# Compilation Lecture 9



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### **Optimization points**





# **IR** Optimization

• Making code "better"

# **Overview of IR optimization**

#### • Formalisms and Terminology

- Control-flow graphs
- Basic blocks
- Local optimizations
  - Speeding up small pieces of a procedure
- Global optimizations
  - Speeding up procedure as a whole
- The dataflow framework
  - Defining and implementing a wide class of optimizations

## **Program Analysis**

- In order to optimize a program, the compiler has to be able to reason about the properties of that program
- An analysis is called **sound** if it never asserts an incorrect fact about a program
- All the analyses we will discuss in this class are sound
  - (Why?)



end

# Basic blocks

- A basic block is a sequence of IR instructions where
  - There is exactly one spot where control enters the sequence, which must be at the start of the sequence
  - There is exactly one spot where control leaves the sequence, which must be at the end of the sequence
- Informally, a sequence of instructions that always execute as a group

# **Control-Flow Graphs**

- A control-flow graph (CFG) is a graph of the basic blocks in a function
- The term CFG is overloaded from here on out, we'll mean "control-flow graph" and not "context free grammar"
- Each edge from one basic block to another indicates that control can flow from the end of the first block to the start of the second block
- There is a dedicated node for the start and end of a function

### **Common Subexpression Elimination**

If we have two variable assignments
 v1 = a op b

... v2 = a op b

 and the values of v1, a, and b have not changed between the assignments, rewrite the code as v1 = a op b

... v2 = v1

- Eliminates useless recalculation
- Paves the way for later optimizations

### **Common Subexpression Elimination**

If we have two variable assignments
 v1 = a op b [or: v1 = a]

... v2 = a op b [or: v2 = a]

 and the values of v1, a, and b have not changed between the assignments, rewrite the code as v1 = a op b [or: v1 = a]

v2 = v1

- Eliminates useless recalculation
- Paves the way for later optimizations

# **Copy Propagation**

- If we have a variable assignment v1 = v2 then as long as v1 and v2 are not reassigned, we can rewrite expressions of the form
  - a = ... v1 ...

#### as

provided that such a rewrite is legal

### **Dead Code Elimination**

- An assignment to a variable v is called dead if the value of that assignment is never read anywhere
- Dead code elimination removes dead assignments from IR
- Determining whether an assignment is dead depends on what variable is being assigned to and when it's being assigned

# Other types of local optimizations

- Arithmetic Simplification
  - Replace "hard" operations with easier ones
  - e.g. rewrite x = 4 \* a; as x = a << 2;</p>
- Constant Folding
  - Evaluate expressions at compile-time if they have a constant value.

-e.g. rewrite x = 4 \* 5; as x = 20;

# Optimizations and analyses

- Most optimizations are only possible given some analysis of the program's behavior
- In order to implement an optimization, we will talk about the corresponding program analyses

# Available expressions

- Both common subexpression elimination and copy propagation depend on an analysis of the available expressions in a program
- An expression is called available if some variable in the program holds the value of that expression
- In common subexpression elimination, we replace an available expression by the variable holding its value
- In copy propagation, we replace the use of a variable by the available expression it holds

# Finding available expressions

- Initially, no expressions are available
- Whenever we execute a statement
   a = b op c:
  - Any expression holding **a** is invalidated
  - The expression **a** = **b** op **c** becomes available
- Idea: Iterate across the basic block, beginning with the empty set of expressions and updating available expressions at each variable

Available expressions example
<pre>{ }</pre>
a = b + 2;
$\{a = b + 2\}$
$\mathbf{b} = \mathbf{x};$
$\{ b = x \}$
d = a + b;
$\{ b = x, d = a + b \}$
e = a + b;
$\{ b = x, d = a + b, e = a + b \}$
d = x;
$\{ b = x, d = x, e = a + b \}$
f = a + b;
$\{ b = x, d = x, e = a + b, f = a + b \}$

