

Why almost all k -colorable graphs are easy to color

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Abstract

Coloring a k -colorable graph using k colors ($k \geq 3$) is a notoriously hard problem. Considering average case analysis allows for better results. In this work we consider the uniform distribution over k -colorable graphs with n vertices and exactly cn edges, c greater than some sufficiently large constant. We rigorously show that all proper k -colorings of most such graphs lie in a single “cluster”, and agree on all but a small, though constant, portion of the vertices. We also describe a polynomial time algorithm that *whp* finds a proper k -coloring of such a random k -colorable graph, thus asserting that most such graphs are easy to color. This should be contrasted with the setting of very sparse random graphs (which are k -colorable *whp*), where experimental results show some regime of edge density to be difficult for many coloring heuristics.

1 Introduction

A k -coloring f of a graph $G = (V, E)$ is a mapping from its set of vertices V to $\{1, 2, \dots, k\}$. f is a *proper coloring* of G if $f(u) \neq f(v)$ for every edge $(u, v) \in E$. The minimal k s.t. G admits a proper k -coloring is called the chromatic number, commonly denoted by $\chi(G)$. In this work we think of $k > 2$ as some fixed integer, say $k = 3$ or $k = 100$.

1.1 Phase Transitions, Clusters, and Graph Coloring Heuristics

Properly k -coloring a given k -colorable graph is one of the most famous NP-hard problems. The plethora of worst-case NP-hardness results for problems in graph theory motivates the study of heuristics that give “useful” answers for “typical” subset of the problem instances, where “useful” and “typical” are usually not well defined. One way of evaluating and comparing heuristics is by running them on a collection of input graphs (“benchmarks”), and checking which heuristic usually gives better results. Though empirical results are sometimes informative, we seek more rigorous measures of evaluating heuristics. Although satisfactory approximation algorithms are known for several NP-hard problems, the coloring problem is not amongst them. In fact, Feige and Kilian [15] prove that for any $\varepsilon > 0$ no polynomial time algorithm approximates $\chi(G)$ within a factor of $n^{1-\varepsilon}$ (for all input graphs G on n vertices) unless ZPP=NP.

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When very little can be done in the “worst case”, comparing heuristics’ behavior on “typical”, or “average”, instances comes to mind. One possibility of rigorously modeling such “average” instances is to use random models. In the context of graph coloring, the $\mathcal{G}_{n,p}$ and $\mathcal{G}_{n,m}$ models, pioneered by Erdős and Rényi, might appear to be the most natural candidates. A random graph G in $\mathcal{G}_{n,p}$ consists of n vertices, and each of the $\binom{n}{2}$ possible edges is included w.p. $p = p(n)$ independently of the others. In $\mathcal{G}_{n,m}$, $m = m(n)$ edges are picked uniformly at random. Bollobás [7] and Łuczak [23] calculated the probable value of $\chi(\mathcal{G}_{n,p})$ to be *whp*¹ approximately $n \ln(1/(1-p))/(2 \ln(np))$ for $p \in [C_0/n, 0.99]$. Thus, the chromatic number of $\mathcal{G}_{n,p}$ is typically rather high (roughly comparable with the average degree np of the random graph) – higher than k , when thinking of k as some fixed integer, say $k = 3$, and allowing the average degree np to be arbitrarily large.

Remarkable phenomena occurring in the random graph $\mathcal{G}_{n,m}$ are **phase transitions**. One such transition occurs with respect to the property of being k -colorable. More precisely, there exists a threshold d_k such that graphs with average degree $2m/n > (1 + \varepsilon)d_k$ do not admit any proper k -coloring *whp*, while graphs with a lower average degree $2m/n < (1 - \varepsilon)d_k$ will have one *whp* [1]. Moreover, experimental results show that random graphs with average degree just below the k -colorability threshold (which are thus k -colorable *whp*) are “hard” for many coloring heuristics. One possible explanation for this, backed up by partially non-rigorous analytical tools from statistical physics [24], is the surmise that k -colorable graphs with average degree just below the threshold show a **clustering phenomenon** of the solution space. That is, typically random graphs with density close to the threshold d_k have an exponential number of **clusters** of k -colorings. Specifically, it is believed that any two k -colorings in distinct clusters disagree on at least εn vertices, while in each cluster there is a linear number of “locally frozen” vertices. Here we say that a vertex v is “locally frozen in a coloring σ ” if there is no proper k -coloring τ such that $\sigma(v) \neq \tau(v)$ at Hamming distance less than εn from σ , and a vertex is “locally frozen” in a cluster if it is frozen in all colorings σ of that cluster. Recently some supporting evidence for this theory was proved rigorously for random k -CNF formulas, $k \geq 8$ [3, 13].

The algorithmic difficulty with such a clustered solution space seems to be that local algorithms do not survey the (long-range) correlations implied by the existence of frozen variables. Hence, in the course of constructing a k -coloring these algorithms may assign “impossible” colors to frozen vertices, and will therefore fail to find a proper k -coloring. The recent **Survey Propagation** algorithm is based on an attempt to avoid this problem. The basic idea is to compute the marginal distributions of the colors assigned to any vertex in a uniformly random cluster of solutions, pick a vertex that has a maximum bias towards one color, and decimate the instance accordingly (cf. [9]). Experimental evidence suggests that **Survey Propagation** succeeds for “small” values of k for densities close to the k -colorability threshold but no rigorous analysis is known.

In this work we consider the regime of denser graphs, i.e. the average degree will be by a constant factor higher than the k -colorability threshold. In this regime, almost all graphs are not k -colorable, and therefore we shall condition on the event that the random graph is k -colorable. Thus, we consider probably the most natural distribution on k -colorable graphs with given numbers n of vertices and m of edges, namely, the uniform distribution $\mathcal{G}_{n,m,k}$. For $m/n \geq C_0(k)$, $C_0(k)$ a sufficiently large number depending on k only, we are able to **rigorously** prove that the space of all proper k -colorings of a typical graph in $\mathcal{G}_{n,m,k}$ has the following structure: an exponential number of proper k -colorings arranged in a **single cluster**. We also describe a polynomial time algorithm that *whp* k -colors $\mathcal{G}_{n,m,k}$ with $m \geq C_0(k)n$ edges.

Thus, our result shows that when a k -colorable graph has a single cluster of k -colorings (its

¹When writing *whp* (“with high probability”) we mean with probability tending to 1 as n goes to infinity.

volume may be exponential) then typically the problem is easy. This in some sense complements in a rigorous way the results in [24, 10] (where it is conjectured that when the clustering is complicated, more sophisticated algorithms are needed). Furthermore, standard probabilistic calculations show that when $m \geq Cn \log n$, C a sufficiently large constant, a random k -colorable graph will have *whp* only one proper k -coloring; indeed, it is known that such graphs are even easier to color than in the case $m = O(n)$, which is the focus of this paper. A further appealing implication of our result is the fact that almost all k -colorable graphs, sparse or dense, can be efficiently colored. This extends a previous result [26] (whose title we adopted) concerning dense graphs (i.e., $m = \Theta(n^2)$).

1.2 Results and Techniques

In this section we state our main results precisely. First, we discuss the structure of the solution space (i.e., the set of all proper k -colorings) of $\mathcal{G}_{n,m,k}$. Formally we prove:

Theorem 1.1. (*clustering phenomenon*) *Let G be random graph from $\mathcal{G}_{n,m,k}$, $m \geq C_0(k)n$, $C_0(k)$ a sufficiently large number that depends on k only. Then whp G enjoys the following properties:*

1. *All but $e^{-\Theta(m/n)}n$ vertices are frozen. That is, G has a uniquely k -colorable induced subgraph H on at least $(1 - e^{-\Theta(m/n)})n$ vertices.*
2. *The graph induced by the non-frozen vertices decomposes into connected components of at most logarithmic size.*
3. *Letting $\beta(G)$ be the number of proper k -colorings of G , we have $\frac{1}{n} \log \beta(G) = e^{-\Theta(m/n)}$.*

Notice that property 1 implies in particular that any two proper k -colorings differ on at most $e^{-\Theta(m/n)}n$ vertices. The above characterization of the solution space of $\mathcal{G}_{n,m,k}$ leads to the following algorithmic result:

Theorem 1.2. (*algorithm*) *There exists a polynomial time algorithm that whp properly k -colors a random graph from $\mathcal{G}_{n,m,k}$, $m \geq C_1(k)n$, $C_1(k)$ a sufficiently large number that depends on k only.*

Specifically, we prove that the polynomial time algorithm in Theorem 1.2 is the one presented by Alon and Kahale [4] (more details in Section 4). Our analysis gives for $C_0, C_1 = \Theta(k^{10})$, but no serious attempt was made to optimize the power of k .

The Erdős-Rényi graph $\mathcal{G}_{n,m}$ and its sibling $\mathcal{G}_{n,p}$ are both very well understood and have received much attention during the past years. However, the event of a random graph in $\mathcal{G}_{n,m}$ being k colorable, when k is fixed and the average degree $2m/n$ is above the k -colorability threshold, is very unlikely. Therefore, the distribution $\mathcal{G}_{n,m,k}$ differs from $\mathcal{G}_{n,m}$ significantly. In effect, many techniques that have become standard in the study of $\mathcal{G}_{n,m}$ just do not carry over to $\mathcal{G}_{n,m,k}$ – at least not directly. In particular, the contriving event of being k -colorable causes the edges in $\mathcal{G}_{n,m,k}$ to be dependent. The inherent difficulty of $\mathcal{G}_{n,m,k}$ has led many researchers to consider the more approachable, but possibly less natural, **planted distribution** introduced by Kučera [22] and denoted throughout by $\mathcal{P}_{n,m,k}$. The planted distribution is defined as follows.

Fix an arbitrary partition V_1, \dots, V_k of the vertex set $V = \{1, \dots, n\}$; call a set $e = \{v, w\} \subset V$ *compatible* with V_1, \dots, V_k if there exist $1 \leq i < j \leq k$ such that $v \in V_i$ and $w \in V_j$. Construct a k -colorable graph by picking uniformly at random a set of m edges $\{e_1, \dots, e_m\}$ that are compatible with V_1, \dots, V_k .

Due to the “constructive” definition of $\mathcal{P}_{n,m,k}$, the techniques developed in the study of $\mathcal{G}_{n,m}$ can be applied to $\mathcal{P}_{n,m,k}$ immediately, whence the model is rather well understood. Specifically, Alon and Kahale [4] suggest a polynomial time algorithm, based on spectral techniques, that *whp* properly k -colors a random graph from $\mathcal{P}_{n,m,k}$, $m/n \geq C_0 k^2$, C_0 a sufficiently large constant. Combining techniques from [4] and [11], Böttcher [8] suggests an expected polynomial time algorithm for $\mathcal{P}_{n,p,k}$ based on SDP (semi-definite programming) for the same m values.

Much work was done also on semi-random variants of $\mathcal{P}_{n,m,k}$, e.g. [6, 11, 16, 21]. On the other hand, very little is known on non-planted distributions over k -colorable graph, such as $\mathcal{G}_{n,m,k}$. In this context one can mention the work of Prömel and Steger [25] who analyze $\mathcal{G}_{n,m,k}$ but with a parameterization which causes $\mathcal{G}_{n,m,k}$ and $\mathcal{P}_{n,m,k}$ to coincide, thus not shedding light on the setting of interest in this work. Similarly, Dyer and Frieze [14] deal with very dense graphs (of average degree $\Omega(n)$).

1.2.1 Techniques

Devising new ideas for analyzing $\mathcal{G}_{n,m,k}$ we show that $\mathcal{G}_{n,m,k}$ and $\mathcal{P}_{n,m,k}$ actually share many structural graph properties such as the existence of a single cluster of solutions. As a consequence, we can prove that a certain algorithm, designed with $\mathcal{P}_{n,m,k}$ in mind, works for $\mathcal{G}_{n,m,k}$ as well. To obtain these results, we use two main techniques. $\mathcal{P}_{n,m,k}$ (and the analogous $\mathcal{P}_{n,p,k}$ in which every edge respecting the planted k -coloring is included with probability p) is already very well understood, and in particular the probability of some graph properties that interest us can be easily estimated for $\mathcal{P}_{n,m,k}$ using standard probabilistic calculations. It then remains to find a reasonable “exchange rate” between $\mathcal{P}_{n,m,k}$ and $\mathcal{G}_{n,m,k}$. We use this approach to estimate the probability of “complicated” graph properties, which hold with extremely high probability in $\mathcal{P}_{n,m,k}$.

This approach relates to the more general question about the difference between the planted model $\mathcal{P}_{n,m,k}$ and the uniformly random k -colorable graph $\mathcal{G}_{n,m,k}$. The main difference between the two models lies in the fact that $\mathcal{P}_{n,m,k}$ favors graphs with “many” colorings. More precisely, in $\mathcal{P}_{n,m,k}$ the probability assigned to a graph is (basically) proportional to its number of proper k -colorings. Thus, the answer to the question how closely $\mathcal{P}_{n,m,k}$ and $\mathcal{G}_{n,m,k}$ are related basically depends on the upper tail of the number of proper k -colorings. Indeed, bounding this upper tail is the basis of our “exchange rate” argument.

The exchange rate argument will allow us to show that events that hold in $\mathcal{P}_{n,m,k}$ with probability $1 - \exp(-\Omega(n))$ hold in $\mathcal{G}_{n,m,k}$ with high probability. However, this argument does not suffice to extend any statements that just hold in $\mathcal{P}_{n,m,k}$ with high probability to $\mathcal{G}_{n,m,k}$. Hence, in addition we will apply combinatorial arguments directly to $\mathcal{G}_{n,m,k}$, mostly in order to investigate “local” properties that involve only a “small” (e.g., $O(\log n)$) number of vertices. The crucial issue with this type of argument is that the edges of $\mathcal{G}_{n,m,k}$ are mutually dependent. In effect, this second method tends to be more complicated than the first one, as it involves intricate counting arguments.

1.3 Paper’s Structure

The rest of the paper is structured as follows. We first discuss in Section 2 some general properties that a random graph in $\mathcal{G}_{n,m,k}$ typically possesses. Then in Section 3 we discuss some more properties that correspond to the clustering phenomenon – this in turn will imply Theorem 1.1. The algorithmic perspective is discussed in Section 4 along with a proof of Theorem 1.2. Sections 5 and 6 complete the technical details missing in Sections 2, 3 and 4. Concluding remarks are given in Section 7.

2 General Properties of $\mathcal{G}_{n,m,k}$

In this section we discuss general properties that a random graph in $\mathcal{G}_{n,m,k}$ typically possesses. These properties are not particular to $\mathcal{G}_{n,m,k}$, rather are common (maybe in a slightly different formulation) to many graph distributions, for example $\mathcal{G}_{n,p}$ and $\mathcal{G}_{n,m}$.

We start by discussing the discrepancy property (such discussions are ample for $\mathcal{G}_{n,p}$ and $\mathcal{P}_{n,p,k}$, e.g. [4, 18, 19]). This discussion may be of interest of its own, as generally discrepancy properties play a fundamental role in the proof of many important graph properties such as expansion, the spectra of the adjacency matrix, etc, and indeed the discrepancy property plays in our case a major role both in the algorithmic perspective and in the analysis of the clustering phenomenon. Therefore, the new approach taken here in establishing the discrepancy property may be of use in other settings where edges are dependent. For another example of proving discrepancy in a model where edges are dependent the reader is referred to [5].

Proposition 2.1. *Let G be a random graph in $\mathcal{G}_{n,m,k}$, $m \geq C_0 k^{10} n$, C_0 a sufficiently large constant. Then whp the following holds for every proper k -coloring φ of G . Let V_1, \dots, V_k be the k color classes of φ , and set $p = p(\varphi)$ s.t. $m = \left(\sum_{i < j} |V_i||V_j|\right) p$ holds. Let G' be the graph obtained from G by removing vertices with degree greater than $10np$. There exists a constant c s.t. for every two sets of vertices $A, B \subset V(G')$, $|A| = a \leq |B| = b$, at least one of the following two conditions holds for G' :*

- $e(A, B) \leq c \cdot \mu(A, B)$,
- $e(A, B) \cdot \ln\left(\frac{e(A, B)}{\mu(A, B)}\right) \leq c \cdot b \cdot \ln \frac{n}{b}$,

where $\mu(A, B) = |A||B|p$ and $e(A, B)$ is the number of edges between the sets A and B in G .

If A and B are disjoint then $\mu(A, B)$ is the expected number of edges between A and B had the underlying probability space been $\mathcal{P}_{n,m,k}$. Otherwise, $\mu(A, B)$ is an upper bound on that value. The second estimate is basically the bound that Chernoff bounds yield in the case of a random graph $\mathcal{G}_{n,p}$. Thus, Proposition 2.1 claims that the same bound holds in the random graph $\mathcal{G}_{n,m,k}$ with its edge dependencies.

The proof of this proposition is an example of the direct analysis approach. That is, overcoming the edge-dependency issue, using an intricate counting argument, we directly analyze $\mathcal{G}_{n,m,k}$.

As a corollary of Proposition 2.1 we get the following fact – Corollary 2.2. This fact (in a somewhat different formulation) is proved e.g. in [4] for the planted setting, and is common in the study of random graphs in general.

Corollary 2.2. *Let $\delta \in (0, 1]$ be some positive number. Let G be a random graph in $\mathcal{G}_{n,m,k}$, $m \geq C_0 k^{10} n$, $C_0 = C_0(\delta)$ a sufficiently large constant. Then whp every subgraph of G on at most $\delta n / (1000k)$ vertices has average degree at most $\delta m / (nk)$.*

The next property, whose proof builds upon the discrepancy property just stated, concerns the spectral properties of the adjacency matrix of a typical graph in $\mathcal{G}_{n,m,k}$. Let us start by giving notations. Let $G = (V, E)$ be distributed according to $\mathcal{G}_{n,m,k}$. Let $d_{avg} = 2m/n$ be the average degree in G , $G' = (V', E')$ be the graph obtained from G by deleting all vertices of degree greater than $2d_{avg}$, and A' be the adjacency matrix of G' . For a symmetric matrix $M \in \mathbb{R}^{q \times q}$, denote by $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_q$ the eigenvalues of M , by e_1, e_2, \dots, e_q the corresponding eigenvectors, chosen so that they form an orthonormal basis of \mathbb{R}^q , and $\|M\| = \max_i |\lambda_i|$. Given a $n \times n$ matrix M that

corresponds in some way to a n -vertexed graph, we usually index the rows and columns of M by the vertices of the graph. For example, given two vertex sets $V_i, V_j \subseteq V$, we let $J_{V_i \times V_j}$ be the $n \times n$ matrix whose entries are $J_{u,v} = 1$ if $(u, v) \in V_i \times V_j$, and $J_{u,v} = 0$ otherwise.

