

1 Introduction

In this Lecture we will introduce the Local Ratio Technique. We will show applications of this method to the following problems:

- Vertex cover
- Interval scheduling
- Interval scheduling with deadlines
- Interval scheduling with intervals of various widths.

2 Vertex Cover

Introducing the problem:

Input: Graph $G = (V,E)$, weight function $w : V \rightarrow R^+$

Feasible solution: $S \subseteq V$ s.t $\forall e = (v,u) \in E. u \in S$ or $v \in S$

Goal Function: Minimize $\sum_{v \in S} w(v)$.

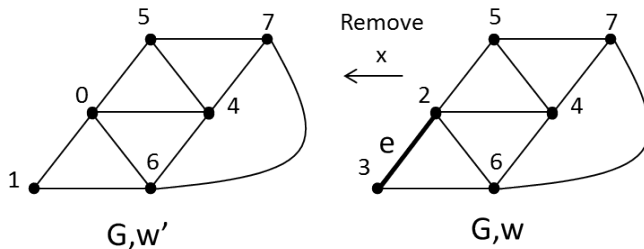
Claim 1: The value of the solution is 0 \iff the group of all the vertexes with weight 0 is a valid cover $\iff \forall e = (u,v) \in E u \in S$ or $v \in S$

Proof: follows by definition.

2.1 First Solution:

Given input: $G = (V,E)$, $w(v) \rightarrow R^+$, choose an edge $e=(u,v) \in E$ and subtract $X = \min\{w(u), w(v)\}$ from $w(v)$ and $w(u)$. The result is graph G with a new weight function w' .

Figure 1: An example of G with weights w & w' :



Notice that:

- (1) $OPT(G,w') \leq OPT(G,w) - X$
- (2) $A(G,w) \leq A(G,w') + 2X$

Explanations:

- (1) if S is a feasible solution to (G,w) with value V , it will also be a feasible solution to (G,w') with value at most $V - X$.
- (2) if S is a feasible solution to (G,w') with value V , it will also be a feasible solution to (G,w) with value at most $V + 2X$ (if both v and u are in S , we add $2X$).

Claim 2: We can achieve a 2-approximation algorithm by recursion on (G,w') :

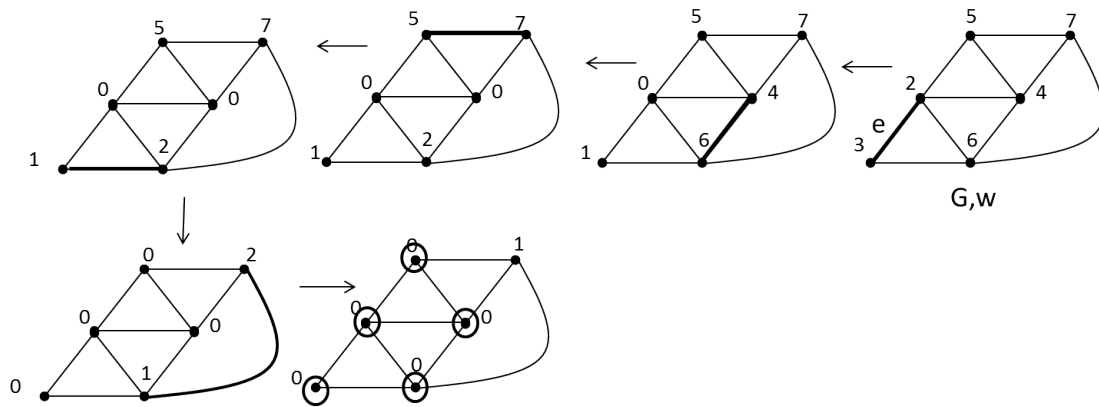
The Algorithm: choose an edge $e \in E$ and generate (G,w') . Continue until the weight of at least one of the vertexes of each edge is 0.

Proof: By induction: (on the number of edges (u,v) s.t $w(u) > 0$ and $w(v) > 0$)

Base case: At least one of the vertexes of each edge is 0 \rightarrow the value of the solution is 0 (we will take all the vertexes with weight 0). The solution is optimal, and therefore 2-approximate.