#### **Common sub-expression elimination { }** a = b + 2; $\{ a = b + 2 \}$ $\mathbf{b} = \mathbf{x};$ $\{ b = x \}$ d = a + b; $\{ b = x, d = a + b \}$ e = d; $\{ b = x, d = a + b, e = a + b \}$ d = b; $\{ b = x, d = x, e = a + b \}$ f = e; $\{ b = x, d = x, e = a + b, f = a + b \}$

#### **Common sub-expression elimination { }** a = b + 2; $\{ a = b + 2 \}$ $\mathbf{b} = \mathbf{x};$ $\{ b = x \}$ d = a + b; $\{ b = x, d = a + b \}$ e = a + b; $\{ b = x, d = a + b, e = a + b \}$ d = x; $\{ b = x, d = x, e = a + b \}$ f = a + b; $\{ b = x, d = x, e = a + b, f = a + b \}$

# Live variables

- The analysis corresponding to dead code elimination is called liveness analysis
- A variable is live at a point in a program if later in the program its value will be read before it is written to again
- Dead code elimination works by computing liveness for each variable, then eliminating assignments to dead variables

# Computing live variables

- To know if a variable will be used at some point, we iterate across the statements in a basic block in reverse order
- Initially, some small set of values are known to be live (which ones depends on the particular program)
- When we see the statement a = b op c:
  - Just before the statement, a is not alive, since its value is about to be overwritten
  - Just before the statement, both b and c are alive, since we're about to read their values
  - (what if we have a = a + b?)

#### { b } a = b;{ a, b } c = a;{ a, b } d = a + b;{ a, b, d } e = d;{ a, b, e } d = a;{ b, d, e } f = e; $\{b, d\}$ - given

### Liveness analysis

#### { b } **Dead Code Elimination** a = b;{ a, b } c = a;Which statements are dead? { a, b } d = a + b;{ a, b, d } e = d;{ a, b, e } d = a;{ b, d, e } f = e;

{ b, d }

```
{ b }
        Dead Code Elimination
a = b;
 { a, b }
{ a, b }
d = a + b;
 { a, b, d }
e = d;
 { a, b, e }
d = a;
 { b, d, e }
 { b, d }
```

# { b } a = b; Liveness analysis II

```
{ a, b }
d = a + b;
{ a, b, d }
e = d;
{ a, b }
d = a;
{ b, d }
```

# { b } a = b; Liveness analysis II

```
{ a, b }
d = a + b;
{ a, b, d }
e = d;
{ a, b }
d = a;
{ b, d }
```

#### { b } a = b; Dead code elimination

```
{ a, b }
d = a + b;
{ a, b, d }
e = d;
{ a, b }
d = a;
{ b, d }
```

#### { b } a = b; Dead code elimination

{ a, b }
d = a + b;
{ a, b, d }
{ a, b }
d = a;

{ b, d }

# { b } a = b; Liveness analysis III

{ a, b } d = a + b; Which statements are dead?

{ a, b }
d = a;
{ b, d }

# { b } a = b; Dead code elimination

{ a, b } d = a + b; Which statements are dead?

{ a, b }
d = a;
{ b, d }

# { b } a = b; Dead code elimination

{ a, b }

{ a, b }
d = a;
{ b, d }



#### d = a;

# Formalizing local analyses



### **Available Expressions**






## Information for a local analysis

- What direction are we going?
  - Sometimes forward (available expressions)
  - Sometimes backward (liveness analysis)
- How do we update information after processing a statement?
  - What are the new semantics?
  - What information do we know initially?