Proposition 2.3. *Let G be a random graph in $\mathcal{G}_{n,m,k}$, $m \geq C_0 k^{10} n$, C_0 a sufficiently large constant. G' has whp a k -coloring V_1, \dots, V_k such that the following holds. Let A' be the adjacency matrix of G' , $p = m^{-1} \cdot \sum_{i < j} |V_i| \cdot |V_j|$, and $M' = \left(\sum_{i \neq j} p J_{V_i \times V_j} \right) - A'$. Then $\|M'\| \leq (d_{avg}/k)^{0.9}$. Moreover, $|V \setminus V'| \leq n/d_{avg}$.*

Let us discuss the algorithmic use of Proposition 2.3. For a k -coloring V_1, \dots, V_k of G we let $\mathbf{1}_{V_i} \in \mathbb{R}^n$ denote the vector whose entries are 1 on V_i and 0 otherwise, we let $\mathbf{1}$ be the all-one vector, and $\xi^{(i,j)} = \mathbf{1}_{V_i} - \mathbf{1}_{V_j}$. Let us assume for a moment that every vertex in G has exactly d neighbors in every color class other than its own. Then a direct computation shows that the $\xi^{(i,j)}$'s are eigenvectors of $A(G)$ with eigenvalue $-d$, and that $\mathbf{1}$ is an eigenvector with eigenvalue $(k-1)d$. Furthermore, together $\mathbf{1}$ the vectors $\xi^{(i,j)}$ span a k -dimensional subspace $K \subseteq \mathbb{R}^n$. Another straight computation shows that the matrix M' from Proposition 2.3 satisfies $M'\eta = 0$ for all $\eta \in K$. Therefore, M' is a shift of A' so that the k eigenvectors comprised by K are projected out. Moreover, if $\|M'\| \leq (d_{avg}/k)^{0.9}$, then the eigenvalues of A that are perpendicular to K are “negligible” in comparison to the ones in K . Hence, if we just compute the eigenspace of A' corresponding to the k largest eigenvalues in absolute value (which we can in polynomial time), the result will be precisely K . Thus, we can obtain the vectors $\xi^{(i,j)}$, which represent the coloring V_1, \dots, V_k perfectly.

However, in the random graph $\mathcal{G}_{n,m,k}$ it is *not* true that there is a coloring V_1, \dots, V_k such that every vertex has exactly d neighbors in every color class other than its own. Nonetheless, as we shall see the vectors $\xi^{(i,j)}$ are still “sufficiently close” to being eigenvectors that the bound on $\|M'\|$ given by Proposition 2.3 allows us to get a good “approximation” to the coloring V_1, \dots, V_k .

3 The Clustering Phenomenon

In this section we analyze the solution space (proper k -colorings) of a typical random graph in $\mathcal{G}_{n,m,k}$, $m \geq C_k n$, C_k a sufficiently large constant, and prove Theorem 1.1. Our techniques should be contrasted with the techniques used to analyze the solution space of near-threshold (both above and below) instances. In this context one can mention the work in [2, 3, 13], where the structure of the solution space was analyzed directly (mainly using second moment calculations). This is possible due to the fair simpleness of the In [13] and the first part of [3] the proofs are (essentially) based on 2nd or 4th moment calculations; these are feasible, because in random k -SAT the clauses are independent random objects. The second part of [3] relies on the (large deviations) analysis of a process that remotely resembles the concept of cores to be introduced below. This analysis is carried out in the “planted model” with independent clauses, and then transferred to the uniform random k -SAT model via a (crude) “exchange rate” argument.

The main difference is that [2, 3, 13] deal with below-threshold instances, whereas here we need to *condition* on the existence of a solution (i.e., a proper k -coloring). Therefore the constraints (edges) do not occur independently anymore. Hence, on the one hand we shall relate the planted model $\mathcal{P}_{n,m,k}$ and the uniform conditional model $\mathcal{G}_{n,m,k}$ in order to use the latter model (with its independent edges) as a proof device. This approach is similar to the second part of [3], except that in our case the “exchange rate” is much more favorable and the proof is more involved. On the other hand, we shall use combinatorial (counting) arguments to analyze $\mathcal{G}_{n,m,k}$ directly; this type of

argument does not occur in [2, 3, 13].

We describe a subset of the vertices, referred to as the *core* vertices, which plays a crucial role in understanding the structure of $\mathcal{G}_{n,m,k}$, and the algorithmic approach to solve it. To get intuition, first consider the distribution $\mathcal{P}_{n,m,k}$, and the case $k = 3$ (that is, 3-colorable graphs with exactly m edges). Every vertex v is expected to have m/n neighbors in every color class other than its own. Suppose indeed that this is the case. To complete the discussion we need two extra facts.

Fact 3.1. *Let G be a random graph in $\mathcal{P}_{n,m,3}$, $m/n \geq C_0$, C_0 a sufficiently large constant. Then whp every subgraph of G containing at most $n/1000$ vertices has average degree at most m/n .*

Before stating the second fact we establish the notion of *distance* between colorings.

Definition 3.2. (*Distance*) *Let G be a graph with two proper k -colorings $\varphi = (V_1, V_2, \dots, V_k)$ and $\psi = (U_1, U_2, \dots, U_k)$. Let S_k be the group of permutations over the numbers $\{1, \dots, k\}$. The distance between ψ and φ is defined by*

$$\text{dist}(\psi, \varphi) = \min_{\sigma \in S_k} \sum_{v \in V} I_v(\psi, \varphi_\sigma),$$

$\varphi_\sigma(v) = \sigma(i)$ for $v \in V_i$, $\psi(v) = j$ for $v \in U_j$, and

$$I_v(\psi, \varphi_\sigma) = \begin{cases} 1, & \varphi_\sigma(v) \neq \psi(v). \\ 0, & \text{otherwise.} \end{cases}$$

,

Put in words, $\text{dist}(\psi, \varphi)$ is the number of vertices which belong to different color classes under ψ and φ , when taking the minimum over all possible $k!$ permutations of the color classes in φ .

Fact 3.3. *Let G be a random graph in $\mathcal{P}_{n,m,3}$, $m/n \geq C_0$, C_0 a sufficiently large constant. Then whp there exists no two proper 3-colorings of G at distances at least $n/1000$ from each other.*

Fact 3.1, with somewhat different constants is proven in [4] (and also in this paper – Corollary 2.2 for the uniform setting), and Fact 3.3 is proven using first moment calculations (similar arguments to Lemma 6.16 ahead).

Now suppose that these two facts are indeed true (which is typically the case), and further assume that every vertex has the expected number of neighbors in every color class (which is typically *not* the case when m/n is constant). Then we claim that the graph is uniquely 3-colorable. If not, then let ψ be a proper 3-coloring of the graph, not equal to the planted 3-coloring φ . Let U be the set of vertices that are colored differently in φ and ψ . Every $u \in U$, say $\psi(u) = c$, must have at least m/n neighbors in $G[U]$ – the neighbors of u in G which are colored c according to φ . However, $|U| \leq n/1000$ due to Fact 3.3, but the minimal degree in $G[U]$ is at least m/n , contradicting Fact 3.1.

In what we just described all vertices of the graph are *frozen*. When $m/n \geq C_0 \log n$, then whp every vertex in G has roughly m/n neighbors in every color class other than its own, and combined with the two facts, one derives that typically such graphs in $\mathcal{P}_{n,m,3}$ are uniquely 3-colorable. However, when $m/n = O(1)$ this is whp not the case. In particular, whp $e^{-\Theta(m/n)n}$ vertices will be isolated (degree 0). Nevertheless, in the case $m/n = O(1)$ there exists a large subgraph of G showing a very similar behavior to the aforementioned one, both in the planted and the uniform setting. The set of vertices inducing this subgraph is called a *core*. A similar notion of core, though in a different context, was first introduced by Alon and Kahale [4].

Definition 3.4. A set of vertices C is called a δ -**core** of $G = (V, E)$ w.r.t. a proper k -coloring ψ of the vertices of G with color classes V_1, \dots, V_k , if the following properties hold for every $v \in C$:

- v has at least $(1 - \delta)|V_i|p_i$ neighbors in $C \cap V_i$ for every $i \neq \psi(v)$.
- v has at most δr neighbors from $V \setminus C$,

where $p_i = \frac{2m}{n} \cdot \frac{1}{n-|V_i|}$ and $r = \max_i |V_i|p_i$.

We proceed by asserting some properties that a core typically possesses. Before doing so, we assert two facts that do not concern directly the core, but play an important role in proving the core's properties. A graph G is said to be ε -**balanced** if it admits a proper k -coloring in which every color class is of size $(1 \pm \varepsilon)\frac{n}{k}$. We say that a graph is balanced if it is 0-balanced.

In the common definition of $\mathcal{P}_{n,m,k}$ all color classes of the planted k -coloring are of the same cardinality, namely n/k . Therefore, all graphs in $\mathcal{P}_{n,m,k}$ have at least one balanced k -coloring (the planted one). In the uniform setting this need not be the case, at least not a-priori. However, as the following proposition asserts, this is basically the case *whp*.

Proposition 3.5. Let $m \geq (10k)^4$, then *whp* a random graph in $\mathcal{G}_{n,m,k}$ is 0.01-balanced.

A graph G is c -**concentrated** w.r.t. a proper k -coloring ψ of G if every coloring at distance at least n/c from ψ leaves at least m/c^2 monochromatic edges.

Proposition 3.6. Let $\delta \in [0, 1]$ be some positive number. Let G be a random graph in $\mathcal{G}_{n,m,k}$, $m \geq C_0 k^4 n$, $C_0 = C_0(\delta)$ a sufficiently large constant. Then *whp* there exists a proper k -coloring φ of G w.r.t. which G is $\delta/(1000k)$ -concentrated.

We now proceed with the core's properties.

Proposition 3.7. Let $\delta \in (0, 1)$ be some positive number. Let G be a random graph in $\mathcal{G}_{n,m,k}$, $m \geq C_0 k^{10} n$, $C_0 = C_0(\delta)$ a sufficiently large constant. Then there exist two constants $a_0(\delta), a_1(\delta) > 0$ (independent of m, n) so that *whp* there exists a proper k -coloring φ of G w.r.t. which there exists a δ -core C satisfying:

- $|C| \geq (1 - e^{-m/(a_0 n k^9)})n$.
- The number of edges spanned by C is at least $(1 - e^{-m/(a_1 n k^9)})m$.
- Every color class V_i of φ satisfies $0.99n/k \leq |V_i| \leq 1.01n/k$.

As discussed above for the planted model, if the average degree is sufficiently high (at least logarithmic), then typically $C = V$. This is also typically the case in $\mathcal{G}_{n,m,k}$ with $m/n \geq C_0 \log n$. When $m/n = O(1)$, this is no longer true (in either model), as for example *whp* there is a linear number of vertices with degree r for every constant r (in particular $r = 0$).

In our analysis we shall assume $\delta = 0.99$, but this choice is rather arbitrary, and in fact any fixed δ would suffice (maybe causing a change in the constants used in the proofs/algorithm accordingly). We chose $\delta = 0.99$ to be consistent with [4].

Proposition 3.8. Let G be a random graph in $\mathcal{G}_{n,m,k}$, $m \geq C_0 k^{10} n$, C_0 a sufficiently large constant. Let C be some δ -core of G for which Proposition 3.7 holds, and let φ be the underlying k -coloring. If G satisfies Proposition 3.6 w.r.t. φ , and in addition G satisfies Corollary 2.2, then $G[C]$ is uniquely k -colorable.

Here and throughout we consider two k -colorings to be the same if one is a permutation of the color classes of the other.

Proposition 3.9. *If C, C' are δ -cores of G , and both are uniquely k -colorable, then $C \cup C'$ is a δ -core as well. Hence, whp there is a unique maximal δ -core.*

Proof. Let C, C' be two δ -cores of G with corresponding colorings V_1, \dots, V_k and V'_1, \dots, V'_k . By the uniqueness of the coloring every $V_i \cap C$ intersects exactly one V'_j . Therefore, w.l.o.g. we may assume that $V_i \cap C \subseteq V'_i$ for every i . Hence, it is easily verified that $C \cup C'$ meets the definition of a core (Definition 3.4) w.r.t. V'_1, \dots, V'_k (and also V_1, \dots, V_k). ■

For the rest of the paper, when we refer to a δ -core w.r.t. some coloring, we mean the maximal (unique) one.

Proposition 3.10. *Fix $\delta \in (0, 1)$ and let G be a random graph in $\mathcal{G}_{n,m,k}$, $m \geq C_0 k^{10} n$, C_0 a sufficiently large constant. Let C be a δ -core of G , and let $G[V \setminus C]$ be the graph induced by the non-core vertices. If $|C| \geq (1 - e^{-\Theta(m/(nk^9))})n$, then whp the largest connected component in $G[V \setminus C]$ is of size $O(\log n)$.*

Some of the properties discussed in this section were proved in the planted setting $\mathcal{P}_{n,m,k}$, e.g. in [4, 8]. Nevertheless, these proofs use the fact that the edges are chosen uniformly at random. This is of course not the case in the uniform setting (as most choices of m edges uniformly at random at our density shall result in a graph which is not k -colorable). Therefore, a different approach is needed. One proof technique that we use to prove the core's properties is similar in some sense to the union bound. We first bound the probability that a graph in $\mathcal{P}_{n,m,k}$ does not have the desired property, then we find an exchange rate between the probability of a certain “bad” event occurring in $\mathcal{P}_{n,m,k}$ vs. $\mathcal{G}_{n,m,k}$. This technique can be applied to “bad” properties that occur with extremely low probability in $\mathcal{P}_{n,m,k}$ (in the order of $e^{-\Theta(n)}$), as the exchange rate that we establish is exponential in n . A detailed exposition of the exchange rate technique is given in Section 5. Unfortunately, some properties, for example Proposition 3.10, hold only with probability $1 - 1/\text{poly}(n)$ in $\mathcal{P}_{n,m,k}$. For those properties the exchange rate technique is of no use. Crucially overcoming the edge-dependency issue we directly analyze the uniform distribution. This proof technique, employed e.g. in the proof of Proposition 2.1 and Proposition 3.10, is technically involved, and exemplifies an analysis of a distribution where the events (edge-choice in our case) are dependent, and this dependency seems rather difficult to quantify (and therefore none of the “standard” probabilistic method tools are applicable, at least not immediately).

3.1 Proof of Theorem 1.1

Theorem 1.1 is now an easy consequence of the above discussion. Proposition 3.7 asserts that whp a graph in $\mathcal{G}_{n,m,k}$, with the suitable parametrization, will have a big 0.99-core (containing $(1 - e^{-\Omega(m/n)})n$ vertices) w.r.t. some proper k -coloring. namely, all but $e^{-\Theta(m/n)}n$ vertices belong to the core. Proposition 3.9 then entails that the core is uniquely k -colorable. Namely, in all proper k -colorings, the core vertices are frozen. Furthermore, this also implies that there is only one cluster of proper k -colorings, in which every two colorings differ on the color of at most $e^{-\Theta(m/n)}n$ vertices. Also, the number of different proper k -colorings is bounded by $\exp\{e^{-\Theta(m/n)}n\}$ (all the possibilities to color the non-core vertices). Finally, Proposition 3.10 asserts the “simplicity” of the subgraph induced by the non-core vertices.

4 The Algorithmic Perspective

In Sections 2 and 3 we implicitly proved that a typical graph in $\mathcal{G}_{n,m,k}$ and in $\mathcal{P}_{n,m,k}$ share many structural properties: spectral properties of the adjacency matrix, the existence of a core, and some properties that it typically enjoys, the non-existence of small yet unexpectedly dense subgraphs (Corollary 2.2), and so on. In effect, it will turn out that coloring heuristics that prove efficient for $\mathcal{P}_{n,m,k}$ (e.g. [4, 11]) are useful in the uniform setting as well. In particular we shall prove that the coloring algorithm given in [4], designed with the planted distribution in mind, also works in the uniform case. Thus, one merit of our work is justifying the usage of planted-solution distributions in average case analysis.

For the sake of completeness we give a short description of Alon and Kahale’s algorithm (Figure 1), and discuss the outline of their proof. When describing the algorithm we have a sparse graph in mind, namely $m/n = c$, $c = c(k)$ some sufficiently large constant (in the denser setting, $m/n = \Omega(\log n)$, matters actually get much simpler). Also note that [4] describe their algorithm for $k = 3$, and we describe it for general k . The generalization from $k = 3$ to general k is not given in their paper, and in fact is not straightforward. Thus as a side result we, for the first time, explicitly present (and analyze) the generalized Alon-Kahale algorithm.

In the description of the algorithm we use the subprocedure $\text{SpectralApprox}(G, k)$, which is given in Figure 2 and motivated in Section 4.1.

