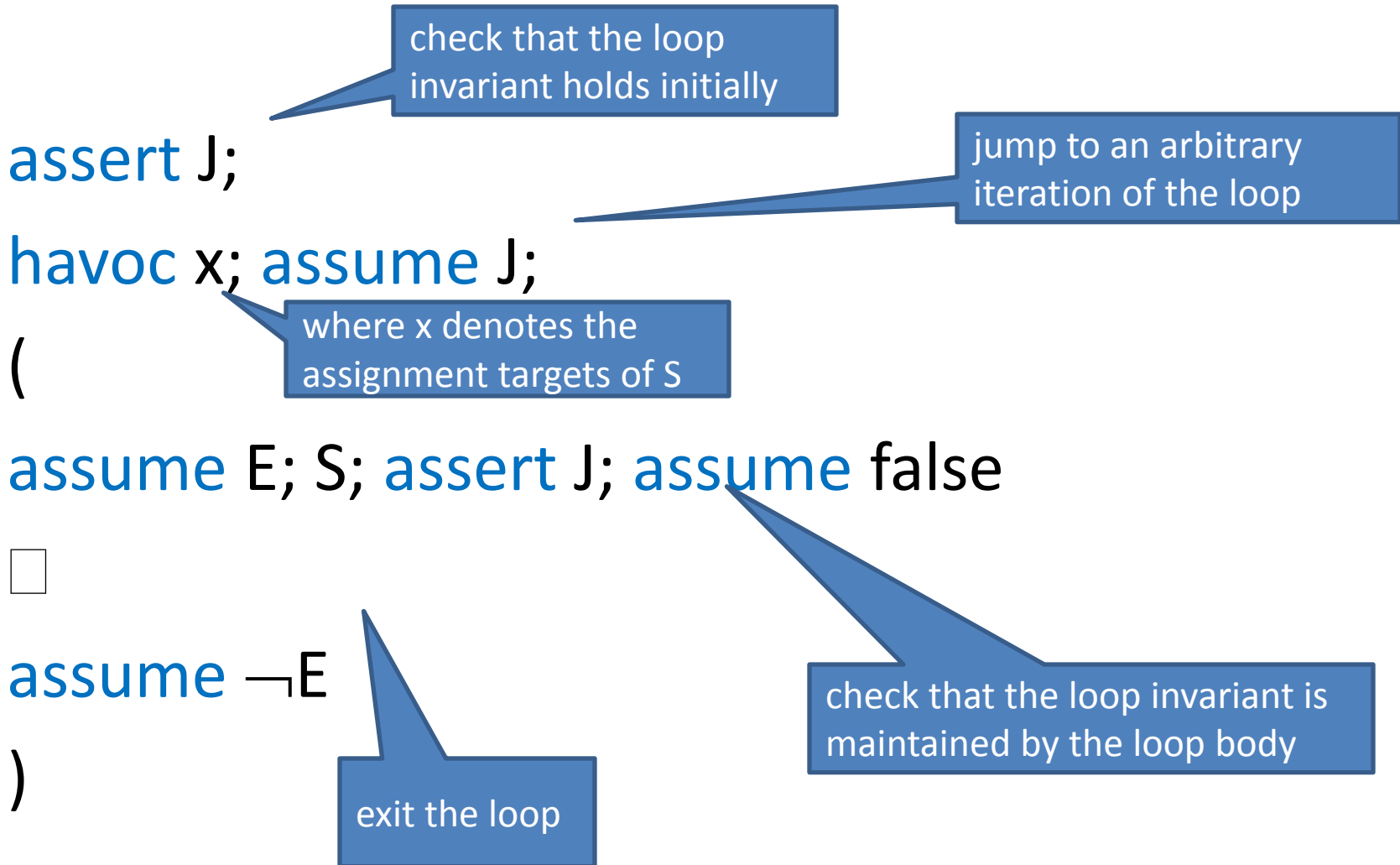
```
while E
  invariant J
do
  S
end
```

The diagram illustrates the components of a while loop with an invariant. It shows the code structure with three blue callout boxes: 'loop condition' pointing to 'E', 'loop invariant' pointing to 'J', and 'loop body' pointing to 'S'.

- **Loop body** S executed as long as **loop condition** E holds
- **Loop invariant** J must hold on every iteration
 - J must hold initially and is evaluated before E
 - J must hold even on final iteration when E is false
 - J must be inductive
 - Provided by a user or inferred automatically

Desugaring While Loop Using Invariant

- while E invariant J do S end



Weakest Precondition of While

- $wp(\text{while } E \text{ invariant } J \text{ do } S \text{ end}, Q) =$

Dafny

- Simple “verifying compiler”
 - Proves procedure contracts statically for all possible inputs
 - Uses theory of weakest preconditions
- Input
 - Annotated program written in simple imperative language
 - Preconditions
 - Postconditions
 - Loop invariants
- Output
 - Correct or list of failed annotations

Dafny Architecture