Induction Step: $A(G,w') \leq_{(2)} A(G,w') + 2x \leq_{induction} 2OPT(G,w') + 2x = 2(OPT(G,w') + x) \leq_{(1)} 2OPT(G,w)$

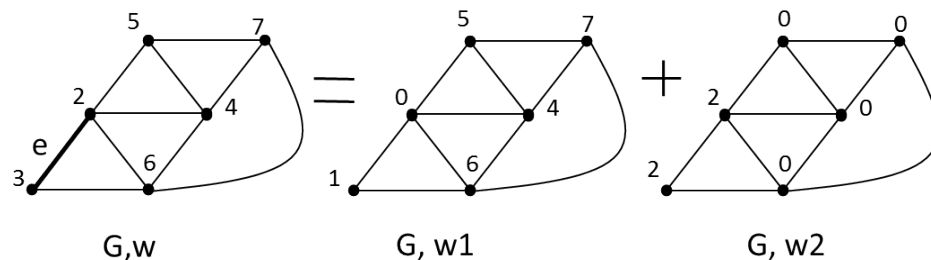
Figure 2: An Example of the recursive algorithm:



2.2 An alternative approach:

We divided the weight function w into 2 functions: $w_1: V \rightarrow R^+$ and $w_2: V \rightarrow R^+$ s.t $w = w_1 + w_2$.

Figure 3: The division of W in our example:



The Local Ratio Theorem: If solution S is α -approximate for (G,w_1) and (G,w_2) , S is also α -approximate for (G,w)

Proof: $w(S) = w_1(S) + w_2(S) \leq \alpha OPT(G, w_1) + \alpha OPT(G, w_2) = \alpha(OPT(G, w_1) + OPT(G, w_2)) \leq \alpha OPT(G, w_1 + w_2) = \alpha OPT(G, w)$

The local Ratio Theorem applied to the Vertex Cover:

The algorithm: If a zero-cost solution can be found, return one. Otherwise, decompose w into w_1 & w_2 , and solve the problem recursively, using w_1 as the weight function in the recursive call.

Claim 3: The algorithm is 2-approximate.

Proof: By Induction: (on the number of edges (u,v) s.t $w(u) > 0$ and $w(v) > 0$)

Base case: The algorithm returns a VC of zero cost, which is optimal.

Inductive step: consider the solution returned by the recursive call. In (G, w_1) there is one edge (u,v) less s.t $w(u) > 0$ and $w(v) > 0$, by the inductive hypothesis it is 2-approximate with respect to w_1 . We claim that it is also 2-approximate with respect to w_2 . In fact, every feasible solution is 2-approximate with respect to w_2 : $OPT(G, w_2) \geq x$ and $Alg(G, w_2) = \sum_{v \in S} w_2(v) \leq \sum_{v \in V} w_2(v) = 2x$. (G, w_1) and (G, w_2) is 2-approximate $\rightarrow (G, w)$ is 2-approximate.

3 Interval Scheduling

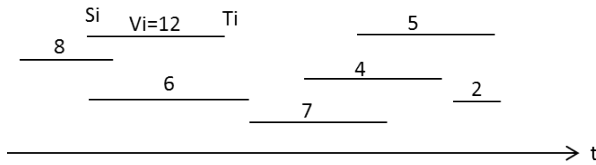
Introducing the problem:

Input: A set of intervals: $I_i = (s_i, t_i, v_i)$ $s_i \leq t_i$ v_i - the value of interval i

Feasible solution: subset S of non-conflicting intervals.

Goal Function: maximize the sum of values of S .

Figure 4: Example of Interval scheduling problem:



3.1 Simple Case: $\forall i. v_i = 1$:

The Goal is to maximize the number of intervals in S .

The Algorithm: Choose the interval that ends first, and remove all of its conflicting intervals. Repeat until there are no more intervals.

Claim 4: The Algorithm gives an optimal solution.

Proof: The chosen interval is better than any other interval, because it can be replaced by any of the other intervals we removed.