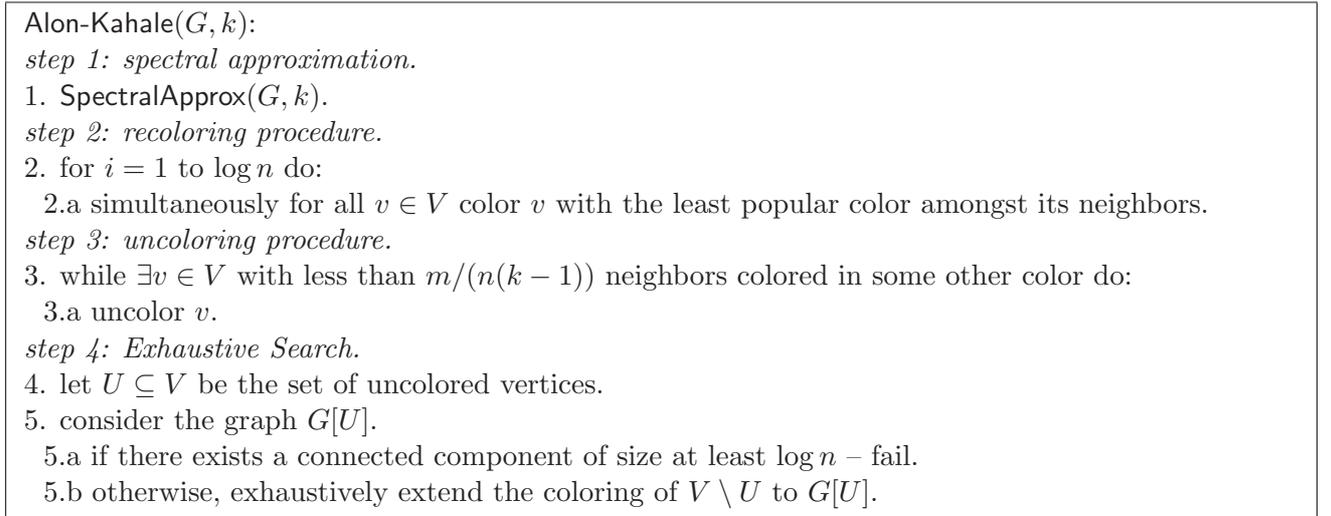


Figure 1: Alon and Kahale’s coloring algorithm

The following theorem is given in [4] (there it is stated with $k = 3$ but the authors point out that it generalizes to any constant k):

Theorem 4.1. *The algorithm Alon-Kahale whp properly k -colors a random graph from $\mathcal{P}_{n,m,k}$, $m \geq C_0 k^2 n$, C_0 a sufficiently large constant.*

The algorithm and Theorem 4.1 are originally presented for $\mathcal{P}_{n,p,k}$, however as pointed out by the authors one can safely state it for $\mathcal{P}_{n,m,k}$ (for $m \geq C_0 k^2 n$).

The proof of Theorem 4.1 (according to [4]) proceeds as follows. First, four graph properties are described, and claimed to hold *whp* for a random graph in $\mathcal{P}_{n,m,k}$ with the parametrization of Theorem 4.1. The graph properties are:

- P1.** The matrix M' defined as in Proposition 2.3 satisfies $\|M'\| \leq d^{0.9}$, where $d = 2m/(nk)$.
- P2.** Every subgraph of G on at most $n/(1000k)$ vertices has average degree at most $m/(nk)$.
- P3.** There exists a 0.99-core C (w.r.t. the planted coloring) whose size is $(1 - e^{-\Omega(m/n)})n$
- P4.** The largest connected component in the the subgraph induced by the non-core vertices is of size $O(\log n)$.

P2 is stated in [4] in a slightly different formulation, and arguably the proof of Theorem 4.1 is a bit simpler when using **P2** in our formulation.

Now call a graph that possesses **P1-P4** *typical*. Alon and Kahale [4] first prove that indeed *whp* a graph sampled from $\mathcal{P}_{n,m,k}$ is typical. Therefore, one may restrict oneself to typical graphs when proving Theorem 4.1. The proof of the theorem is composed of the following assertions, which are also to be found in [4]. For a planted graph G , we denote by φ its planted k -coloring, and the set “core” referred to in the propositions below is some fixed 0.99-core (in the planted case, the core is defined w.r.t. φ).

Proposition 4.2. *Assuming G is typical, $\text{SpectralApprox}(G, k)$ produces a k -coloring which differs from φ on at most $n/(1000k)$ vertices.*

Proposition 4.3. *Assuming G is typical and Proposition 4.2 holds, after the recoloring step ends, the core is colored according to the planted k -coloring φ .*

Proposition 4.4. *Assuming G is typical and Proposition 4.3 holds, the core vertices survive the uncoloring step, and every vertex that survives the uncoloring step is colored according to φ .*

Proposition 4.5. *Assuming G is typical and Proposition 4.4 holds, the exhaustive search completes in polynomial time with a proper k -coloring of the entire graph.*

The proof of Propositions 4.2-4.5, given of course in [4], relies *only* on **P1-P4**. Therefore to prove Theorem 1.2 it suffices to prove that *whp* a graph in $\mathcal{G}_{n,m,k}$ enjoys properties **P1-P4**. One delicate point that needs to be discussed is the fact that an instance from $\mathcal{G}_{n,m,k}$ does not have a planted coloring. Nevertheless, it suffices to show that there exists a proper k -coloring w.r.t. which **P1-P4** hold (as the algorithm is not required to find any particular coloring, just a proper one).

P1 is given by Proposition 2.3, **P2** by Corollary 2.2, **P3** by Proposition 3.7, and **P4** by Proposition 3.10. Propositions 3.7 and 2.3, as stated, do not guarantee a-priori that **P1** and **P3** should correspond to the same proper k -coloring (which is required to prove Theorem 4.1). Nevertheless, going through the proofs of these propositions it is easily verified that indeed this is the case.

4.1 The procedure $\text{SpectralApprox}(G, k)$.

Before presenting the procedure $\text{SpectralApprox}(G, k)$ let us give some motivation. Suppose that G has only one proper k -coloring with color classes V_1, \dots, V_k , and let $\mathcal{E} = \sum_{i \neq j} p J_{V_i \times V_j}$ and p satisfies $m = \left(\sum_{i < j} |V_i| |V_j| \right) p$ (Recall that $J_{V_i \times V_j}$ is the $n \times n$ matrix whose entries are $J_{u,v} = 1$ if

$(u, v) \in V_i \times V_j$, and $J_{u,v} = 0$ otherwise). The matrix \mathcal{E} just reflects the coloring V_1, \dots, V_k . Namely, if we think of p as the “edge density” of the bipartite graph consisting of the V_i - V_j -edges ($i \neq j$), then \mathcal{E} reflects the expected edge distribution of the k -partite graph G . In fact, if we could compute \mathcal{E} efficiently then we could easily obtain the coloring V_1, \dots, V_k of G using the following simple greedy rule: u and v belong to the same color class iff $\|\mathcal{E}_v - \mathcal{E}_u\| = 0$, where $\|x\|$ denotes the ℓ_2 norm of a vector $x \in \mathbb{R}^n$, and \mathcal{E}_v denotes the v^{th} column of the matrix \mathcal{E} . Though we are not given \mathcal{E} we can obtain a fair approximation of it. Specifically, let \hat{A} signify the *rank k approximation* of $A(G)$, obtained as follows. Let $\lambda_1, \dots, \lambda_k$ be the largest eigenvalues of $A(G)$ in absolute value, and let e_1, \dots, e_k be corresponding eigenvectors. Then $\hat{A} = \sum_{i=1}^k \lambda_i e_i^T e_i$. As we shall prove in Section 6.3, \hat{A} approximates \mathcal{E} in some sense and therefore one can use \hat{A} to compute a good approximation of a proper k -coloring of G . Recall that for a graph G we use G' to denote the graph obtained from G by deleting all vertices of degree greater than $2d_{\text{avg}}$ ($d_{\text{avg}} = 2m/n$ is the average degree in G).

SpectralApprox(G, k):

1. Compute \hat{A} for $A(G')$.
2. For each $v \in V'$ determine the set $S_v = \{w \in V : \|\hat{A}_v - \hat{A}_w\|^2 \leq 0.01np^2/k\}$.
3. Let $X = \emptyset$.
4. For $i = 1, \dots, k$ find a vertex x_i such that $X_i = |S_{x_i} \setminus X| \geq (1 - 10^{-10})\frac{n}{k}$; add X_i to X .
5. Output the classes X_1, \dots, X_k .

Figure 2: SpectralApprox(G, k)

5 The Exchange Rate Technique

Let \mathcal{A} be some graph property (it would be convenient for the reader to think of \mathcal{A} as a “bad” property). We start by determining the exchange rate for $Pr[\mathcal{A}]$ between the different distributions. Recall that in the uniform distribution there need not be a balanced k -coloring, as opposed to the common definition of the planted distribution where the planted k -coloring is balanced (i.e. all color classes are of size n/k). Therefore more refined definitions are needed. In addition to the “regular” parameters m, n (or p, n) of the planted/uniform distribution, we introduce k additional parameters $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k \in (-1, k-1]$, $\sum \varepsilon_i = 0$, which characterize the sizes of the different color classes of a proper k -coloring. Specifically, we denote by $\mathcal{P}_{n,p,k,\bar{\varepsilon}}$, $\bar{\varepsilon} = (\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k)$, the distribution where first the vertices are partitioned into k color classes so that $|V_i| = (1 + \varepsilon_i)n/k$ for every i . Then, every $V_i - V_j$ edge is included w.p. p . Similarly we define $\mathcal{G}_{n,m,k,\bar{\varepsilon}}$. We define $\mathcal{G}_{n,m,k,\bar{\varepsilon}}$ to be the uniform distribution over k -colorable graphs that have at least one proper k -coloring where the color classes satisfy $|V_i| = (1 + \varepsilon_i)n/k$.

We use the following notation to denote the probability of \mathcal{A} under the various distributions: $Pr^{\text{uniform},m,\bar{\varepsilon}}[\mathcal{A}]$ denotes the probability of property \mathcal{A} occurring under $\mathcal{G}_{n,m,k,\bar{\varepsilon}}$, $Pr^{\text{planted},m,\bar{\varepsilon}}[\mathcal{A}]$ for $\mathcal{P}_{n,m,k,\bar{\varepsilon}}$, and $Pr^{\text{planted},n,p,\bar{\varepsilon}}[\mathcal{A}]$ for $\mathcal{P}_{n,p,k,\bar{\varepsilon}}$.

We shall be mostly interested in the case $m = \left(\sum_{i < j} |V_i||V_j|\right)p$, namely m is the expected number of edges in $\mathcal{P}_{n,p,k,\bar{\varepsilon}}$. The following lemma, which is proved using rather standard probabilistic

calculations, establishes the exchange rate for $\mathcal{P}_{n,p,k,\bar{\varepsilon}} \rightarrow \mathcal{P}_{n,m,k,\bar{\varepsilon}}$.

Lemma 5.1. ($\mathcal{P}_{n,p,k,\bar{\varepsilon}} \rightarrow \mathcal{P}_{n,m,k,\bar{\varepsilon}}$) *Let \mathcal{A} be some graph property. The following is true when m and p satisfy $m = \left(\sum_{i < j} |V_i||V_j|\right) p$:*

$$P_{\mathcal{P}}^{\text{planted},m,\bar{\varepsilon}}[\mathcal{A}] \leq O(\sqrt{m}) \cdot P_{\mathcal{P}}^{\text{planted},n,p,\bar{\varepsilon}}[\mathcal{A}].$$

Proof.(Outline) Let G be a random graph sampled according to $\mathcal{P}_{n,p,k,\bar{\varepsilon}}$. G has property \mathcal{A} w.p. $P_{\mathcal{P}}^{\text{planted},n,p,\bar{\varepsilon}}[\mathcal{A}]$. Since the distribution of edges in $\mathcal{P}_{n,p,k,\bar{\varepsilon}}$ is binomial, and m is chosen to be the expected number of edges, standard calculations show that w.p. $\Omega(1/\sqrt{m})$, G has exactly m edges. Also observe that $\mathcal{P}_{n,m,k,\bar{\varepsilon}} = \mathcal{P}_{n,p,k,\bar{\varepsilon}} | \{\text{The graph has exactly } m \text{ edges}\}$. Therefore $P_{\mathcal{P}}^{\text{planted},m,\bar{\varepsilon}}[\mathcal{A}] = P_{\mathcal{P}}^{\text{planted},n,p,\bar{\varepsilon}}[\mathcal{A}] / \Omega(1/\sqrt{m}) = O(\sqrt{m}) \cdot P_{\mathcal{P}}^{\text{planted},n,p,\bar{\varepsilon}}[\mathcal{A}]$. ■

Next, we obtain $\mathcal{P}_{n,m,k,\bar{\varepsilon}} \rightarrow \mathcal{G}_{n,m,k,\bar{\varepsilon}}$, which is rather involved technically and whose proof contains results of own interest – for example, bounding the expected number of proper k -colorings of a graph in $\mathcal{G}_{n,m,k,\bar{\varepsilon}}$. The passage $\mathcal{P}_{n,m,k,\bar{\varepsilon}} \rightarrow \mathcal{G}_{n,m,k,\bar{\varepsilon}}$ is composed of the following two lemmas.

Lemma 5.2. *Let $C_1(n, k, \bar{\varepsilon})$ be the expected number of proper k -colorings that a random graph in $\mathcal{G}_{n,m,k,\bar{\varepsilon}}$ has. Let \mathcal{A} be some graph property, then*

$$P_{\mathcal{P}}^{\text{uniform},m,\bar{\varepsilon}}[\mathcal{A}] \leq C_1(n, k, \bar{\varepsilon}) \cdot P_{\mathcal{P}}^{\text{planted},m,\bar{\varepsilon}}[\mathcal{A}],$$

Lemma 5.3. *Let $\bar{\varepsilon} = (\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k)$ s.t. $\forall i |\varepsilon_i| \leq 0.01$, then*

$$C_1(n, k, \bar{\varepsilon}) \leq e^{ke^{-m/(10nk^9)}n}.$$

The following proposition formulates the exchange rate technique in a “practical” way.

Proposition 5.4. *Let \mathcal{A} be some graph property. Then*

$$P_{\mathcal{P}}^{\text{uniform},m}[\mathcal{A}] \leq o(1) + n^k \cdot e^{ke^{-m/(10nk^9)}n} \cdot \max_{\bar{\varepsilon}: \forall i, |\varepsilon_i| \leq 0.01} P_{\mathcal{P}}^{\text{planted},m,\bar{\varepsilon}}[\mathcal{A}]$$

Proof. Let \mathcal{K} be set of all k -colorable graphs with exactly m edges, and let $\mathcal{K}_{\bar{\varepsilon}}$ be all k -colorable graphs that have at least one proper k -coloring with color classes according to $\bar{\varepsilon}$. Set

$$\mathcal{K}^* = \bigcup_{\bar{\varepsilon}: \forall i, |\varepsilon_i| \leq 0.01} \mathcal{K}_{\bar{\varepsilon}},$$

Proposition 3.5 asserts that

$$|\mathcal{K}^*| = (1 - o(1))|\mathcal{K}|.$$

Set

$$\alpha_{\bar{\varepsilon}} = e^{ke^{-m/(10nk^9)}n} \cdot P_{\mathcal{P}}^{\text{planted},m,\bar{\varepsilon}}[\mathcal{A}],$$

$$\alpha = \max_{\bar{\varepsilon}: \forall i, |\varepsilon_i| \leq 0.01} \alpha_{\bar{\varepsilon}}.$$

Lemmas 5.2 and 5.3 ensure that at most $\alpha_{\bar{\varepsilon}}$ -fraction of the graphs in $\mathcal{K}_{\bar{\varepsilon}}$ have property \mathcal{A} . Therefore, the number of graphs in \mathcal{K} that have property \mathcal{A} is at most

$$\left(o(1) + n^k \cdot \alpha\right) |\mathcal{K}|.$$

The n^k factor comes from the fact that there are at most n^k ways to choose $\bar{\varepsilon}$ (that is, at most n^k different $\mathcal{K}_{\bar{\varepsilon}}$'s). ■

5.1 Proof Lemma 5.2

Fix $\bar{\varepsilon} = (\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k)$ and let $B(n, k, \bar{\varepsilon})$ be the total number of proper k -colorings on n vertices with the prescribed sizes of the color classes (when we consider two colorings to be the same if one is just a permutation of the color classes of the other). Throughout the proof, when referring to a k -coloring we mean a coloring with the prescribed sizes of the color classes, when $\bar{\varepsilon}$ is clear from the context. Recall that $C_1(n, k, \bar{\varepsilon})$ is defined to be the expected number of proper k -colorings that a random graph in $\mathcal{G}_{n,m,k,\bar{\varepsilon}}$ has, and $C_2(n, k, \bar{\varepsilon})$ is defined similarly for $\mathcal{P}_{n,m,k,\bar{\varepsilon}}$. Let t_i be the number of graphs on n vertices and m edges which have exactly i proper k -colorings. Let p_i be the probability that a graph with exactly i proper k -colorings is sampled from $\mathcal{G}_{n,m,k,\bar{\varepsilon}}$, and let q_i be defined similarly for $\mathcal{P}_{n,m,k,\bar{\varepsilon}}$. For a k -coloring φ , let $\Delta_{n,m,\varphi}$ be the number of graphs on n vertices with m edges for which φ is a proper k -coloring. Observe that due to symmetry $\Delta_{n,m,\varphi}$ is the same for all φ having the same $\bar{\varepsilon}$ -vector – thus we omit the φ subscript. In the above notation

$$p_i = \frac{t_i}{\sum_{j=1}^{k^n} t_j}, \quad q_i = \frac{i \cdot t_i}{B(n, k, \bar{\varepsilon}) \cdot \Delta_{n,m}}.$$

The explanation for q_i is the following: fix a graph G that has exactly i proper k -colorings. With probability $i/B(n, k, \bar{\varepsilon})$ one of G 's colorings will be the planted one, and then G is sampled with probability $1/\Delta_{n,m}$. Now multiply everything by t_i – the number of ways to choose G . Further observe that

$$B(n, k, \bar{\varepsilon}) \cdot \Delta_{n,m} = \sum_{j=1}^{k^n} j \cdot t_j.$$

This is because every graph with j proper k -colorings was counted exactly j times in the product $B(n, k, \bar{\varepsilon}) \cdot \Delta_{n,m}$. Therefore q_i can be rewritten as

$$q_i = \frac{i \cdot t_i}{\sum_{j=1}^{k^n} j \cdot t_j}.$$

Finally,

$$C_1(n, k, \bar{\varepsilon}) = \sum_{i=1}^{k^n} i \cdot p_i = \frac{\sum_{i=1}^{k^n} i \cdot t_i}{\sum_{i=1}^{k^n} t_i},$$

$$C_2(n, k, \bar{\varepsilon}) = \sum_{i=1}^{k^n} i \cdot q_i = \frac{\sum_{i=1}^{k^n} i^2 \cdot t_i}{B(n, k, \bar{\varepsilon}) \cdot \Delta_{n,m}} = \frac{\sum_{i=1}^{k^n} i^2 \cdot t_i}{\sum_{i=1}^{k^n} i \cdot t_i}.$$

Next we obtain the following bound:

$$\frac{P_{r^{\text{uniform,m,\bar{\varepsilon}}}}[\mathcal{A}]}{P_{r^{\text{planted,m,\bar{\varepsilon}}}}[\mathcal{A}]} \leq \max_i \frac{p_i}{q_i}.$$