## Formalizing local analyses

- Define an analysis of a basic block as a quadruple (D, V, F, I) where
  - **D** is a direction (forwards or backwards)
  - V is a set of values the program can have at any point
  - F is a family of transfer functions defining the meaning of any expression as a function f : V → V
  - I is the initial information at the top (or bottom) of a basic block

## **Available Expressions**

- **Direction:** Forward
- Values: Sets of expressions assigned to variables
- **Transfer functions:** Given a set of variable assignments V and statement a = b + c:
  - Remove from V any expression containing a as a subexpression
  - Add to V the expression a = b + c
  - Formally:  $V_{out} = (V_{in} \setminus \{e \mid e \text{ contains } a\}) \cup \{a = b + c\}$
- Initial value: Empty set of expressions

#### **Liveness Analysis**

- **Direction:** Backward
- Values: Sets of variables
- Transfer functions: Given a set of variable assignments V and statement a = b + c:
- Remove a from V (any previous value of a is now dead.)
- Add b and c to V (any previous value of b or c is now live.)
- Formally:  $V_{in} = (V_{out} \setminus \{a\}) \cup \{b, c\}$
- Initial value: Depends on semantics of language
  - E.g., function arguments and return values (pushes)
  - Result of local analysis of other blocks as part of a global analysis

## Running local analyses

- Given an analysis (D, V, F, I) for a basic block
- Assume that **D** is "forward;" analogous for the reverse case
- Initially, set OUT[entry] to I
- For each statement **s**, in order:
  - Set IN[s] to OUT[prev], where prev is the previous statement
  - Set OUT[s] to f<sub>s</sub>(IN[s]), where f<sub>s</sub> is the transfer function for statement s

#### **Global Optimizations**

## High-level goals

- Generalize analysis mechanism
  - Reuse common ingredients for many analyses
  - Reuse proofs of correctness
- Generalize from basic blocks to entire CFGs
  - Go from local optimizations to global optimizations

## Global analysis

- A global analysis is an analysis that works on a control-flow graph as a whole
- Substantially more powerful than a local analysis
  - (Why?)
- Substantially more complicated than a local analysis
  - (Why?)

# Local vs. global analysis

- Many of the optimizations from local analysis can still be applied globally
  - Common sub-expression elimination
  - Copy propagation
  - Dead code elimination
- Certain optimizations are possible in global analysis that aren't possible locally:
  - e.g. code motion: Moving code from one basic block into another to avoid computing values unnecessarily
- Example global optimizations:
  - Global constant propagation
  - Partial redundancy elimination

#### Loop invariant code motion example



# Why global analysis is hard

- Need to be able to handle multiple predecessors/successors for a basic block
- Need to be able to handle multiple paths through the control-flow graph, and may need to iterate multiple times to compute the final value (but the analysis still needs to terminate!)
- Need to be able to assign each basic block a reasonable default value for before we've analyzed it

## Global dead code elimination

- Local dead code elimination needed to know what variables were live on exit from a basic block
- This information can only be computed as part of a global analysis
- How do we modify our liveness analysis to handle a CFG?

#### CFGs without loops



#### CFGs without loops ${a, c, d}$ Which variables may b = c + d;Entry be live on some e = c + d;execution path? $\{a, b, c, d\}$ {b, c, d} {a, b, c, d} $\mathbf{x} = \mathbf{c} + \mathbf{d};$ y = a + b;a = b + c;{a, b, c, d} {a, b, c, d} {a, b, c, d} $\mathbf{x} = \mathbf{a} + \mathbf{b};$ y = c + d; $\{x, y\}$

 $\{\mathbf{x}, \mathbf{y}\}$ 

Exit



#### CFGs without loops



#### CFGs without loops



## Major changes – part 1

- In a local analysis, each statement has exactly one predecessor
- In a global analysis, each statement may have multiple predecessors
- A global analysis must have some means of combining information from all predecessors of a basic block

#### CFGs without loops







# Major changes – part 2

- In a local analysis, there is only one possible path through a basic block
- In a global analysis, there may be **many** paths through a CFG
- May need to recompute values multiple times as more information becomes available
- Need to be careful when doing this not to loop infinitely!
  - (More on that later)
- Can order of computation affect result?