3.2 The General Case $\forall i. v_i \geq 0$:

3.2.1 Solution using dynamic programming:

Sort the intervals by the ending time(t_i): $I_1 \leq I_2 \dots \leq I_n$

definition: $F(I) =$ the maximum gain from intervals ending until the ending if the i -th interval ; $F(0) = 0$.

definition: $J_i =$ the interval with maximum index that ends before the beginning of interval i .

$$F(I) = \{F(i - 1), v(i) + F(j_i)\}$$

3.2.2 Solution using Local Ratio technique:

1. If there are interval s.t $v_i < 0$ - remove them. If the input is ϕ - return ϕ .
2. The weight function w_1 : Select the interval that that ends first - I_1 with value v and remove v from all of I_1 's conflicting intervals. (The weight function $w_2 = w - w_1$). Remove I_1 .
3. Solve recursively.
4. Take the recursive solution and add I_1 to it if possible (= if interval I_1 doesn't conflict with the other intervals in the solution).

Claim 5: The algorithm returns an optimal solution.

Proof: By induction (on the number of intervals):

Base case: If the input is ϕ - return ϕ - optimal solution.

Inductive step: First, notice that the all the intervals with value > 0 are conflicting with each other, and $OPT = v$. Consider the solution S returned by the recursive call. In w_1 there is at least one interval less than in w . By the inductive hypothesis S is optimal with respect to w_1 .

If S contains an interval conflicting with I_1 : There is an interval conflicting with I_1 in the solution \rightarrow the value of the solution in $Alg(w_2) = v \rightarrow$ optimal.

If S doesn't contain an interval conflicting with I_1 we add it to the solution: $Alg(w_2) = v \rightarrow$ optimal. S is optimal with respect to w_1 and $w_2 \rightarrow S$ is optimal with respect to $w = w_1 + w_2$.

4 Interval Scheduling with deadlines

Introducing the problem:

Input: A set of intervals: $I_i = (s_i, t_i, d_i, v_i)$: interval i can be placed in the range $[s_i, t_i]$, the interval's length is d_i , and its value - v_i .

Feasible solution: Subset S of non-conflicting intervals, and the starting location for each selected interval in its range.

Goal Function: Maximize the sum of values of S .

Remark: The problem is NP-Complete (can be proved by reduction to Bin Packing problem).

Assumption: s_i, t_i, d_i are Integer.

The technique: Let's open each interval to a set of all of it's possible locations within the range $[s_i, t_i]$, and apply the algorithm described in section 3.2.2.

The Algorithm:

1. If there are interval s.t $v_{ij} < 0$ - remove them. If the input is ϕ - return ϕ .

2. The weight function w_1 : Select the interval that that ends first - I_1 with value v and remove v from: (1) all of I_1 's conflicting intervals. (2) all the copies of I_1 . (the weight function $w_2 = w - w_1$). Remove I_1 .
3. Solve recursively.
4. Take the recursive solution and add I_1 to it if possible (= if interval I_1 doesn't conflict with the other intervals in the solution, and there isn't any copy of I_1 in the solution).

Claim 6: The algorithm is 2-approximate.

Claim 7: Optimal solution for $w_2 \leq 2v$.

Proof (of Claim 7): We take v from all the intervals conflicting with I_1 , and v from a copy of I_1 . We get $2v$.

Claim 8: The value of every maximal solution with respect to $I_1 \geq v$.

Proof (of Claim 8): By definition: If we take a copy of I_1 - we get v . If we take a copy of an interval conflicting with I_1 - we get v . Otherwise, we can add I_1 to the solution - we get v .

Corollary 1: From claims 7 and 8 we get that any feasible solution to w_2 is 2-approximate.

Proof (of Claim 6): By induction (on the number of intervals):

Base case: If the input is ϕ - return ϕ - optimal solution (and 2-approximate).

Inductive step: Consider the solution S returned by the recursive call. In w_1 there is at least one interval less than in w . By the inductive hypothesis S is optimal with respect to w_1 . We have also shown (in corollary 1) that any feasible solution to w_2 is 2-approximate. S is optimal with respect to w_1 and $w_2 \rightarrow S$ is optimal with respect to $w = w_1 + w_2$.

5 Interval Scheduling with intervals of various widths:

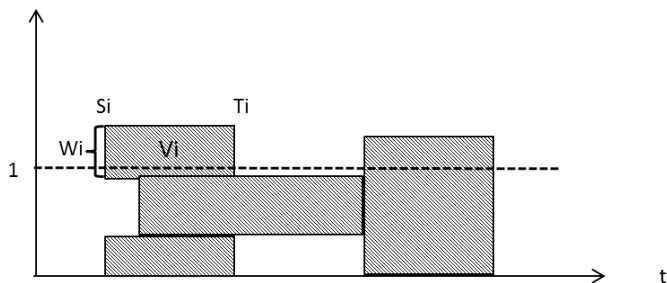
Introducing the problem:

Input :A set of intervals: $I_i = (s_i, t_i, w_i, v_i)$: s_i - the start of the interval, t_i - the end of the interval, w_i ,- the interval's width, v_i - the interval's value.