This is established in the following discussion. Let $\mathcal{K}_{\mathcal{A}}$ be the set of graphs in $\mathcal{G}_{n,m,k,\bar{\varepsilon}}$ for which property \mathcal{A} holds.

$$P_{r^{\text{uniform,m,\bar{\varepsilon}}}}[\mathcal{A}] = \sum_{G \in \mathcal{K}_{\mathcal{A}}} P_{r^{\text{uniform,m,\bar{\varepsilon}}}}[G], \quad P_{r^{\text{planted,m,\bar{\varepsilon}}}}[\mathcal{A}] = \sum_{G \in \mathcal{K}_{\mathcal{A}}} P_{r^{\text{planted,m,\bar{\varepsilon}}}}[G].$$

Now let $b = \max_i \frac{p_i}{q_i}$, and fix some G with exactly i colorings.

$$\frac{P_{r^{\text{uniform,m,\bar{\varepsilon}}}}[G]}{P_{r^{\text{planted,m,\bar{\varepsilon}}}}[G]} = \frac{p_i}{q_i} \leq \max_i \frac{p_i}{q_i} = b \quad \Rightarrow \quad P_{r^{\text{uniform,m,\bar{\varepsilon}}}}[G] \leq b \cdot P_{r^{\text{planted,m,\bar{\varepsilon}}}}[G].$$

Therefore,

$$\sum_{G \in \mathcal{K}_{\mathcal{A}}} P_r^{\text{uniform}, m, \bar{\varepsilon}}[G] \leq \sum_{G \in \mathcal{K}_{\mathcal{A}}} b \cdot P_r^{\text{planted}, m, \bar{\varepsilon}}[G] = b \cdot \sum_{G \in \mathcal{K}_{\mathcal{A}}} P_r^{\text{planted}, m, \bar{\varepsilon}}[G].$$

It now remains to estimate $\max_i \frac{p_i}{q_i}$.

$$\begin{aligned} \frac{P_r^{\text{uniform}, m, \bar{\varepsilon}}[\mathcal{A}]}{P_r^{\text{planted}, m, \bar{\varepsilon}}[\mathcal{A}]} &\leq \max_i \frac{p_i}{q_i} = \max_i \left(\frac{t_i}{\sum_{j=1}^{k^n} t_j} \right) \cdot \left(\frac{B(n, k, \bar{\varepsilon}) \cdot \Delta_{n, m}}{i \cdot t_i} \right) \\ &= \max_i \left(\frac{1}{i} \cdot \frac{\sum_{j=1}^{k^n} j \cdot t_j}{\sum_{j=1}^{k^n} t_j} \right) = \left(\frac{\sum_{j=1}^{k^n} j \cdot t_j}{\sum_{j=1}^{k^n} t_j} \right) \cdot \left(\max_i \frac{1}{i} \right) = C_1(n, k, \bar{\varepsilon}). \end{aligned}$$

5.2 Proof of Lemma 5.3

Our goal is to upper bound $C_1(n, k, \bar{\varepsilon})$. A direct calculation seems to be a hard task, therefore the following lemma is very useful.

Lemma 5.5. $C_1(n, k, \bar{\varepsilon}) \leq C_2(n, k, \bar{\varepsilon})$.

Proof. To prove $C_1(n, k, \bar{\varepsilon}) \leq C_2(n, k, \bar{\varepsilon})$, one needs to prove that

$$\left(\sum_{i=1}^{k^n} i \cdot t_i \right)^2 \leq \left(\sum_{i=1}^{k^n} t_i \right) \cdot \left(\sum_{i=1}^{k^n} i^2 \cdot t_i \right).$$

This is just Cauchy-Schwartz, $(\sum a_i \cdot b_i)^2 \leq (\sum a_i^2) \cdot (\sum b_i^2)$, with $a_i = \sqrt{t_i}$ and $b_i = i \cdot \sqrt{t_i}$. ■

The following lemma then finishes the proof of Lemma 5.3.

Lemma 5.6. Let $\bar{\varepsilon} = (\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k)$ s.t. $\forall i |\varepsilon_i| \leq 0.01$, then

$$C_2(n, k, \bar{\varepsilon}) \leq e^{ke^{-m/(10nk^9)}n}.$$

The main key to proving Lemma 5.6 lies in the following observation:

Lemma 5.7. Let c_r be the probability that a fixed k -coloring (with color classes according to $\bar{\varepsilon}$) at distance r from φ is also a proper coloring of G . If $\bar{\varepsilon} = (\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k)$ is s.t. $\forall i |\varepsilon_i| \leq 0.01$ then $c_r \leq e^{-mr/(10nk^9)}$.

Proof.(Lemma 5.6)

$$\begin{aligned} C_2(n, k, \bar{\varepsilon}) &\leq \sum_{r=0}^n \binom{n}{r} k^r c_r = \sum_{r=0}^n \binom{n}{r} k^r e^{-mr/(10nk^9)} = \sum_{r=0}^n \binom{n}{r} \left(ke^{-m/(10nk^9)} \right)^r 1^{n-r} \\ &= \left(1 + ke^{-m/(10nk^9)} \right)^n \leq e^{ke^{-m/(10nk^9)}n} \end{aligned}$$
■

Before proving Lemma 5.7 we establish two more facts.

Lemma 5.8. Let $\psi = (U_1, U_2, \dots, U_k)$ be some k -coloring at distance r from φ . Then there exist i, j, j' s.t. $|U_i \cap V_j|, |U_i \cap V_{j'}| \geq \frac{r}{3k \cdot (k-1)}$.

Proof. If not, then for every i there exists some $j = j(i)$ s.t.

$$|U_i \cap V_j| \geq |V_j| - (k-1) \cdot \frac{r}{3k \cdot (k-1)}$$

The last inequality is due to $r \leq n$. Observe that this mapping is a bijection, since if $i \neq i'$ and $j(i) = j(i')$ then $|U_i \cap V_j| \geq \frac{0.99n}{k} - \frac{r}{3k} \geq \frac{0.6n}{k}$ and also $|U_{i'} \cap V_j| \geq \frac{0.6n}{k}$, but this implies $U_i \cap U_{i'} \neq \emptyset$, contradicting the definition of ψ . Let σ be the permutation $j(\cdot)$ that was just defined, and consider φ_σ (namely, φ with color-classes permuted according to σ). Since $|U_i \cap V_{\sigma(i)}| \geq |V_{\sigma(i)}| - \frac{r}{3k}$, $\text{dist}(\psi, \varphi) \leq k \cdot \frac{r}{3k} = \frac{r}{3}$, contradicting the choice of r . \blacksquare

Lemma 5.9. Fix $\delta, |\delta| \leq 0.01$, and let $r_1 \geq r_2 \geq \dots \geq r_k \geq 0$ be a sequence of k integers satisfying $\sum_{i=1}^k r_i = \frac{(1+\delta)n}{k}$ and $r_2 \geq \frac{r}{3k \cdot (k-1)}$. Then

$$\sum_{1 \leq i < j \leq k} r_i \cdot r_j \geq \left(\frac{(1+\delta)n}{k} - \frac{r}{3k \cdot (k-1)} \right) \cdot \frac{r}{3k \cdot (k-1)}.$$

Proof. Let $r = (r_1, r_2, \dots, r_k)$, and $f(r) = \sum_{1 \leq i < j \leq k} r_i \cdot r_j$. Assuming $r_i \leq r_j$, define a new sequence r' by $r'_i = r_i - 1, r'_j = r_j + 1$ and $r'_q = r_q$ for $q \neq i, j$. One can verify that $f(r') = f(r) + r_i - r_j - 1$. Since we chose $r_i \leq r_j, f(r') < f(r)$. It follows that $f(r)$ takes its minimum (under the conditions $r_2 \geq \frac{r}{3k \cdot (k-1)}$ and $\sum_{i=1}^k r_i = \frac{(1+\delta)n}{k}$) when $r_3 = r_4 = \dots = r_k = 0, r_2 = \frac{r}{3k \cdot (k-1)}$ and $r_1 = \frac{(1+\delta)n}{k} - \frac{r}{3k \cdot (k-1)}$. The minimum is then

$$\left(\frac{(1+\delta)n}{k} - \frac{r}{3k \cdot (k-1)} \right) \cdot \frac{r}{3k \cdot (k-1)},$$

as promised. \blacksquare

Proof. (Lemma 5.7) Let ψ be a proper k -coloring at distance r from φ . Let i_0 be the index promised in Lemma 5.8 (the one indexing U_i). Let $r_i = |U_{i_0} \cap V_i|$, and let $f(r) = \sum_{1 \leq i < j \leq k} r_i \cdot r_j$. The conditions of Lemma 5.9 hold due to Lemma 5.8 and $\sum_{i=1}^k r_i = \sum_{i=1}^k |U_{i_0} \cap V_i| = |U_{i_0}| = \frac{(1+\delta)n}{k}$ (for some $|\delta| \leq 0.01$). Lemma 5.9 then implies that

$$f(r) \geq \left(\frac{(1+\delta)n}{k} - \frac{r}{3k \cdot (k-1)} \right) \cdot \frac{r}{3k \cdot (k-1)} \geq \frac{n}{2k} \cdot \frac{r}{3k^2}.$$

The last inequality is due to $r \leq n$ and $|\delta| \leq 0.01$. Further observe that $f(r)$ counts exactly the number of edges in $\{U_{i_0} \cap V_i\} \times \{U_{i_0} \cap V_j\}$ for $i \neq j$, which are all proper under φ but not under ψ . Set $e = \sum_{i < j} |V_i| |V_j|$, and observe that $e \geq \binom{k}{2} \left(\frac{0.99n}{k} \right)^2$. Therefore,

$$c_r \leq \binom{e - f(r)}{m} \cdot \binom{e}{m}^{-1} \leq \binom{e - \frac{nr}{6k^9}}{m} \cdot \binom{e}{m}^{-1} \leq e^{-0.99^2 mr / (6k^9 n)} \leq e^{-mr / (10k^9 n)}. \quad (1)$$

in the third inequality we used

$$\frac{\binom{a-x}{b}}{\binom{a}{b}} \leq \left(1 - \frac{b}{a} \right)^x \leq e^{-bx/a}.$$

6 Complete Proofs for Sections 2, 3 and 4

6.1 Proof of Proposition 2.1 (Discrepancy)

Discrepancy properties for random graphs was proven in several occasions. We follow the proof given in [18] (Section 2.2.5 in that paper) for $\mathcal{G}_{n,p}$. We do not give the complete details, just point out how to adjust that proof to fit $\mathcal{G}_{n,m,k}$. For the sake of clarity of presentation we consider the case where the graph has a proper k -coloring where all color classes are of size n/k . The case where all color classes are nearly balanced is treated very similarly (see discussion at the end of this subsection). We do not state anew in every proposition that we assume that the graph has a balanced k -coloring, but suffice with this statement here.

The proof branches according to the sizes of the sets A and B . For “big” sets we prove that the first property holds, and for “small” sets – we prove that the second one holds. Throughout the discussion we assume p satisfies $m = \binom{k}{2} \left(\frac{n}{k}\right)^2 p$.

Fix two sets of vertices A and B , and first consider the case $|B| \geq n/e$. Observe that $e(A, B) \leq |A| \cdot 10np$ by the bounded-degree property of G' . Therefore,

$$e(A, B) \leq |A| \cdot 10np = (|A||B|p) \cdot (10n/|B|) \leq 30|A||B|p.$$

Thus, the first property holds. Now consider the case $|B| \leq n/e$. The proof in [18] uses some variant of the Chernoff bound to bound the number of edges between A and B . Since the edges in the uniform setting are *not* independent, one needs to reprove the Chernoff bound, or some variant thereof, in $\mathcal{G}_{n,m,k}$ (for the case where the random variables are edge indicators). This will be our goal in the next few paragraphs. The crucial step in the proof of the Chernoff bound is restating the expectation of a product of r.v. (random variables) as the product of their expectations (which is possible in the original proof due to independence, but in our setting this is not the case as the edges are not chosen independently of each other). Lemmas 6.1 and 6.3 establish this fact in our setting.

Lemma 6.1. *Let X_1, X_2, \dots, X_d be d non-negative random variables taking values in Ω , $|\Omega| < \infty$. Then the following holds:*

$$E[X_1 \cdot X_2 \cdots X_d] \leq \max_{i_1, i_2, \dots, i_{d-1} \in \Omega} E[X_1] \cdot E[X_2 | X_1 = i_1] \cdots E[X_d | X_1 = i_1, \dots, X_{d-1} = i_{d-1}].$$

Proof. The proof is by induction on d – the number of random variables. The case $d = 1$ is immediate. Now to prove the induction step,

$$E[X_1 \cdot X_2 \cdots X_d] = \sum_{i_1 \in \Omega} Pr[X_1 = i_1] \cdot E[X_1 \cdot X_2 \cdots X_d | X_1 = i_1] = \sum_{i_1 \in \Omega} i_1 E[X_2 \cdots X_d | X_1 = i_1] \cdot Pr[X_1 = i_1]. \quad (2)$$

To apply the induction hypothesis we define for every $i_1 \in \Omega$ a set of d random variables $Y_j^{(i_1)}$ of the form $Y_j^{(i_1)} = X_j | (X_1 = i_1)$, $j \geq 2$, . Thus we can rewrite $E[X_2 \cdots X_d | X_1 = i_1] = E[Y_2^{(i_1)} \cdots Y_d^{(i_1)}]$ and apply the induction hypothesis to the latter. Therefore (2) reduces to

$$\sum_{i_1 \in \Omega} i_1 Pr[X_1 = i_1] \cdot \max_{i_2, \dots, i_{d-1} \in \Omega} E[Y_2^{(i_1)}] \cdots E[Y_d^{(i_1)} | Y_2^{(i_1)} = i_2, \dots, Y_{d-1}^{(i_1)} = i_{d-1}]. \quad (3)$$

Now observe for example that $Y_3^{(i_1)}|(Y_2^{(i_1)} = i_2)$ is simply $X_3|(X_1 = i_1, X_2 = i_2)$. Therefore (3) can be rewritten as

$$\begin{aligned}
& \sum_{i_1 \in \Omega} i_1 \Pr[X_1 = i_1] \cdot \max_{i_2, \dots, i_{d-1} \in \Omega} E[X_2|X_1 = i_1] \cdots E[X_d|X_1 = i_1, \dots, X_{d-1} = i_{d-1}] \\
& \leq \left(\max_{i_1, i_2, \dots, i_{d-1} \in \Omega} E[X_2|X_1 = i_1] \cdots E[X_d|X_1 = i_1, \dots, X_{d-1} = i_{d-1}] \right) \cdot \sum_{i_1 \in \Omega} i_1 \Pr[X_1 = i_1] \\
& = E[X_1] \cdot \left(\max_{i_2, \dots, i_{d-1} \in \Omega} E[X_2|X_1 = i_1] \cdot E[X_3|X_1 = i_1, X_2 = i_2] \cdots E[X_d|X_1 = i_1, \dots, X_{d-1} = i_{d-1}] \right) \\
& = \max_{i_1, i_2, \dots, i_{d-1} \in \Omega} E[X_1] \cdot E[X_2|X_1 = i_1] \cdot E[X_3|X_1 = i_1, X_2 = i_2] \cdots E[X_d|X_1 = i_1, \dots, X_{d-1} = i_{d-1}].
\end{aligned}$$

■

Let X_e be an indicator random variable which is 1 iff the edge $e = (i, j)$ is present in G' . We let $\hat{X}_e = e^{tX_e}$, where t is some fixed positive number. Observe that \hat{X}_e can take two possible values, e^t or 1. The next lemma quantifies in some useful sense the dependency between the edges. We defer its proof to the end of this section.

Lemma 6.2. *Let G be a random graph in $\mathcal{G}_{n,m,k}$, $m \geq C_0 k^{10} n$, C_0 a sufficiently large constant. Further, assume that $m = o(n^2)$ and p satisfies $m = \binom{k}{2} \left(\frac{n}{k}\right)^2 p$. Let X_{e_1}, \dots, X_{e_d} be d edge-indicator random variables. Let b_1, \dots, b_{d-1} take arbitrary values in $\{1, e^t\}$. Then*

$$\Pr[\hat{X}_{e_1} = e^t | \hat{X}_{e_1} = b_1, \dots, \hat{X}_{e_{d-1}} = b_{d-1}] \leq 2p.$$

The assumption $m = o(n^2)$ is just for the sake of technical issues. Recall that if for example $m = \omega(n \log n)$, then the graph has *whp* only one proper k -coloring, in which case $\mathcal{G}_{n,m,k}$ and $\mathcal{P}_{n,m,k}$ are statistically close.

The next lemma shows how to move from expectation of product to product of expectations.

Lemma 6.3. *Let G be a random graph in $\mathcal{G}_{n,m,k}$, $m \geq C_0 k^{10} n$, C_0 a sufficiently large constant. Let p be s.t. $m = \binom{k}{2} \left(\frac{n}{k}\right)^2 p$. Let X_{e_1}, \dots, X_{e_d} be d edge-indicator random variables. Let $\hat{X}_{e_j} = e^{tX_{e_j}}$, let $\mu = p \cdot d$. Then*

$$E[\hat{X}_{e_1} \cdots \hat{X}_{e_d}] \leq \exp\{2\mu(e^t - 1)\}.$$

Proof. By Lemma 6.1,

$$E[\hat{X}_{e_1} \cdots \hat{X}_{e_d}] \leq \max_{b_1, \dots, b_{d-1} \in \{1, e^t\}} E[\hat{X}_{e_1}] \cdot E[\hat{X}_{e_2} | \hat{X}_{e_1} = b_1] \cdots E[\hat{X}_{e_d} | \hat{X}_{e_1} = b_1, \dots, \hat{X}_{e_{d-1}} = b_{d-1}]$$

Therefore,

$$E[\hat{X}_{e_j} = e^t | \hat{X}_{e_1} = b_1, \dots, \hat{X}_{e_{j-1}} = b_{j-1}] \leq 2pe^t + (1 - 2p) = 1 + 2p(e^t - 1) \leq \exp\{2p(e^t - 1)\}.$$

The last inequality is due to $1 + x \leq e^x$ (Taylor of e^x around 0). Finally,

$$\begin{aligned}
E[\hat{X}_{e_1} \cdots \hat{X}_{e_d}] & \leq \max_{b_1, \dots, b_{d-1} \in \{1, e^t\}} E[\hat{X}_{e_1}] \cdot E[\hat{X}_{e_2} | \hat{X}_{e_1} = b_1] \cdots E[\hat{X}_{e_d} | \hat{X}_{e_1} = b_1, \dots, \hat{X}_{e_{d-1}} = b_{d-1}] \\
& \leq \prod_{j=1, \dots, d} \exp\{2p(e^t - 1)\} = \exp\{2p \cdot d(e^t - 1)\} = \exp\{2\mu(e^t - 1)\}.
\end{aligned}$$

■

Now we are ready to re-prove (the variant of) the Chernoff bound in the uniform setting.