## CFGs with loops

- Up to this point, we've considered loop-free CFGs, which have only finitely many possible paths
- When we add loops into the picture, this is no longer true
- Not all possible loops in a CFG can be realized in the actual program



# CFGs with loops

- Up to this point, we've considered loop-free CFGs, which have only finitely many possible paths
- When we add loops into the picture, this is no longer true
- Not all possible loops in a CFG can be realized in the actual program
- Sound approximation: Assume that every possible path through the CFG corresponds to a valid execution
  - Includes all realizable paths, but some additional paths as well
  - May make our analysis less precise (but still sound)
  - Makes the analysis feasible; we'll see how later



## Major changes – part 3

- In a local analysis, there is always a well defined "first" statement to begin processing
- In a global analysis with loops, every basic block might depend on every other basic block
- To fix this, we need to assign initial values to all of the blocks in the CFG

CFGs with loops - initialization



CFGs with loops - iteration



CFGs with loops - iteration



CFGs with loops - iteration



CFGs with loops - iteration



CFGs with loops - iteration



CFGs with loops - iteration



CFGs with loops - iteration {c, d} b = c + d;Entry c = c + d;{b, c} {b, c} {a, b} a = b + c;c = a + b;d = a + c;{a, b, c} {a, b, c} {a, b, c} a = a + b;d = b + c;**{a}** {a} Exit

CFGs with loops - iteration



CFGs with loops - iteration


CFGs with loops - iteration



CFGs with loops - iteration {c, d} b = c + d;Entry c = c + d;{b, c} {b, c} {a, b} a = b + c;c = a + b;d = a + c;{a, b, c} {a, b, c} {a, b, c} a = a + b;d = b + c; ${a, c, d}$ {a} Exit

**CFGs with loops - iteration** 



CFGs with loops - iteration



CFGs with loops - iteration



CFGs with loops - iteration



# Summary of differences

- Need to be able to handle multiple predecessors/successors for a basic block
- Need to be able to handle multiple paths through the control-flow graph, and may need to iterate multiple times to compute the final value
  - But the analysis still needs to terminate!
- Need to be able to assign each basic block a reasonable default value for before we've analyzed it

# Global liveness analysis

- Initially, set IN[s] = { } for each statement s
- Set IN[exit] to the set of variables known to be live on exit (language-specific knowledge)
- Repeat until no changes occur:
  - For each statement s of the form a = b + c, in any order you'd like:
    - Set OUT[s] to set union of IN[p] for each successor p of s
    - Set IN[s] to (OUT[s] − a) ∪ {b, c}.
- Yet another fixed-point iteration!



## Why does this work?

- To show correctness, we need to show that
  - The algorithm eventually terminates, and
  - When it terminates, it has a sound answer
- Termination argument:
  - Once a variable is discovered to be live during some point of the analysis, it always stays live
  - Only finitely many variables and finitely many places where a variable can become live
- Soundness argument (sketch):
  - Each individual rule, applied to some set, correctly updates liveness in that set
  - When computing the union of the set of live variables, a variable is only live if it was live on some path leaving the statement

#### Abstract Interpretation

 Theoretical foundations of program analysis

• Cousot and Cousot 1977

Abstract meaning of programs
 – Executed at compile time

# Another view of local optimization

- In local optimization, we want to reason about some property of the runtime behavior of the program
- Could we run the program and just watch what happens?
- Idea: Redefine the semantics of our programming language to give us information about our analysis

# Properties of local analysis

- The only way to find out what a program will actually do is to run it
- Problems:
  - The program might not terminate
  - The program might have some behavior we didn't see when we ran it on a particular input
- However, this is not a problem inside a basic block
  - Basic blocks contain no loops
  - There is only one path through the basic block

## Assigning new semantics

- Example: Available Expressions
- Redefine the statement a = b + c to mean "a now holds the value of b + c, and any variable holding the value a is now invalid"
- Run the program assuming these new semantics
- Treat the optimizer as an interpreter for these new semantics

### Theory to the rescue

- Building up all of the machinery to design this analysis was tricky
- The key ideas, however, are mostly independent of the analysis:
  - We need to be able to compute functions describing the behavior of each statement
  - We need to be able to merge several subcomputations together
  - We need an initial value for all of the basic blocks
- There is a beautiful formalism that captures many of these properties