Feasible solution: Subset S of intervals, s.t at any given time, the sum of the widths of the intervals is at most 1.

Goal Function: Maximize the sum of values of S .

Figure 5: An example of Interval Scheduling with intervals of various widths:



The Algorithm:

Phase 1: Separate the input into 2 groups: $I_1 = \{i | w_i > \frac{1}{2}\}$; $I_2 = \{i | w_i \leq \frac{1}{2}\}$

Phase 2: Solve each group separately:

Solution to I_1 : since $w_i > \frac{1}{2}$, at each given moment, there can be placed at most 1 interval. This is the regular Interval Scheduling problem- we have shown how to solve this problem optimally.

Solution to I_2 :

1. If there is an interval s.t $v_i < 0$ - remove item. If the input is ϕ - return ϕ .
2. The weight function w_1 : Select the interval that that ends first - I_1 with value v and weight w , and remove $\frac{v*w_i}{1-w}$ from all of I_1 's conflicting intervals. (w_i is the weight of the conflicting interval). The weight function $w_2 = w - w_1$. Remove I_1 .
3. Solve recursively.
4. Take the recursive solution and add I_1 to it if possible (= if interval I_1 adding interval I_1 doesn't result in a time t s.t the sum of the weights at that time is over 1).

Claim 9: Optimal solution for $I_2, w_2 \leq 2v$.

Proof : $OPT \leq \max\{v \sum \frac{w_i}{1-w}, v + v \sum \frac{w_i}{1-w}\} \leq \max\{v \frac{1}{1-w}, v + v \frac{1-w}{1-w}\} = \max\{2v, 2v\} = 2v$.

Explanation: The first option - $OPT \leq v \sum \frac{w_i}{1-w}$ represents the case where we didn't add I_1 to the solution. In this case $\sum w_i \leq 1$ since it's a valid solution, and since $w_i > 1/2$ we get $OPT \leq 2v$. The second option (we add I_1 to the solution): $OPT \leq v + v \sum \frac{w_i}{1-w} - v$ for I_1 and $v \sum \frac{w_i}{1-w}$ for all of I_1 's conflicting intervals. We can add I_1 , so $\sum w_i + w \leq 1 \rightarrow \sum w_i \leq 1 - w$. We get $OPT \leq 2v$.

Claim 10: the value of every maximal solution with respect to $I_1, w_2 \geq v$.

Proof: $Alg \geq \min\{v, v \sum \frac{w_i}{1-w}\} \geq \min\{v, v \frac{1-w}{1-w}\} = \min\{v, v\} = v$.

Explanation: The first option - $Alg \geq v$ represents the case where we add I_1 to the solution- the solution value is at least v . . The second option (we can't add I_1 to the solution): $OPT \geq v \sum \frac{w_i}{1-w}$ - We can't add I_1 , so $\sum w_i + w > 1 \rightarrow \sum w_i > 1 - w$. We get $Alg \geq v$.

Corollary 2: From claims 9 and 10 we get that any feasible solution to w_2 is 2-approximate.

Claim 11: The solution to I_2 is 2-approximate.

Proof: The proof of claim 6 (the algorithm for interval scheduling with deadlines) also applies here.

Phase 3: We solve the problem for I_1 and I_2 and take the best solution.

Claim 12: The algorithm is 3-approximate.

Proof: $OPT \leq OPT(I_1) + OPT(I_2)$. If $OPT(I_1) \geq \frac{1}{3}OPT$: $Alg(I_1)$ returns an optimal solution, so we get : $Alg(I_1) = OPT(I_1) \geq \frac{1}{3}OPT$. We get a 3-approximation. Otherwise, $OPT(I_2) \geq \frac{2}{3}OPT$. We got a 2-approximation to I_2 , So We Get $Alg(I_2) \geq \frac{1}{2}OPT(I_2) \geq \frac{1}{2} * \frac{2}{3}OPT = \frac{1}{3}OPT$. In both cases we get 3-approximation.