Proposition 6.4. Let G be a random graph in $\mathcal{G}_{n,m,k}$, $m \geq C_0 k^{10} n$, C_0 a sufficiently large constant. Let p be s.t. $m = \binom{k}{2} \left(\frac{n}{k}\right)^2 p$. Let X_{e_1}, \dots, X_{e_d} be d edge-indicator random variables, and $X = \sum_{1, \dots, d} X_{e_i}$. Let $\mu = pd$ as before. Then

$$\Pr[X > (1 + \lambda)\mu] \leq \left(\frac{e^{2\lambda}}{(1 + \lambda)^{(1+\lambda)/2}} \right)^\mu.$$

Corollary 6.5. For $r \geq 200$, the above inequality reads

$$\Pr[X > r\mu] \leq e^{-\mu(r \ln r)/30}.$$

Proof.(Corollary)

$$\frac{e^{2\lambda}}{(1 + \lambda)^{(1+\lambda)/2}} = \exp\{2\lambda - (1/2)(1 + \lambda) \ln(1 + \lambda)\} \leq \exp\{\lambda(2 - \ln \lambda/2)\} \leq \exp\{-(\lambda \ln \lambda)/20\}.$$

The last inequality is true for $\lambda = 199$ for example. Now set $r = 1 + \lambda$. ■

Proof.(Proposition 6.4) Let p^* be the probability that an edge (i, j) is present in G , then

$$p^* = m \binom{n}{2}^{-1}. \quad (4)$$

To see this first observe that indeed p^* is well defined, namely it is the same for every edge $e = (i, j)$ (due to symmetry). Let X_e be an edge-indicator variable. Observe that

$$m = \sum_{i < j, e=(i,j)} X_e \quad \Rightarrow \quad m = E[m] = E\left[\sum_{i < j, e=(i,j)} X_e \right] = \sum_{i < j, e=(i,j)} E[X_e] = \binom{n}{2} p^*,$$

which implies (4).

Let $\mu^* = E[\sum_{j=1..d} X_{e_j}]$ be the expected number of edges under the uniform distribution. We now establish the following connection between μ^* and μ (recall $\mu = p \cdot d$):

$$\mu^* = p^* d \geq pd/2 = \mu/2, \quad \mu^* \leq \mu \quad (5)$$

This is true since $p/p^* = (1 + o(1))(k/(k-1))$, and for $k \geq 2$ it holds that $1 \leq p/p^* \leq 2$.

We now reconstruct the original proof of the Chernoff bound. By (5) (the fact that $\mu^* \leq \mu$),

$$\Pr[X > (1 + \lambda)\mu] \leq \Pr[X > (1 + \lambda)\mu^*].$$

For any $t \geq 0$, the following is then an equivalent form:

$$\Pr[X > \exp\{t(1 + \lambda)\mu\}] \leq \Pr[X > \exp\{t(1 + \lambda)\mu^*\}].$$

Using Markov's inequality,

$$\Pr[X > \exp\{t(1 + \lambda)\mu^*\}] \leq \frac{E[\exp\{tX\}]}{\exp\{t(1 + \lambda)\mu^*\}}.$$

Noticing that $E[\exp\{tX\}]$ is exactly $E[\hat{X}_{e_1} \cdots \hat{X}_{e_d}]$, and using Lemma 6.3, the latter is upper bounded by

$$\frac{\exp\{2\mu(e^t - 1)\}}{\exp\{t(1 + \lambda)\mu^*\}}.$$

Using the fact that $\mu^* \geq \mu/2$,

$$\frac{\exp\{2\mu(e^t - 1)\}}{\exp\{t(1 + \lambda)\mu^*\}} \leq \frac{\exp\{2\mu(e^t - 1)\}}{\exp\{t(1 + \lambda)\mu/2\}}.$$

This is true for any t , and in particular for $t = \ln(1 + \lambda)$, which gives the desired result. \blacksquare

Proof.(Lemma 6.2) Recall that we need to bound $Pr[\hat{X}_{e_j} = e^t | \hat{X}_{e_1} = b_1, \dots, \hat{X}_{e_{j-1}} = b_{j-1}]$. The fact that $\hat{X}_{e_1} = b_1, \dots, \hat{X}_{e_{j-1}} = b_{j-1}$ basically implies some constellation of the edges e_1, \dots, e_{j-1} , according to the b -values (if $b_j = 1$ then the edge e_j was not included since $X_{e_j} = 0$). Consider this constellation of edges, and let s be the number of edges that are present. If $s > m - 1$, then such a graph cannot be sampled, and therefore $Pr[\hat{X}_{e_j} = e^t | \hat{X}_{e_1} = b_1, \dots, \hat{X}_{e_{j-1}} = b_{j-1}] = 0 \leq 2p$. Thus we are left with the case $s \leq m - 1$.

For a fixed edge e , a graph G is said to be e -bad if it contains e . Furthermore, let \mathcal{P}_e signify the set of all e -bad (balancedly) k -colorable graphs with exactly m edges that also contain the constellation implied by the b_i values at hand. In addition, denote by \mathcal{G} the set of all (balancedly) k -colorable graphs with exactly m edges that contains this constellation as well. Our objective is to establish the following:

$$|\mathcal{P}_e| \leq (2p)|\mathcal{G}|. \quad (6)$$

Observe that this immediately implies that the probability of an e -bad graph in $\mathcal{G}_{n,m,k}$ given the above constellation is at most $2p$. To prove Equation (6) we shall set up an auxiliary bipartite graph \mathcal{A} with vertex set $V(\mathcal{A}) = \mathcal{P}_e \cup \mathcal{G}$. This graph will have the property that the average degree of vertices in \mathcal{P}_e is Δ , while for \mathcal{G} the average degree is Δ' , where $\Delta'/\Delta \leq 2p = 2m/E$, where $E = \binom{k}{2} \left(\frac{n}{k}\right)^2$. Since $\Delta|\mathcal{P}_e| = \Delta'|\mathcal{G}|$, by double counting, we thus obtain Equation (6). We describe a procedure that receives a graph $G \in \mathcal{P}_e$ and produces a new graph $G' \in \mathcal{G}$. In our auxiliary graph \mathcal{A} , we connect a right-side node G with a left-side one G' , if G' can be obtained from G by this procedure. The procedure is the following simple one. Given an e -bad graph G , remove the edge e , and place it instead of a non-edge of G , while respecting at least one balanced proper k -coloring of the graph. The number of possible graphs G' that can be obtained via the above procedure is at least $E - m - s \geq E/2$ (here we use the assumption $m = o(n^2)$), thus $\Delta \geq E/2$. This is because we have to choose a place for the displaced edge amongst all possible ones. Conversely, consider the following procedure to recover a graph G from G' . Out of m possible edges, choose one, remove it and return e . Therefore $\Delta' \leq m$ (there at most m possibilities to guess that edge). \blacksquare

This concludes the proof of the discrepancy property. Let us briefly mention what happens if the proper k -coloring is just, say, 0.01 balanced. The main point then is that the value of p (which in our proof satisfies $m = \binom{k}{2} \left(\frac{n}{k}\right)^2 p$) shifts slightly. This change is accommodated for in the slackness we have in the constants we chose in the proof, for example the ratio between p and p^* asserted after Equation (5).

6.2 Proof of Corollary 2.2

Assume that Proposition 2.1 holds with $c \leq 30$ (which is the case *whp*, as implied by the proof of Proposition 2.1), and suppose in contradiction that there exists a subgraph H (on $h \leq \delta n/(1000k)$)

vertices) of G violating the condition of the corollary. Then for such a graph H , $e(H, H) \geq h\delta m/(2nk)$. However,

$$c\mu(H, H) = ch^2p \leq \frac{\delta n}{1000k}cph = \frac{\delta n}{1000k} \cdot \frac{(1+o(1))2mk}{n^2(k-1)} \cdot ch < h\delta m/(2nk). \quad (7)$$

In the equality we used the fact that p satisfies $m = \binom{k}{2} \left(\frac{n}{k}\right)^2 p$. Equation (7) however contradicts the first condition of Proposition 2.1. As for the second condition, we need to estimate $e(H, H) \ln \frac{e(H, H)}{\mu(H, H)}$. Using our contradiction assumption on $e(H, H)$ and plugging in $\mu(H, H) = h \cdot h \cdot p$ we obtain

$$e(H, H) \ln \frac{e(H, H)}{\mu(H, H)} \geq \frac{\delta hm}{2nk} \ln \left(\frac{\delta hm}{2nk} \cdot \frac{n^2}{2mh^2} \right).$$

Using the assumption $m \geq C_0 k^9 n$ we get

$$\frac{\delta hm}{2nk} \ln \left(\frac{\delta hm}{2nk} \cdot \frac{n^2}{2mh^2} \right) \geq \frac{\delta h C_0 k^8}{2} \ln \left(\frac{n}{h} \cdot \frac{4k}{\delta} \right).$$

The latter equals

$$\frac{\delta h C_0 k^8}{2} \left(\ln \frac{n}{h} - \ln \frac{4k}{\delta} \right) = hc \ln \frac{n}{h} + h \left(\frac{\delta C_0 k^8}{2} - c \right) \ln \frac{n}{h} - \frac{\delta h C_0 k^8}{2} \ln \frac{\delta}{4k}.$$

Rearranging the terms and using again the fact that $h \leq \delta n/(1000k)$, the latter is at least

$$hc \ln \frac{n}{h} + h \ln(1000k/\delta)^{\frac{\delta C_0 k^8}{2} - c} - h \ln(4k/\delta)^{\frac{\delta C_0 k^8}{2}}.$$

Using simple manipulations, this in turn equals

$$hc \ln \frac{n}{h} + h \ln \left(\frac{1000k\delta}{4k\delta} \right)^{\frac{\delta C_0 k^8}{2}} \cdot \left(\frac{\delta}{1000k} \right)^c = hc \ln \frac{n}{h} + h \ln \frac{250^{\frac{\delta C_0 k^8}{2}}}{(1000k\delta^{-1})^c}.$$

However, since $\frac{250^{\frac{\delta C_0 k^8}{2}}}{(\delta/(1000k))^c} > 1$ for a sufficiently large constant C_0 , the following inequality holds:

$$hc \ln \frac{n}{h} + h \ln \frac{250^{\frac{\delta C_0 k^8}{2}}}{(1000k\delta^{-1})^c} > hc \ln \frac{n}{h},$$

contradicting the second condition of Proposition 2.1.

6.3 Proof of Propositions 2.3 and 4.2 (Spectral Analysis)

We start by analyzing the procedure `SepctralApprox` – that is proving Proposition 4.2. We assume that Proposition 2.3 holds, which is the case *whp*, and using this fact we show that \hat{A} , the rank- k approximation of $A(G')$ (see Section 4.1) approximates \mathcal{E} in some useful sense. Of course, we know the adjacency matrix $A(G')$. Furthermore, we know that $\|M'\| = \|\mathcal{E} - A(G')\|$ is “small” (Proposition 2.3). That is, $A(G')$ is a good approximation of \mathcal{E} in the operator norm. However, we can’t exploit this fact directly in order to obtain a good entry-wise approximation of \mathcal{E} . Indeed, instead of getting a matrix that approximates \mathcal{E} in the operator norm, an approximation B of \mathcal{E} in the Frobenius norm

$$\|\mathcal{E} - B\|_F = \sqrt{\sum_{v,w \in V'} (B_{vw} - \mathcal{E}_{vw})^2}$$

would be more useful.

The analysis of `SpectralApprox` is based on the following lemma, which shows that for *most* vertices v the v -column \hat{A}_v of \hat{A} is close to the v -column \mathcal{E}_v of \mathcal{E} .

Lemma 6.6. *Let $Z = \{v \in V' : \|\hat{A}_v - \mathcal{E}_v\|^2 \geq 10^{-10}np^2/k\}$. Then $|Z| \leq nd^{-0.1}$, where $d = d_{avg}/k$, $d_{avg} = 2m/n$.*

Proof.

$$\begin{aligned} \sum_{v \in V'} \|\mathcal{E}_v - \hat{A}_v\|^2 &= \|\mathcal{E} - \hat{A}\|_F^2 \leq 2k\|\mathcal{E} - \hat{A}\|^2 \leq 2k(\|\mathcal{E} - A(G')\|^2 + \|\hat{A}(G') - \hat{A}\|^2) \leq 4k\|\mathcal{E} - A(G')\|^2 \\ &= 4k\|M'\|^2 \leq 4kd^{1.8} \leq d^{1.81}. \end{aligned}$$

The first inequality is by the fact that for a matrix B of rank q it holds that $\|B\|^2 \leq q\|B\|_F^2$, and the fact that both \mathcal{E} , \hat{A} have rank k and therefore $\mathcal{E} - \hat{A}$ has rank at most $2k$. The second inequality is just the triangle inequality, and the third inequality is by the fact that $\|\hat{A}(G') - \hat{A}\| \leq \|\mathcal{E} - A(G')\|$ because \hat{A} is a rank- k approximation of $A(G')$, and therefore minimizes $\|\hat{A}(G') - B\|$ over all matrices B of rank k . The next-to-last inequality is due to Proposition 2.3.

Finally we derive that $|Z| \cdot 10^{-10}np^2/k \leq d^{1.81}$, so that $|Z| \leq 10^{10} \frac{d^{1.81}k}{np^2} \leq nd^{-0.1}$. Here we assume that the coloring V_1, \dots, V_k is nearly balanced. That is, for every i , $|V_i - n/k| \leq 0.01n/k$, and therefore $p = \Theta(m/n^2)$. \blacksquare

Lemma 6.6 implies that for *most* vertices v, w belonging to the same color class V_i the difference $\|\hat{A}_v - \hat{A}_w\|$ is small, whereas for most $u \in V_j$, $j \neq i$, the distance $\|\hat{A}_v - \hat{A}_u\|$ is large. This implies that the classes X_1, \dots, X_k provide a good approximation of the coloring V_1, \dots, V_k (up to a permutation of the indices, of course).

Proposition 6.7. *There is a permutation σ of $\{1, \dots, k\}$ such that $X_i \Delta V'_i \leq 10^{-9}n/k^2$ for all $1 \leq i \leq k$.*

Proof. We show by induction on i that in each step there is a vertex v_i such that $|S_{v_i} \setminus X| \geq (1 - 10^{-10})\frac{n}{k}$. Moreover, we shall prove that for the vertex v_i chosen by the algorithm there is a class $V'_{\sigma(i)}$ such that $X_i \setminus V'_{\sigma(i)} \subset Z$. Let $1 \leq i \leq k$, and suppose that these statements are true for all $1 \leq i' < i$.

Let $j \in \{1, \dots, k\} \setminus \{\sigma(1), \dots, \sigma(i-1)\}$. Then by Lemma 6.6 there is a vertex $v^* \in V'_j \setminus Z$. Moreover, since all $u \in V'_j \setminus Z$ we have

$$\|\hat{A}_{v^*} - \hat{A}_u\|^2 \leq 2(\|\hat{A}_{v^*} - \mathcal{E}_{v^*}\|^2 + \|\mathcal{E}_u - \hat{A}_u\|^2) \leq 0.01 \frac{np^2}{k}.$$

Hence, $S_{v^*} \supset V'_j \setminus Z$. Furthermore, $V'_j \cap X \subset Z$ by the induction hypothesis. Therefore, $|S_{v^*} \setminus X| \geq |V'_j \setminus Z| \geq (1 - 10^{-10})\frac{n}{k}$. Thus, it is possible for the algorithm to choose a vertex v_i such that $|S_{v_i} \setminus X| \geq (1 - 10^{-10})\frac{n}{k}$.

Now, let v_i be the vertex with this property chosen by the algorithm, and pick some $w \in S_{v_i} \setminus (X \cup Z)$; such a vertex w exists due to the upper bound on $|Z|$ from Lemma 6.6. Then we have

$$\|\hat{A}_{v_i} - \mathcal{E}_w\|^2 \leq \|\hat{A}_{v_i} - \hat{A}_w\|^2 + 2\|\hat{A}_{v_i} + \hat{A}_w\| \cdot \|\mathcal{E}_w - \hat{A}_w\| + \|\mathcal{E}_w - \hat{A}_w\|^2 \leq \frac{0.02np^2}{k}.$$

Further, we have $w \notin \bigcup_{1 \leq j < i} V'_{\sigma(j)}$. For assume that $w \in V'_{\sigma(j)}$ for some $1 \leq j < i$. Then for all $u \in S_{v_i} \setminus V'_{\sigma(j)}$ we have

$$\|\hat{A}_u - \mathcal{E}_w\|^2 \leq (\|\hat{A}_v - \mathcal{E}_w\| + \|\hat{A}_u - \hat{A}_v\|)^2 \leq \frac{0.1np^2}{k}. \quad (8)$$

However, since u, w belong to different color classes, we have $\|\mathcal{E}_u - \mathcal{E}_w\|^2 \geq np^2/k$. Thus, (8) entails that $\|\hat{A}_u - \mathcal{E}_u\|^2 \geq \frac{0.1np^2}{k}$, whence $u \in Z$. Consequently, if $w \in V'_{\sigma(j)}$ for some $1 \leq j < i$, then $S_{v_i} \setminus V'_{\sigma(j)} \subset Z$. As by induction $|V'_{\sigma(j)} \setminus X_j| \leq 0.1\frac{n}{k}$ and $|S_{v_i}| \geq 0.6\frac{n}{k}$, this implies that $|Z| \geq \frac{n}{2k}$, which contradicts Lemma 6.6.

Hence, we have established that $w \notin \bigcup_{1 \leq j < i} V'_{\sigma(j)}$, and we let $\sigma(i)$ be such that $w \in V'_{\sigma(i)}$.