# Join semilattices

- A join semilattice is a ordering defined on a set of elements
- Any two elements have some join that is the smallest element larger than both elements
- There is a unique bottom element, which is smaller than all other elements
- Intuitively:
  - The join of two elements represents combining information from two elements by an overapproximation
- The bottom element represents "no information yet" or "the least conservative possible answer"

#### Join semilattice for liveness





### What is the join of {b} and {c}?



#### What is the join of {b} and {a,c}?



### What is the join of {b} and {a,c}?



### What is the join of {a} and {a,b}?



#### What is the join of {a} and {a,b}?



#### Formal definitions

- A join semilattice is a pair (V, ∐), where
- V is a domain of elements
- 📙 is a join operator that is
  - commutative:  $x \sqcup y = y \sqcup x$
  - associative:  $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$
  - idempotent:  $x \sqcup x = x$
- If x ∐ y = z, we say that z is the join or (least upper bound) of x and y
- Every join semilattice has a bottom element denoted ⊥ such that ⊥ ⊥ x = x for all x

#### Join semilattices and ordering



#### Join semilattices and ordering



### Join semilattices and orderings

- Every join semilattice (V, ∐) induces an ordering relationship ⊑ over its elements
- Define  $x \sqsubseteq y$  iff  $x \bigsqcup y = y$
- Need to prove
  - Reflexivity:  $x \sqsubseteq x$
  - Antisymmetry: If  $x \sqsubseteq y$  and  $y \sqsubseteq x$ , then x = y
  - Transitivity: If  $x \sqsubseteq y$  and  $y \sqsubseteq z$ , then  $x \sqsubseteq z$

# An example join semilattice

- The set of natural numbers and the **max** function
- Idempotent
  - max{a, a} = a
- Commutative
  - max{a, b} = max{b, a}
- Associative
  - max{a, max{b, c}} = max{max{a, b}, c}
- Bottom element is 0:

- max{0, a} = a

• What is the ordering over these elements?

# A join semilattice for liveness

- Sets of live variables and the set union operation
- Idempotent:

 $-\mathbf{x} \cup \mathbf{x} = \mathbf{x}$ 

- Commutative:
  - $-\mathbf{x} \cup \mathbf{y} = \mathbf{y} \cup \mathbf{x}$
- Associative:

 $- (x \cup y) \cup z = x \cup (y \cup z)$ 

• Bottom element:

– The empty set:  $\emptyset \cup x = x$ 

• What is the ordering over these elements?

# Semilattices and program analysis

- Semilattices naturally solve many of the problems we encounter in global analysis
- How do we combine information from multiple basic blocks?
- What value do we give to basic blocks we haven't seen yet?
- How do we know that the algorithm always terminates?

# Semilattices and program analysis

- Semilattices naturally solve many of the problems we encounter in global analysis
- How do we combine information from multiple basic blocks?
  - Take the join of all information from those blocks
- What value do we give to basic blocks we haven't seen yet?
  - Use the bottom element
- How do we know that the algorithm always terminates?
  - Actually, we still don't! More on that later

# Semilattices and program analysis

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# A general framework

- A global analysis is a tuple (D, V,  $\sqsubseteq$ , F, I), where
  - D is a direction (forward or backward)
    - The order to visit statements within a basic block, not the order in which to visit the basic blocks
  - V is a set of values
  - $\sqcup$  is a join operator over those values
  - F is a set of transfer functions  $f: \mathbf{V} \rightarrow \mathbf{V}$
  - I is an initial value
- The only difference from local analysis is the introduction of the join operator

# Running global analyses

- Assume that (D, V, ∐, F, I) is a forward analysis
- Set OUT[s] =  $\perp$  for all statements s
- Set OUT[entry] = I
- Repeat until no values change:
  - For each statement s with predecessors
    - **p**<sub>1</sub>, **p**<sub>2</sub>, ... , **p**<sub>n</sub>:
      - Set  $IN[s] = OUT[p_1] \sqcup OUT[p_2] \sqcup ... \sqcup OUT[p_n]$
      - Set OUT[**s**] = f<sub>s</sub> (IN[**s**])
- The order of this iteration does not matter
  - This is sometimes called chaotic iteration