Finally, we claim that $S_{v_i} \setminus V'_{\sigma(i)} \subset Z$. For let $u \in S_{v_i} \setminus V'_{\sigma(i)}$. Then $\|\hat{A}_u - \mathcal{E}_w\|^2 \leq \frac{0.1np^2}{k}$ (cf. (8)). Hence, as $\|\mathcal{E}_u - \mathcal{E}_w\|^2 \geq np^2/k$, we conclude that $\|\hat{A}_u - \mathcal{E}_u\|^2 \geq 0.1np^2/k$. Thus, $u \in Z$. \blacksquare

This completes the proof of Proposition 4.2.

6.3.1 Proof of Proposition 2.3 (Outline)

The proof of Proposition 2.3 is based on a proper modification of techniques developed by Kahn and Szemerédi in [19], where the authors show that the second largest eigenvalue in absolute value of a random d -regular graph is almost surely $O(\sqrt{d})$. Since in our case the graph is not regular, and the edges are not chosen independently, a few modifications are needed.

In what follows, we let $\hat{V}_1, \dots, \hat{V}_k$ be a partition of $V = \{1, \dots, n\}$ such that $|\hat{V}_i - \frac{n}{k}| < 0.1\frac{n}{k}$ for all $1 \leq i \leq k$. Moreover, let $0 < \hat{p} < 1$ be such that $\sum_{1 \leq i < j \leq k} |\hat{V}_i| |\hat{V}_j| \hat{p} = m$, and set $\hat{d} = n\hat{p}/k$. Further, let \hat{G} signify a random graph with planted coloring $\hat{V}_1, \dots, \hat{V}_k$ in which each possible edge compatible with this coloring is present with probability \hat{p} independently. That is, \hat{G} is a random graph with the *planted coloring* $\hat{V}_1, \dots, \hat{V}_k$. In order to prove Proposition 2.3, we shall first analyze the spectral properties of \hat{G} . Then, we will combine this information with Proposition 5.4 and the discrepancy property established in Proposition 2.1 in order to obtain the desired result on the spectrum of a uniformly distributed k -colorable graph. We assume throughout that $m \geq C_0 k^{10} n$ for a sufficiently large constant $C_0 > 0$.

As the indicator vectors $\vec{1}_{\hat{V}_1}, \dots, \vec{1}_{\hat{V}_k}$ corresponding to the k planted color classes of \hat{G} play a distinguished role, we shall first analyze the spectral properties of \hat{G} on the orthogonal complement of the space spanned by these vectors.

Lemma 6.8. *With probability $\geq 1 - \exp(-n)$ the adjacency matrix $\hat{A} = (\hat{a}_{vw})_{v,w \in V}$ of \hat{G} satisfies the following.*

Suppose that $\xi, \eta \in \mathbf{R}^n$ are unit vectors perpendicular to $(\vec{1}_{\hat{V}_i})_{1 \leq i \leq k}$. Let

$$L(\xi, \eta) = \left\{ (v, w) \in V \times V : |\xi_v \eta_w| \leq \sqrt{\hat{p}/n} \right\}. \quad (9)$$

Then $\left| \sum_{(v,w) \in L} \hat{a}_{vw} \xi_v \eta_w \right| \leq (n\hat{p})^{3/4}$.

Proof. Alon and Kahale [4] established the following estimate.

Let $1 \leq i < j \leq k$. Then with probability $\geq 1 - \exp(-2n)$ the following holds.

Suppose that $\xi \in \mathbf{R}^{\hat{V}_i}$, $\eta \in \mathbf{R}^{\hat{V}_j}$ are unit vectors such that $\xi \perp \vec{1}_{\hat{V}_i}$, $\eta \perp \vec{1}_{\hat{V}_j}$. Let

$$L_{ij} = \left\{ (v, w) \in \hat{V}_i \times \hat{V}_j : |\xi_v \eta_w| \leq \sqrt{\hat{p}/n} \right\}.$$

Then $\left| \sum_{(v,w) \in L_{ij}} \hat{a}_{vw} \xi_v \eta_w \right| \leq c\sqrt{n\hat{p}}$ for a certain constant $c > 0$.

To prove Lemma 6.8, we just apply this bound to each pair $1 \leq i, j \leq k$, $i \neq j$. Thus, let $\xi, \eta \in \mathbf{R}^V$ be such that $\xi, \eta \perp \vec{1}_{\hat{V}_i}$ for all $1 \leq i \leq k$. Then with probability $\geq 1 - k^2 \exp(-2n) \geq 1 - \exp(-n)$ we have

$$\left| \sum_{(v,w) \in L} \hat{a}_{vw} \xi_v \eta_w \right| \leq \sum_{1 \leq i, j \leq k, i \neq j} \left| \sum_{(v,w) \in L_{ij}} \hat{a}_{vw} \xi_v \eta_w \right| \leq ck^2 \sqrt{n\hat{p}} \leq (n\hat{p})^{3/4},$$

where the last estimate is due to our assumption that $m \geq C_0 k^{10} n$. \square

Furthermore, regarding the vectors $\vec{1}_{\hat{V}_1}, \dots, \vec{1}_{\hat{V}_k}$, we prove the following in Section 6.3.2.

Lemma 6.9. *Let \hat{G}' be the graph obtained from \hat{G} by removing all vertices of degree $> 2n\hat{p}$. Let \hat{A}' be the adjacency matrix of \hat{G}' . Then with probability $\geq 1 - \exp(-n\hat{d}^{-10})$ the matrix $\hat{M}' = \sum_{i \neq j} \hat{p} J_{\hat{V}_i \times \hat{V}_j \cap V(\hat{G}')^2} - \hat{A}'$ satisfies $\|\hat{M}' \vec{1}_{\hat{V}_i \cap V(\hat{G}')}\| \leq \hat{d}^{0.66} \sqrt{n}$.*

Furthermore, we employ the following result, which was established by Kahn and Szemerédi [19] for regular graphs. A proof of the present setting can be found in [18].

Lemma 6.10. *Suppose that $H = (V, E)$ is a graph of maximum degree $\leq 2np$ that satisfies the discrepancy property stated in Proposition 2.1. Let $A_H = (a_{vw}^H)_{v,w \in V}$ be the adjacency matrix of H . Then for all unit vectors $\xi, \eta \in \mathbf{R}^n$ we have*

$$\sum_{(v,w) \in V^2 \setminus L(\xi, \eta)} a_{vw}^H |\xi_v \eta_w| \leq C\sqrt{n\hat{p}}, \quad \text{where } L(\xi, \eta) = \left\{ (v, w) \in V \times V : |\xi_v \eta_w| \leq \sqrt{\hat{p}/n} \right\}$$

for some constant $C > 0$.

Proof of Proposition 2.3. Lemmas 6.8 and 6.9 imply that with probability at least $1 - 2\exp(-n\hat{d}^{-10})$ the random graph \hat{G} (with the planted coloring $\hat{V}_1, \dots, \hat{V}_k$) has the following property.

There exists a coloring $\hat{V}_1, \dots, \hat{V}_k$ with $|\hat{V}_i| - n/k| < 0.1n/k$ for all $1 \leq i \leq k$ such that for any unit vectors $\xi, \eta \perp \{\vec{1}_{\hat{V}_1}, \dots, \vec{1}_{\hat{V}_k}\}$ we have $\left| \sum_{(v,w) \in L} \hat{a}_{vw} \xi_v \eta_w \right| \leq (n\hat{p})^{3/4}$. Moreover, the matrix \hat{M}' from Lemma 6.9 satisfies $\|\hat{M}' \vec{1}_{\hat{V}_i \cap V(\hat{G}')}\| \leq \hat{d}^{0.66} \sqrt{n}$.

Proposition 3.5 and Lemmas 5.1 and 5.2 imply that *whp* a uniformly random k -colorable graph $G = \mathcal{G}_{n,m,k}$ has a coloring V_1, \dots, V_k such that this property holds, too. Thus, let $0 < p < 1$ be such that $\sum_{i < j} |V_i| |V_j| p = m$, let G'' signify the subgraph obtained by removing all vertices of degree $> 2np$, set $V'' = V(G'')$, and let $A'' = (a_{vw})_{v,w \in V''}$ be the adjacency matrix of G'' . Then *whp* the following is true.

- All unit vectors $\xi, \eta \in \mathbf{R}^{V''}$ that are perpendicular to $\{\vec{\mathbf{1}}_{V_i \cap V''} : 1 \leq i \leq k\}$ satisfy

$$\left| \sum_{(v,w) \in L(\xi, \eta)} a_{vw} \xi_v \eta_w \right| \leq (np)^{3/4}, \quad (10)$$

where $L(\xi, \eta) = \{(v, w) \in V'' \times V'' : |\xi_v \eta_w| \leq \sqrt{p/n}\}$.

- Let $M'' = \sum_{i \neq j} p J_{V_i \times V_j \cap V(G'')^2} - A''$. Then

$$\forall 1 \leq i \leq k : \|M'' \vec{\mathbf{1}}_{V_i \cap V(G'')}\| \leq \|\vec{\mathbf{1}}_{V_i}\| (np)^{3/4}. \quad (11)$$

In addition, combining Proposition 2.1 and Lemma 6.10, we conclude that

$$\left| \sum_{(v,w) \in (V'' \times V'') \setminus L(\xi, \eta)} a_{vw} \xi_v \eta_w \right| \leq (np)^{3/4} \quad (12)$$

for all unit vectors $\xi, \eta \in \mathbf{R}^{V''}$ such that $\xi, \eta \perp \{\vec{\mathbf{1}}_{V_i \cap V''} : 1 \leq i \leq k\}$.

Combining (10) and (12) and denoting the canonical inner product by $\langle \cdot, \cdot \rangle$, we conclude that for any two unit vectors $\xi, \eta \perp \{\vec{\mathbf{1}}_{V_i \cap V''} : 1 \leq i \leq k\}$

$$\begin{aligned} |\langle M_* \xi, \eta \rangle| &\leq p \sum_{i \neq j} \underbrace{\left| \langle J_{V_i \times V_j \cap (V'')^2} \xi, \eta \rangle \right|}_{=0, \text{ as } \xi, \eta \perp \{\vec{\mathbf{1}}_{V_i \cap V''}\}} + |\langle A'' \xi, \eta \rangle| \\ &= \left| \sum_{(v,w) \in V'' \times V''} a_{vw} \xi_v \eta_w \right| \leq \left| \sum_{(v,w) \in L(\xi, \eta)} a_{vw} \xi_v \eta_w \right| + \left| \sum_{(v,w) \notin L(\xi, \eta)} a_{vw} \xi_v \eta_w \right| \\ &\leq 2(np)^{3/4}. \end{aligned}$$

Hence,

$$\forall \xi, \eta \in \mathbf{R}^{V''}, \|\xi\| = \|\eta\| = 1, \xi, \eta \perp \{\vec{\mathbf{1}}_{V_i \cap V''} : 1 \leq i \leq k\} : |\langle M'' \xi, \eta \rangle| \leq 2(np)^{3/4}. \quad (13)$$

Finally, combining (11) and (13), we obtain $\|M''\| \leq 8(np)^{3/4}$. Hence, if we let $d_{avg} = 2m/n$, then $\|M''\| \leq (d_{avg}/k)^{0.9}$ by our assumption that $m \geq C_0 k^{10} n$. Moreover, note that $d_{avg} < np$. Therefore, if we let M' signify the minor of M'' obtained by deleting all rows and columns corresponding to vertices of degree $> 2d_{avg}$ then $\|M'\| \leq \|M''\| \leq (d_{avg}/k)^{0.9}$. \square

6.3.2 Proof of Lemma 6.9

The proof is based on the following Chernoff bound.

Theorem 6.11. *Suppose that X is a binomially distributed random variable with mean μ . Let $\varphi(x) = (1+x) \ln(1+x) - x$. Then*

$$P(X \geq \mu + t) \leq \exp\left(-\mu \varphi\left(\frac{t}{\mu}\right)\right) \leq \exp\left(-\frac{t^2}{2(\mu + t/3)}\right) \quad (0 < t), \quad (14)$$

$$P(X \leq \mu - t) \leq \exp\left(-\mu \varphi\left(\frac{-t}{\mu}\right)\right) \leq \exp\left(-\frac{t^2}{2\mu}\right) \quad (0 < t < \mu). \quad (15)$$

The Chernoff bound entails the following result on the degree distribution of G .

Lemma 6.12. *Let $W_{ij} = \{v \in \hat{V}_i : |e_{\hat{G}}(v, \hat{V}_j) - |\hat{V}_j|p| > \hat{d}^{0.51}\}$, where $1 \leq i, j \leq k$ and $i \neq j$. Then $P[\exists i, j : |W_{ij}| > n\hat{d}^{-10}] \leq \exp(-n\hat{d}^{-10})$.*

Proof. Since $E(e_{\hat{G}}(v, \hat{V}_j)) = |\hat{V}_j|\hat{p}$, Theorem 6.11 entails that for any $i \neq j$ and any $v \in \hat{V}_i$ we have $P[|e_{\hat{G}}(v, \hat{V}_j) - |\hat{V}_j|\hat{p}| > \hat{d}^{0.51}] \leq \exp(-\hat{d}^{\Omega(1)}) \leq \hat{d}^{-100}$. Therefore, $E(|W_{ij}|) \leq n\hat{d}^{-100}$. Furthermore, the random variables $(e_{\hat{G}}(v, \hat{V}_j))_{v \in \hat{V}_i}$ are mutually independent, and thus $|W_{ij}|$ is binomially distributed. Hence, invoking the first inequality of (14), we conclude that $P[|W_{ij}| > n\hat{d}^{-10}] \leq \exp(-2n\hat{d}^{-10})$. Finally, the union bound entails that with probability $\geq 1 - k^2 \exp(-2n\hat{d}^{-10}) \geq 1 - \exp(-n\hat{d}^{-10})$ the bound $|W_{ij}| \leq n\hat{d}^{-10}$ holds for all i, j simultaneously. ■

Corollary 6.13. *With probability $\geq 1 - \exp(-n\hat{d}^{-10})$ the random graph G has at most $n\hat{d}^{-9}$ vertices of degree $> 2n\hat{p}$.*

Proof. Any vertex of degree $> 2n\hat{p}$ belongs to $\bigcup_{i \neq j} W_{ij}$, and by Lemma 6.12 with probability $\geq 1 - \exp(-n\hat{d}^{-10})$ this set has cardinality $\leq k^2 n\hat{d}^{-10} \leq n\hat{d}^{-9}$. ■

Lemma 6.14. *With probability $\geq 1 - \exp(-n\hat{d}^{-10})$ the random graph \hat{G} has the following property. For any two disjoint sets $S, T \subset V$, $|S| \leq n\hat{d}^{-9} \leq |T|$ there is a vertex in T that has fewer than 100 neighbors in S .*

Proof. Let $s \leq n\hat{d}^{-9} \leq t$. Since each of the possible $\binom{n}{2}$ possible edges occurs in \hat{G} with probability $\leq \hat{p}$ independently, for any set S of size s and any $T \subset V \setminus S$ of size t the probability that all $v \in T$ have 100 neighbors in S is at most $[\binom{s}{100} \hat{p}^{100}]^t \leq (s\hat{p})^{100t}$. Moreover, there are $\binom{n}{s}$ ways to choose S , and then at most $\binom{n}{t}$ ways to choose T . Hence, the probability $P_{s,t}$ that there exist sets S, T of sizes s resp. t such that $e_{\hat{G}}(v, S) \geq 100$ for all $v \in T$ is at most

$$P_{s,t} \leq \binom{n}{s} \binom{n}{t} (s\hat{p})^{100t} \leq \exp(-t).$$

Furthermore, as there are at most n^2 ways to choose s and t , we conclude that the probability of the event stated in the lemma is at most $n^2 \exp(-t) \leq \exp(-n\hat{d}^{-10})$. ■

Combining Corollary 6.13 with Lemma 6.14, we obtain the following.

Corollary 6.15. *With probability $\geq 1 - \exp(-n\hat{d}^{-10})$ the random graph \hat{G} has at most $n\hat{d}^{-9}$ vertices v of degree $\leq 2n\hat{p}$ that have at least 100 neighbors of degree $> 2n\hat{p}$.*

Proof of Lemma 6.9. Let \hat{G}' be the subgraph of \hat{G} obtained by removing all vertices of degree $> 2n\hat{p}$. Moreover, let $\hat{V}' = V(\hat{G}')$ and $\hat{V}'_i = \hat{V}_i \cap \hat{V}'$. Let $1 \leq i \leq k$ and set $\eta = \hat{M}' \vec{1}_{\hat{V}'_i}$. Then $\eta_v = 0$ for all $v \in \hat{V}'_i$, and $\eta_v = |\hat{V}'_i|p - e_{\hat{G}'}(v, \hat{V}'_i)$ for all $v \in \hat{V}' \setminus \hat{V}'_i$. Hence,

$$\begin{aligned} \|\eta\|^2 &= \sum_{j \neq i} \sum_{v \in \hat{V}'_j} (|\hat{V}'_i|p - e_{\hat{G}'}(v, \hat{V}'_i))^2 \\ &\leq 2 \sum_{j \neq i} \sum_{v \in \hat{V}'_j} (|\hat{V}_i|\hat{p} - e_{\hat{G}}(v, \hat{V}_i))^2 + 2 \sum_{v \in \hat{V}'} e_{\hat{G}}(v, V \setminus \hat{V}')^2. \end{aligned} \quad (16)$$

Due to Lemma 6.12, the first sum on the r.h.s. can be estimated as follows:

$$\begin{aligned} \sum_{j \neq i} \sum_{v \in \hat{V}'_j} (|\hat{V}_i| \hat{p} - e_{\hat{G}}(v, \hat{V}_i))^2 &\leq \hat{d}^{1.2} n + 4(n\hat{p})^2 \sum_{j \neq i} |W_{ji}| \\ &\leq \hat{d}^{1.2} n + 4\hat{d}^{-10} kn(n\hat{p})^2 \leq 2\hat{d}^{1.2} n, \end{aligned} \quad (17)$$

because all vertices in \hat{V}' have degree $\leq 2n\hat{p}$. Furthermore, as by Corollary 6.15 there are at most $n\hat{d}^{-9}$ vertices $v \in \hat{V}'$ that have > 100 neighbors in $V \setminus \hat{V}'$, and since all $v \in \hat{V}'$ satisfy $e_{\hat{G}}(v, \hat{V} \setminus \hat{V}') \leq 2n\hat{p}$, we have

$$\sum_{v \in \hat{V}'} e_{\hat{G}}(v, V \setminus \hat{V}')^2 \leq 10^4 n + 4\hat{d}^{-9} n(n\hat{p})^2 \leq 10^5 n. \quad (18)$$

Finally, plugging (16) and (17) into (18), we obtain the assertion. \square

6.4 Proof of Proposition 3.6 (Concentration)

To prove the proposition we employ the exchange rate technique, introduced in Section 5. The first step is to prove the analogue of Proposition 3.6 in the planted model, and show that it holds with extremely high probability, then use Proposition 5.4. Therefore we first consider $\mathcal{P}_{n,m,k,\bar{\varepsilon}}$ for $\bar{\varepsilon} = (\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k)$ s.t. $\forall i |\varepsilon_i| \leq 0.01$.

Lemma 6.16. *Let $\delta \in (0, 1]$ be some positive number. Let G be a random graph in $\mathcal{P}_{n,m,k,\bar{\varepsilon}}$, $m \geq C_0 k^{10} n$ $C_0 = C_0(\delta)$ a sufficiently large constant. Then with probability at most e^{-n} every k -coloring at distance $\delta n / (1000k)$ from φ leaves at least $\delta m / (1000k)^2$ monochromatic edges.*

Proof. The basic idea of the proof is to first calculate the expected number of monochromatic edges induced by a k -coloring at distance at least $\delta n / (1000k)$ from φ , and show that this number is “much” higher than $\delta m / (1000k)^2$, then show a concentration result.

Let ψ be an arbitrary k -coloring at distance $r \geq \delta n / (1000k)$ from φ . A very similar argument to Lemma 5.7 gives that the probability that a random edge is monochromatic under ψ is at least $1 - e^{-r/(100nk^9)} \geq r/(100nk^9)$ (if ψ is nearly-balanced then this is exactly the same argument – just set $m = 1$ in equation (1), if ψ is “far” from being balanced, then in particular it is “far” from φ , then this fact is used to lower bound the value $f(r)$ in (1)).

Let X_r be a random variable counting the number of monochromatic edges in G induced by ψ . Then we have:

$$E[X_r] \geq mr / (100nk^9)$$

Set $\alpha = 0.9$ (for $r = n / (1000k)$, $m / (1000k)^2 \leq (1 - \alpha)E[X_r]$).

$$Pr[X_r \leq m / (1000k)^2] \leq Pr[X_r \leq (1 - \alpha)mr / (100nk^9)] \leq Pr[X_r \leq (1 - \alpha)E[X_r]].$$

Now apply Chernoff’s bound to obtain (Chernoff is applicable since it is known that X_r is more concentrated than the corresponding quantity if the draws were made with replacement [20] – and then they would have been independent)

$$Pr[X_r \leq (1 - \alpha)E[X_r]] \leq e^{-\alpha^2 E[X_r]/3} \leq e^{-mr / (400nk^9)}.$$

Taking the union bound over all possible k -colorings, the probability of a k -coloring at distance greater than $\delta n/(1000k)$ from φ leaving less than $\delta m/(1000k)^2$ monochromatic edges is at most

$$\sum_{r=\delta n/(1000k)}^n \binom{n}{r} k^r e^{-mr/(400nk^9)} \leq \sum_{\beta=\delta n/(1000k)}^n \left(\frac{enk}{r}\right)^r e^{-mr/(400nk^9)}.$$

Recalling that $m \geq C_0 k^{10}$, the latter is at most

$$\begin{aligned} \sum_{r=\delta n/(1000k)}^1 \left(\frac{enk \cdot e^{-C_0 k/400}}{r}\right)^r &\leq \sum_{r=\delta n/(1000k)}^1 \left(3000k^2 \cdot \delta^{-1} \cdot e^{-C_0 k/400}\right)^r \leq \\ &\sum_{r=\delta n/(1000k)}^n \left(e^{-C_0 k/500}\right)^r \leq e^{-n}. \end{aligned}$$

The last inequality is due to the fact that the last sum is a geometric series with quotient $e^{-C_0 k/500}$, and the fact that we can take C_0 to be a sufficiently large constant (recall that δ is fixed w.r.t. C_0). \blacksquare

We now use Proposition 5.4 to complete the proof of Proposition 3.6. Let \mathcal{A} be the bad event that the sampled graph G is not $\delta/(1000k)$ -concentrated for some $\delta \in (0, 1]$.

$$Pr^{uniform,m}[\mathcal{A}] \leq o(1) + n^k \cdot e^{ke^{-m/(10nk^9)}n} \cdot e^{-n} = o(1).$$

In the latter we use the fact that k is constant.

6.5 Proof of Proposition 3.7 (Core size)

To prove this proposition we again employ the exchange rate technique. Thus we first consider $\mathcal{P}_{n,m,k,\bar{\varepsilon}}$ for $\bar{\varepsilon} = (\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k)$ s.t. $\forall i |\varepsilon_i| \leq 0.01$.

Lemma 6.17. *Let $\delta \in (0, 1]$ be some positive number. Let G be a random graph in $\mathcal{P}_{n,p,k,\bar{\varepsilon}}$, $m \geq C_0 k^{10} n$, $C_0 = C_0(\delta)$ a sufficiently large constant. Then there exist a constant $g_0 = g_0(\delta) > 0$ (independent of m, n) so that for every $g \geq g_0$ with probability $(1 - e^{-m/(gk^9)n})$ there exists a δ -core C w.r.t. the planted assignment. Furthermore, $|C| \geq (1 - e^{-m/(a_0 k^9)n})n$ and the number of edges spanned by C is at least $(1 - e^{-m/(a_1 k^9)n})m$, where $a_0(g), a_1(g)$ are two positive monotonically increasing functions of g .*

This lemma, formulated somewhat differently, is proven in [8] for the case $k = 3$, and $\bar{\varepsilon} = 0$. The proof easily generalizes to any constant k , and $\bar{\varepsilon}$ as above. We give its outline here for the sake of completeness.

Proof.(Outline) Recall the definitions $p_i = \frac{2m}{n} \cdot \frac{1}{n-|V_i|}$ and $r = \max_i |V_i| p_i$, where V_i is the i^{th} color class of the planted k -coloring φ .

Consider the following iterative procedure for defining a δ -core w.r.t. φ . Set $H^{(0)}$ to be all vertices that have degree at least $(1 - \delta/2)|V_i| p_i$ in every color class V_i of φ other than their own. Iteratively, remove a vertex v from $H^{(i)}$ if either v has less than $(1 - \delta)|V_j| p_j$ neighbors in $V_j \cap H^{(i)}$ for some $j \neq \varphi(v)$, or v has more than δr neighbors in $G[V \setminus H^{(i)}]$, to receive $H^{(i+1)}$. Let t be the iteration where $H^{(t)} = H^{(t+1)}$, and set $C = H^{(t)}$.

First observe that the set C indeed meets the requirements in Definition 3.4. It now remains to prove that the set C is large. The main idea of the proof is to observe that to begin with very few vertices are eliminated (the degree of a vertex v in every other color class is on average $p_i|V_i|$, and using large-deviation inequalities one can bound the number of vertices that were removed before the iterative step began). If too many vertices were removed in the iterative step then a small yet dense subgraph exists (as every vertex that is removed contributes at least $\delta|V_i|p_i/2$ edges to the subgraph induced on $V \setminus C$). Corollary 2.2 (which can also be stated in the context of $\mathcal{P}_{n,p,k}$) bounds the probability of the latter occurring.

As for the number of edges spanned by the core. Assume that $|C| \geq (1 - e^{-m/(a_0nk^9)})n$. Using the Chernoff bound for example one can prove that there exists a d_0 (specifically, $d_0 = O(m/n)$) s.t. for $d \geq d_0$, $Pr[deg(v) \geq d] \leq e^{-d/100}$. Therefore, the expected number of edges spanned by the non-core vertices is at most

$$e^{-m/(a_0nk^9)}n \cdot d_0 + n \sum_{d=d_0}^n de^{-d/100} = e^{-m/(b_0nk^9)}n + e^{-d/200}n \leq e^{-m/(c_0nk^9)}n,$$

where b_0, c_0 are some monotonically increasing functions of a_0 . The first inequality uses the fact that $d_0 = O(m/n)$, and the fact that the sum is smaller than the sum of a decreasing geometric series with $q = e^{-d/150}$ (for a sufficiently large m/n). Now using large-deviation inequalities one can prove that with sufficiently high probability, this is indeed the case.

Finally, observe that the cardinalities of the color classes of φ meet the third requirement in Proposition 3.7 (that is, they are of size $(1 \pm 0.01)n/k$, by the choice of $\bar{\varepsilon}$). \blacksquare

We now use Proposition 5.4 to assert this fact in the uniform case. Let g be s.t. $e^{e^{-m/(gnk^9)}n} \cdot n^k \cdot e^{ke^{-m/(10nk^9)}n} = o(1)$. Let \mathcal{A} be the event that there exists some δ so that the sampled graph G has no proper k -coloring w.r.t. which there exists a δ -core of size at least $(1 - e^{-m/(a_0nk^9)})n$ that spans at least $(1 - e^{-m/(a_1nk^9)})m$ edges (where a_0, a_1 are chosen according to this g).

$$Pr^{uniform,m}[\mathcal{A}] \leq o(1) + n^k \cdot e^{ke^{-m/(10nk^9)}n} \cdot e^{e^{-m/(gnk^9)}n} = o(1).$$

The last equality is by the choice of g .

6.6 Proof of Proposition 3.8 (Uniqueness of Coloring)

Let C be some δ -core of G with φ the underlying k -coloring, and assume that C meets the requirements of Proposition 3.7. First observe that the k -coloring w.r.t. which G is c -concentrated (in the proof of Proposition 3.6) is the same as the k -coloring w.r.t. which there exists a large core (in the proof of Proposition 3.7) – this is because the proof of both propositions uses the exchange rate technique, and in the planted setting this assignment is the planted one in both cases. Therefore we may assume that G is $(1 - \delta)/(1000k)$ -concentrated w.r.t. φ (Proposition 3.7 concerns $\delta \in (0, 1)$, and therefore $1 - \delta \in (0, 1)$ as well).

Let ψ be a proper k -coloring of $G[C]$ so that ψ differs from φ on C (if no such ψ exists then we are done). By the conditions of Proposition 3.8, $G[C]$ spans at least $(1 - e^{-\Theta(m/(nk^9))})m$ edges. Thus it must be that ψ differs from φ on the coloring of at most $(1 - \delta)n/(1000k)$ vertices (otherwise, ψ leaves at least $(1 - \delta)m/(1000k)^2 \gg (1 - e^{-\Theta(m/(nk^9))})m$ monochromatic edges in G – due to concentration, and in particular it does not properly k -color $G[C]$).

Let $v \in C$ be some vertex on whose assignment φ and ψ disagree, and w.l.o.g assume that v is colored i in φ and j in ψ . Now consider the neighbors of v in C which are colored j under φ . It must be that these vertices are not colored j under ψ , but rather some other color j' . Now one can consider the neighbors of a vertex in $N(v)$ which are colored by j' in φ , on which again, ψ and φ must disagree. Put differently, let U be the set of vertices in the core on which ψ and φ disagree. By the discussion above and the first requirement in Definition 3.4 it holds that every vertex $v \in U$ has at least

$$\min_i (1 - \delta) p_i |V_i| \geq (1 - \delta) \frac{2m}{n} \frac{0.99n/k}{n - 0.99n/k} \geq (1 - \delta) m / (nk)$$

neighbors in U (p_i was defined in Definition 3.4). By our assumption on U , $|U| \leq (1 - \delta)n / (1000k)$, this however contradicts Corollary 2.2 (when plugging in $1 - \delta$ in Corollary 2.2).

6.7 Proof of Proposition 3.10 (Connected Components)

Let $d = \frac{2m}{kn}$. Let us say that G is *bounded* if the following conditions hold.

B1. For all $X \subset V$ such that $|X| \leq n/d^2$ we have $e(X) \leq 10|X|$.

B2. The maximum degree of G is $\leq \ln^2 n$.

B2. If H is a subgraph of G on $|V(H)| \geq (1 - d^{-10})n$ vertices, and if H has a k -coloring V_1, \dots, V_k such that $e(v, V_j) \geq 0.9d$ for all $v \in V_i$ and all $1 \leq i, j \leq k, i \neq j$, then H is uniquely k -colorable.

Moreover, we call G ε -feasible if G has an induced subgraph H with the following properties.

F1. $|V(H)| \geq (1 - \varepsilon \exp(-\sqrt{d}))n$ and $|E(H)| \geq (1 - d^{-1})m$.

F2. There is a k -coloring V_1, \dots, V_k of G such that $|H \cap V_i| \geq (1 - 10^{-8}\varepsilon)n/k$ for all i .

F3. Every vertex $v \in H \cap V_i$ satisfies $e(v, V_j \cap H) \geq (1 - \varepsilon)d$ for all $j \neq i$.

F4. All $v \in H$ satisfy $e(v, V \setminus H) \leq \varepsilon d$.

F5. H is uniquely k -colorable.

If H, K are two induced subgraphs of G that satisfy F1–F5, then the same is true for $H \cup K$. Therefore, G has a unique maximal induced subgraph that enjoys F1–F5; this subgraph will be denoted by G_ε in the sequel.

Lemma 6.18. *Let $\delta \in (0, 1]$ be some positive number. Let G be a random graph in $\mathcal{G}_{n,m,k}$, $m \geq C_0 k^4 n$, $C_0 = C_0(\delta)$ a sufficiently large constant. Then whp G is bounded and δ -feasible.*

This lemma is a direct consequence of Propositions 2.1, 3.7, 3.8.

Let $T \subset V$ be a set of size $t = \lceil \log n \rceil$, and let τ be a tree with vertex set T . Moreover, let us call G (T, τ) -poor if

- G is bounded,
- G is 0.01-feasible, 0.015-feasible, and 0.02-feasible,

- G contains τ as a subgraph,
- T does not intersect $G_{0.02}$.

Denote by \mathcal{G} the set of all k -colorable graphs with vertex set $V = \{1, \dots, n\}$ and exactly m edges, and let $\mathcal{P}(T, \tau)$ signify the set of all (T, τ) -poor k -colorable graphs $G \in \mathcal{G}$. Below we shall establish the following.

Lemma 6.19. *We have $\binom{n}{t}t^{t-2}|\mathcal{P}(T, \tau)| = o(|\mathcal{G}|)$.*

Before we prove Lemma 6.19, let us note that it implies Proposition 3.10 immediately.

Proof of Proposition 3.10. Since there are $\binom{n}{t}$ ways to choose a vertex set T of size t , and then t^{t-2} ways to place a tree into that set, Lemmas 6.18 and 6.19 entail that

$$\begin{aligned} & P[\mathcal{G}_{n,m,k} \text{ violates the property stated in Proposition 3.10}] \\ & \leq P[\mathcal{G}_{n,m,k} \text{ is not } \varepsilon\text{-feasible for some } \varepsilon \in \{0.01, 0.015, 0.02\} \text{ or not bounded}] \\ & \quad + P[\exists T, \tau : \mathcal{G}_{n,m,k} \text{ is } (T, \tau)\text{-poor}] \leq o(1) + \sum_{T, \tau} \frac{|\mathcal{P}(T, \tau)|}{|\mathcal{G}|} = o(1), \end{aligned}$$

as claimed. \square

Thus, the remaining task is to prove Lemma 6.19. To this end, we fix a set T and a tree τ and set up a bipartite auxiliary graph $\mathcal{A} = \mathcal{A}(T, \tau)$ with vertex set $V(\mathcal{A}) = \mathcal{P}(T, \tau) \oplus \mathcal{G}$; for brevity we set $\mathcal{P} = \mathcal{P}(T, \tau)$. The auxiliary graph will enjoy the following property.

$$\begin{aligned} & \text{In } \mathcal{A} \text{ every vertex } G \in \mathcal{P} \text{ has degree at least } \Delta, \text{ while every vertex } G' \in \mathcal{G} \text{ has degree} \\ & \text{at most } \Delta', \text{ where } \binom{n}{t}t^{t-2}\Delta' = o(\Delta). \end{aligned} \quad (19)$$

Since $\Delta|\mathcal{P}(T, \tau)| \leq |E(\mathcal{A})| \leq \Delta'|\mathcal{G}|$, Lemma 6.19 follows directly from (19).

To describe the construction of \mathcal{G} , we let I be the set of all $v \in T$ that have degree ≤ 4 in τ ; then $|I| \geq t/2$, because τ is a tree. Furthermore, for each $G \in \mathcal{P}$ we let $V_1(G), \dots, V_k(G)$ signify the lexicographically first k -coloring of G , and we set

$$\begin{aligned} I_1(G) &= \{v \in I : e_G(v, V \setminus G_{0.02}) \geq 0.001d\}, \\ I_2(G) &= \{v \in I : \exists j : v \notin V_j(G) \wedge e_G(v, V_j(G) \cap G_{0.02}) \leq 0.999d\} \setminus I_1(G). \end{aligned}$$

If G is (T, τ) -poor, then all vertices $v \in I$ are outside of the 0.02-core $G_{0.02}$; hence, due to F3 and F4 we have $I = I_1(G) \cup I_2(G)$. Thus, we decompose \mathcal{P} into two parts $\mathcal{P}_1 = \{G \in \mathcal{P} : |I_1(G)| \geq 0.15t\}$, $\mathcal{P}_2 = \mathcal{P} \setminus \mathcal{P}_1$

As a next step, we will construct two subgraphs $\mathcal{A}_1, \mathcal{A}_2$ of \mathcal{A} , both of which consist of the \mathcal{P}_i - \mathcal{G} -edges of \mathcal{A} . Thus, $\mathcal{A} = \mathcal{A}_1 \cup \mathcal{A}_2$, so that (19) will be a consequence of the following statement.

$$\begin{aligned} & \text{In } \mathcal{A}_j \text{ every vertex } G \in \mathcal{P}_j \text{ has degree at least } \Delta_j, \text{ while every vertex } G' \in \mathcal{G} \text{ has} \\ & \text{degree at most } \Delta'_j, \text{ where } \binom{n}{t}t^{t-2}\Delta'_j = o(\Delta_j) \text{ (} j = 1, 2\text{)}. \end{aligned} \quad (20)$$

In the remainder of this section, we present the constructions of $\mathcal{A}_1, \mathcal{A}_2$ and establish (20). To facilitate these constructions, we say that a pair $\{x, y\}$ of vertices is *compatible* if $\{x, y\} \notin E(G)$, x, y lie in $G_{0.01}$, and x, y belong to different classes of the unique coloring of $G_{0.01}$. Moreover, we say that a set F of pairs of vertices is compatible if every pair in F is compatible and no vertex $v \in V$ occurs in more than one pair.