#### For comparison

- Set OUT[s] = ⊥ for all statements s
- Set OUT[entry] = I

- Repeat until no values change:
  - For each statement s
    with predecessors
    - **p**<sub>1</sub>, **p**<sub>2</sub>, ... , **p**<sub>n</sub>:
      - Set IN[s] = OUT[p<sub>1</sub>] ∐
        OUT[p<sub>2</sub>] ∐ ... ∐ OUT[p<sub>n</sub>]
      - Set OUT[**s**] = f<sub>s</sub> (IN[**s**])

- Set IN[s] = {} for all statements s
- Set OUT[exit] = the set of variables known to be live on exit
- Repeat until no values change:
  - For each statement s of the form a=b+c:
    - Set OUT[s] = set union of IN[x] for each successor x of s
    - Set IN[**s**] = (OUT[**s**]-{a}) ∪ {b,c}

### The dataflow framework

- This form of analysis is called the dataflow framework
- Can be used to easily prove an analysis is sound
- With certain restrictions, can be used to prove that an analysis eventually terminates
  - Again, more on that later
- Constant propagation is an optimization that replaces each variable that is known to be a constant value with that constant
- An elegant example of the dataflow framework







# **Constant propagation analysis**

- In order to do a constant propagation, we need to track what values might be assigned to a variable at each program point
- Every variable will either
  - Never have a value assigned to it,
  - Have a single constant value assigned to it,
  - Have two or more constant values assigned to it, or
  - Have a known non-constant value.
  - Our analysis will propagate this information throughout a CFG to identify locations where a value is constant

# Properties of constant propagation

- For now, consider just some single variable **x**
- At each point in the program, we know one of three things about the value of **x**:
  - x is definitely not a constant, since it's been assigned two values or assigned a value that we know isn't a constant
  - **x** is definitely a constant and has value **k**
  - We have never seen a value for x
- Note that the first and last of these are **not** the same!
  - The first one means that there may be a way for x to have multiple values
  - The last one means that x never had a value at all

# Defining a join operator

- The join of any two different constants is **Not-a-Constant** 
  - (If the variable might have two different values on entry to a statement, it cannot be a constant)
- The join of Not a Constant and any other value is Not-a-Constant
  - (If on some path the value is known not to be a constant, then on entry to a statement its value can't possibly be a constant)
- The join of **Undefined** and any other value is that other value
  - (If x has no value on some path and does have a value on some other path, we can just pretend it always had the assigned value)

# A semilattice for constant propagation

• One possible semilattice for this analysis is shown here (for each variable):



#### The lattice is infinitely wide

# A semilattice for constant propagation

• One possible semilattice for this analysis is shown here (for each variable):



- Note:
  - The join of any two different constants is **Not-a-Constant**
  - The join of Not a Constant and any other value is Not-a-Constant
  - The join of **Undefined** and any other value is that other value






























































# Dataflow for constant propagation

- Direction: Forward
- Semilattice: Vars→ {Undefined, 0, 1, -1, 2, -2, ..., Not-a-Constant}
  - Join mapping for variables point-wise
    {x+1,y+1,z+1} ∐ {x+1,y+2,z+Not-a-Constant} =
    {x+1,y+Not-a-Constant,z+Not-a-Constant}
- Transfer functions:
  - $f_{\mathbf{x}=\mathbf{k}}(V) = V|_{x \mapsto k}$  (update V by mapping x to k)
  - $f_{x=a+b}(V) = V|_{x \mapsto Not-a-Constant}$  (assign Not-a-Constant)
- Initial value: x is Undefined
  - (When might we use some other value?)

# Proving termination

- Our algorithm for running these analyses continuously loops until no changes are detected
- Given this, how do we know the analyses will eventually terminate?
  - In general, we don't

#### Terminates?