Lemma 6.20. *Let $G \in \mathcal{P}$ and let $1 \leq s \leq n^{0.1}$. Then there exist $\binom{n^2/4}{s}$ compatible sets F of size s .*

Proof. Let Z_1, \dots, Z_k signify the unique k -coloring of $G_{0.01}$, and let \mathcal{C} be a complete k -partite graph with the color classes Z_1, \dots, Z_k . Since G satisfies F2, \mathcal{C} has at least $\sum_{1 \leq i < j \leq k} |Z_i||Z_j| \geq (0.9 - k^{-1})\binom{n}{2}$ edges. Furthermore, let S be a set of s edges of \mathcal{C} chosen uniformly at random. Then the probability that S does not contain an edge of G is

$$\binom{|E(\mathcal{C})| - m}{s} \binom{|E(\mathcal{C})|}{s}^{-1} = \prod_{j=0}^{s-1} 1 - \frac{m}{|E(\mathcal{C})| - j} = 1 - o(1),$$

because $|E(\mathcal{C})| = \Omega(n^2)$, while $ms = o(n^2)$. Moreover, the probability that a specific vertex v occurs twice in S is at most

$$n^2 \binom{|E(\mathcal{C})|}{s-2} \binom{|E(\mathcal{C})|}{s}^{-1} \leq O(s^2 n^{-2}) = o(n^{-1}).$$

Hence, by the union bound with probability $1 - o(1)$ a randomly chosen S will touch no vertex v more than once. Thus, with probability $1 - o(1)$ a randomly chosen S is compatible, so that the number of compatible sets is $\geq (1 - o(1))\binom{|E(\mathcal{C})|}{s} \geq \binom{n^2/4}{s}$. ■

Construction of \mathcal{A}_1 . The construction of \mathcal{A}_1 is based on the following observation.

Lemma 6.21. *Suppose that $G \in \mathcal{P}_1$. There exist sets $U \subset I_1(G)$, $|U| = \lceil 0.1t \rceil$, and $W \subset V \setminus (\tau \cup G_{0.02})$ such that $e(v, W) \geq 10^{-4}d$ for all $v \in U$, and $e(w, U) \leq 10^4$ for all $w \in W$.*

Proof. Let $J \subset I_1(G)$ be a set of size $0.15t$, and let K be the set of all vertices $w \in V \setminus (G_{0.02} \cup \tau)$ that are adjacent with a vertex in J . Moreover, let $L \subset K$ be the set of all $w \in K$ such that $e(v, J) \geq 10^4$. Then the boundedness property of G implies that $|L| \leq 0.01t$. Furthermore, letting $Q = \{v \in J : e(v, L) > 10^4\}$, we have $|Q| \leq 0.001t$ (once more due to the boundedness of G). Now, let $U = J \setminus Q$ and $W = K \setminus L$. Then each $w \in W$ has $\leq 10^4$ neighbors in U . Moreover, if $v \in U$, then $e(v, W) \geq e(v, V \setminus (G_{0.02} \cup \tau)) - e(v, L) \geq 0.001d - 10 - 10^4 \geq 10^{-4}d$. ■

Our objective is to associate to each $G \in \mathcal{P}_1$ a large number of “target graphs” $G' \in \mathcal{G}$ such that no G' occurs as a target graph too frequently. To this end, we consider the following nondeterministic procedure that maps G to a target graph G' . For each possible outcome G' we include the edge $\{G, G'\}$ into \mathcal{A}_1 . Set $\gamma = \lceil 10^{-4}d \rceil$ and $u = \lceil 0.1t \rceil$.

C1. Choose a compatible set F of size $t - 1 + \gamma u$.

C2. Choose sets U and W as in Lemma 6.21.

C3. For each $v \in U$ choose a set $\{w_1(v), \dots, w_\gamma(v)\}$ of neighbors of v in W .

C4. Obtain G' from G by removing the edges of τ along with the edges $\{v, w_i(v)\}$ ($v \in U$, $1 \leq i \leq \gamma$) and adding the edges F .

Lemma 6.20 entails that the number of graphs G' that can be obtained from each G via the above procedure is at least

$$\Delta_1 = \binom{n^2/4}{t - 1 + \gamma u} \quad (21)$$

(because there are at least this many choices in step C1). Conversely, to recover G from G' , we consider the following nondeterministic procedure.

R1. Choose a set F' of $t - 1 + \gamma u$ edges of G' .

R2. Choose a set $U' \subset T$ of size u .

R3. For each such $v \in U'$ choose a set N'_v of γ vertices outside of the 0.015-core of G' .

R4. Output the graph G'' obtained from G' by removing the edges F' and adding the edges $\{v, w\}$, $v \in U'$, $w \in N'_v$ along with the edges of τ .

Lemma 6.22. *If $\{G, G'\}$ is an edge of \mathcal{A}_1 , then G' is 0.015-feasible and the process R1–R4 applied to G' can yield the output $G'' = G$.*

Proof. Let F, U, W , and $(\{w_1(v), \dots, w_\gamma(v)\})_{v \in U}$ be the sets chosen by C1–C4 to obtain G' from G . If R1–R4 chooses $F' = F, U' = U, N'_v = \{w_1(v), \dots, w_\gamma(v)\}$ for all $v \in U$, then the outcome will be $G'' = G$. Thus, we just need to show that it is feasible for R1–R4 to choose $N'_v = \{w_1(v), \dots, w_\gamma(v)\}$, i.e., that G' is 0.015-feasible and the vertices $w_j(v)$ do not belong to the 0.015-core of G' .

To see that G' is 0.015-feasible, let X be the vertex set of $G_{0.01}$. We claim that X satisfies F1–F5 with respect to G' with $\varepsilon = 0.01$. For F1 is an immediate consequence of the fact that G is 0.01-feasible. Moreover, as C4 adds a compatible set F and only removes edges that contain a vertex outside of X , the unique k -coloring of $G_{0.01}$ remains the unique k -coloring of the set X in G' , whence F2–F5 follow. Thus, G' is indeed 0.01-feasible, and hence 0.015-feasible as well.

Finally, to show that the vertex set Y of $G'_{0.015}$ is contained in that of $G_{0.02}$, we show that Y is 0.02-feasible in G . For the induced subgraph $G[Y]$ is uniquely k -colorable, because all edges in $E(G') \setminus E(G)$ lie in the uniquely k -colorable subgraph $G_{0.01}$ of G . Hence, Y satisfies F5, and F1–F2 just follow from the fact that Y is 0.015-feasible in G' . Moreover, as no vertex $v \in V$ occurs in the set $E(G) \setminus E(G')$ of edges removed in C4 more than γ times, Y also satisfies F3 and F4 in G with $\varepsilon = 0.02$. ■

Lemma 6.23. *If G' is an outcome of C1–C4 for some $G \in \mathcal{P}_1$, then the number of possible nondeterministic choices in the steps R1–R4 is at most $\Delta'_1 = 2^t \binom{m}{t-1+\gamma u} \left(\frac{\exp(-\sqrt{d})n}{\gamma}\right)^u$.*

Proof. The first factor accounts for the number of ways to choose F' . Moreover, there are clearly at most 2^t ways to choose U' . To bound the number of choices of R3, note that for each $v \in U'$ there are at most $\binom{n-|V(G'_{0.015})|}{\gamma}$ ways to choose the set N'_v . As the construction C1–C4 ensures that $G'_{0.015}$ contains the 0.01-core $G_{0.01}$ of G , our assumption that G is 0.01-feasible entails that $|V(G'_{0.015})| \geq n(1 - \exp(-\sqrt{d}))$. ■

Finally, combining (21) with Lemmas 6.22 and 6.23, and observing that $\binom{n}{t} t^{t-2} \Delta'_1 = o(\Delta_1)$, we obtain (20) for $j = 1$.

Construction of \mathcal{A}_2 . Let $G \in \mathcal{P}_2$, and let $V_1(G), \dots, V_k(G)$ be the lexicographically first k -coloring of G . We split the set $I_2(G)$ into k subsets

$$I_{2j}(G) = \{v \in I_2 : v \notin V_j(G) \wedge e(v, V_j(G) \cap G_{0.02}) \leq 0.999d\} \quad (1 \leq j \leq k).$$

Moreover, we split \mathcal{P}_2 into subsets

$$\mathcal{P}_{2j} = \{G \in \mathcal{P}_2 : |I_{2j}(G)| \geq 0.1t/k\} \setminus \bigcup_{1 \leq i < j} \mathcal{P}_{2i} \quad (1 \leq j \leq k).$$

Without loss of generality, we shall just consider the case $G \in \mathcal{P}_{21}$ in the sequel.

As in the construction of \mathcal{A}_1 we consider a nondeterministic procedure that maps $G \in \mathcal{P}_2$ to $G' \in \mathcal{G}$. Let $u = \lceil 0.1t/k \rceil$ and $\gamma = \lceil 10^{-9}d \rceil$.

- C1.** Choose a compatible set F of size $t - 1$.
- C2.** Choose a subset $U \subset I_{21}(G)$ of size u .
- C3.** Choose a matching $M \subset E(G_{0.01})$ of size γu such that no vertex v is adjacent to more than 100 vertices that occur in M . Moreover, for each $v \in U$ choose a set $N_v \subset V_1 \cap G_{0.01}$ of size γ such that the sets $(N_v)_{v \in U}$ are pairwise disjoint, $e(v, N_v) = 0$, and no vertex of N_v occurs in M .
- C4.** Obtain G' from G by removing the edges of τ and the matching M , adding the edges F , and connecting each $v \in U$ with all $w \in N_v$.

For each $G \in \mathcal{P}_{21}$ and each possible outcome G' of C1–C4 we include the edges $\{G, G'\}$ into \mathcal{A}_2 . The following lemma provides a lower bound on the degree of $G \in \mathcal{P}_{21}$ in \mathcal{A}_2 .

Lemma 6.24. *Each $G \in \mathcal{P}_{21}$ has at least $\Delta_{21} = \frac{1}{2} \binom{n^2/4}{t-1} \binom{(1-10^{-9})m}{\gamma u} \binom{(1-10^{-9})n/k}{\gamma}^u$ images G' .*

Proof. By Lemma 6.20 there are $\binom{n^2/4}{t-1}$ ways to choose F . Furthermore, F1 implies that $G_{0.01}$ contains at least $(1 - 10^{-9})m$ edges. Moreover, since the maximum degree of G is $\leq \ln^2 n$ by B2, $G_{0.01}$ has at least $(1 - o(1)) \binom{(1-10^{-9})m}{0.1\delta dt/k}$ matchings of size $0.1\delta dt/k$. Finally, since $|V_1| \geq (1 - 10^{-9}) \frac{n}{k}$ by F2, there are $(1 - o(1)) \binom{(1-10^{-9})n/k}{\delta d}^{0.1t/k}$ ways to choose the sets $(N_v)_{v \in U}$. ■

Conversely, we consider the following nondeterministic procedure for obtaining a graph G'' from an outcome G' of C1–C4.

- R1.** Choose a set $F' \subset E(G')$ of size $t - 1$.
- R2.** Determine the unique coloring V'_1, \dots, V'_k of $G'_{0.015}$. Then, choose a set $U' \subset T$ of size u and an index l such that each $v \in U'$ has at most $0.9999d$ neighbors in V'_l . Moreover, choose a set M' of γu pairs of vertices such that each $e \in M'$ consists of two vertices belonging to different classes of V'_1, \dots, V'_k .
- R3.** For each $v \in U'$ choose a set N'_v of neighbors of v in V'_l such that $|N'_v| = \gamma$.
- R4.** Obtain a graph G'' from G' by removing F' and all edges $\{v, w\}$ with $v \in U'$, $w \in N'_v$, and adding the edges of τ and M' .

Lemma 6.25. *If $\{G, G'\}$ is an edge of \mathcal{A}_2 , then G' is 0.015-feasible and the process R1–R4 applied to G' can yield the output $G'' = G$.*

Proof. Suppose that G' has been obtained from G by choosing the matching M , the set U , the sets $(N_v)_{v \in U}$, and the feasible set F . To recover $G'' = G$, we shall prove that G' is 0.15 feasible and that the process R1–R4 can choose $M' = M$, $F' = F$, and $N'_v = N_v$.

To show that G' is 0.15 feasible, let Z be the set of all vertices that occur in M and $H = V(G_{0.01}) \setminus Z$. We claim that H is 0.015-feasible in G' . For H satisfies the assumption of condition

B3 in G , whence $G[H] = G'[H]$ is uniquely k -colorable. Moreover, since $|Z| = O(\ln n)$, H satisfies F1, F2, F3, and F5. Further, since the sets N_v are pairwise disjoint, we have $e_{G'}(v, V \setminus H) \leq e_G(v, V \setminus H) + 1 \leq e_G(v, V \setminus G_{0.01}) + 101$, because no vertex of G has more than 100 neighbors in Z . Therefore, H is 0.015-feasible in G' .

Indeed, we have shown that $V(G'_{0.015}) \supset V(G_{0.01}) \setminus Z$. Hence, as $G'_{0.015}$ is uniquely k -colorable, for a suitable value of l we have $V'_l \supset \bigcup_{v \in U} N_v$. Moreover, since $V(G'_{0.015}) \subset V(G_{0.02})$, all $v \in U$ satisfy $e(v, V'_l) \leq 0.9999d$. Therefore, it is feasible for R1–R4 to choose $M' = M$, $F' = F$, and $N'_v = N_v$, thereby recovering $G'' = G$. ■

In the light of Lemma 6.25 we can bound the degrees of $G' \in \mathcal{G}$ in \mathcal{A}_2 as follows.

Lemma 6.26. *If G' has been obtained from G via C1–C4, then during R1–R4 there are at most $\Delta'_2 = \binom{m}{t-1} 2^t \binom{(1-k^{-1})\binom{n}{2}}{\gamma u} (0.9999d)^\gamma$ ways to choose F' , the sets N'_v , and M' . Hence, the degree of any $G' \in \mathcal{G}$ in \mathcal{A}_2 is $\leq k\Delta'_2$.*

Proof. There are exactly $\binom{m}{t-1}$ ways to choose F' and at most 2^t ways of choosing U' . Furthermore, by Turan's theorem there are at most $\binom{(1-k^{-1})\binom{n}{2}}{\gamma u}$ ways to choose M' . Finally, since each $v \in U'$ has at most $0.9999d$ neighbors in V'_1 , there are at most $(0.9999d)^\gamma$ ways to choose N'_v . ■

Combining the bounds from Lemmas 6.24 and 6.26, we obtain

$$\begin{aligned} \frac{\Delta_3}{\Delta'_3} &\geq \Omega(n^{-1}) \left(\frac{n}{4dk}\right)^{t-1} \left(\frac{(1-10^{-9})^2 mn/k}{0.9999(1-k^{-1})n^2 d/2}\right)^{0.1\delta t/k} \\ &\geq \left(\frac{n}{4dk}\right)^{t-1} \left(\frac{(1-10^{-9})^2}{0.9999}\right)^{\gamma u} \geq \exp(\Omega(\gamma u)) \binom{n}{t} t^{t-2} \end{aligned}$$

Thus, we have established (20) for $j = 3$.

7 Conclusion

In this work we consider the uniform distribution over k -colorable graphs, $\mathcal{G}_{n,m,k}$, with average degree greater than some sufficiently large constant. We characterize the typical structure of the solution space of such graphs to show that typically there exists only one cluster of proper k -colorings, whose size may be exponential in n , in which almost all vertices are frozen. We also prove that a relatively simple efficient algorithm recovers *whp* a proper k -coloring of such graphs, thus asserting that almost all k -colorable graphs are easy to color.

To obtain our results we had to come up with new analytical tools that apply to a number of further NP-hard problems, including the satisfiability problem. Specifically, similar arguments to what we had here imply that the algorithmic techniques developed for random formulas from the planted distribution, e.g. [8, 4], can be extended to the uniform distribution [12].

Combining Theorems 1.1 and 1.2 *rigorously* supports the following common thesis: the main key to understanding the (empirical) hardness of a certain distribution over k -colorable graphs lies in the structure of the solution space of a typical graph in that distribution. Specifically, our results show (at least in our setting) that typically when a graph has a single cluster of proper k -colorings, though its volume may be exponential in n , then the problem is “easy”. On the other hand, when

the clustering is complicated, for example in the near threshold regime, experimental results predict that many “simple” heuristics fail, while “heavy machinery” such as Survey Propagation works. Heightening this last point, regard the recent work in [17] which considers the planted 3SAT distribution. There it is proved that the naïve Warning Propagation algorithm works *whp* for planted 3CNF formulas with a suitable parametrization which, amongst other characteristics, typically have one cluster of satisfying assignments. Fitting the result into our perspective – when the clustering is simple, then a simple message passing algorithm works (Warning Propagation), when the clustering is complicated, then only a much more complicated message passing algorithm is known (and even this only experimentally) to work (Survey Propagation).

We conclude with two open research questions. We have shown that the planted and uniform distributions share many structural properties. Is it true that those two distributions are also statistically close (in the regular sense of distance between two distributions)? A positive answer to this question will in particular provide an efficient algorithm for sampling the uniform distribution (up to the statistical difference). The only case where the planted and uniform distributions are known to be statistically close is the regime $m \geq c \log n$, c a sufficiently large constant. In that case there *whp* exists only one satisfying assignment (in both models). However the case $m/n = O(1)$ is completely unknown.

Another intriguing question is what happens when $m/n = O(1)$ but not necessarily sufficiently large? Can one prove that there still exists a polynomial time algorithm that works *whp*? For starters answer this question in the planted distribution. Also, what is the typical geometry of the solution space? Does the same “degenerated” single-cluster structure remains all the way to the k -colorability threshold?

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