

Lecture Notes 10: Local Ratio

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1 Introduction

In this Lecture we will introduce the Local Ratio Technique. We will show applications of this method to the following problems:

- Vertex cover
- Interval scheduling
- Interval scheduling with deadlines
- Interval scheduling with intervals of various widths.

2 Vertex Cover

Introducing the problem:

Input: Graph $G = (V, E)$, weight function $w : V \rightarrow R^+$

Feasible solution: $S \subseteq V$ s.t $\forall e = (v, u) \in E. u \in S$ or $v \in S$

Goal Function: Minimize $\sum_{v \in S} w(v)$.

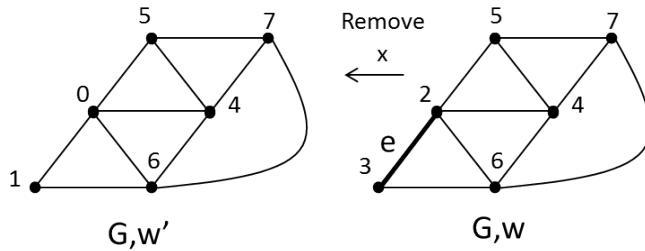
Claim 1: The value of the solution is 0 \iff the group of all the vertexes with weight 0 is a valid cover $\iff \forall e = (u, v) \in E. u \in S$ or $v \in S$

Proof: follows by definition.

2.1 First Solution:

Given input: $G = (V, E)$, $w(v) \rightarrow R^+$, choose an edge $e = (u, v) \in E$ and subtract $X = \min\{w(u), w(v)\}$ from $w(v)$ and $w(u)$. The result is graph G with a new weight function w' .

Figure 1: An example of G with weights w & w' :



Notice that:

$$(1) \text{OPT}(G, w') \leq \text{OPT}(G, w) - X$$

$$(2) A(G, w) \leq A(G, w') + 2X$$

Explanations:

(1) if S is a feasible solution to (G, w) with value V , it will also be a feasible solution to (G, w') with value at most $V - X$.

(2) if S is a feasible solution to (G, w') with value V , it will also be a feasible solution to (G, w) with value at most $V + 2X$ (if both v and u are in S , we add $2X$).

Claim 2: We can achieve a 2-approximation algorithm by recursion on (G, w') :

The Algorithm: choose an edge $e \in E$ and generate (G, w') . Continue until the weight of at least one of the vertexes of each edge is 0.

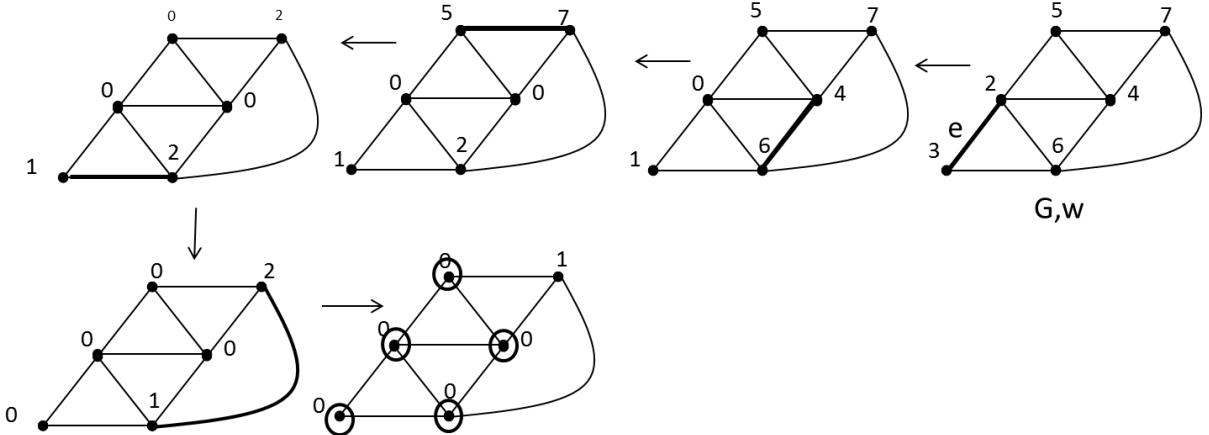
Proof: By induction: (on the number of edges (u, v) s.t $w(u) > 0$ and $w(v) > 0$)

Base case: At least one of the vertexes of each edge is 0 \rightarrow the value of the solution is 0 (we will take all the vertexes with weight 0). The solution is optimal, and therefore 2-approximate.

Induction Step:

$$A(G, w) \leq_{(2)} A(G, w') + 2x \leq_{\text{induction}} 2\text{OPT}(G, w') + 2x = 2(\text{OPT}(G, w') + x) \leq_{(1)} 2\text{OPT}(G, w)$$

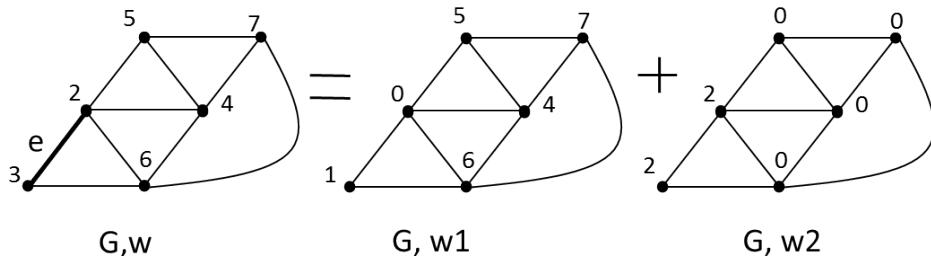
Figure 2: An Example of the recursive algorithm:



2.2 An alternative approach:

We divided the weight function w into 2 functions: $w_1: V \rightarrow R^+$ and $w_2: V \rightarrow R^+$ s.t $w = w_1 + w_2$.

Figure 3: The division of W in our example:



The Local Ratio Theorem: If solution S is α -approximate for (G, w_1) and (G, w_2) , S is also $\alpha - \text{approximate}$ for (G, w)

Proof: $w(S) = w_1(S) + w_2(S) \leq \alpha \text{OPT}(G, w_1) + \alpha \text{OPT}(G, w_2) = \alpha(\text{OPT}(G, w_1) + \text{OPT}(G, w_2)) \leq \alpha \text{OPT}(G, w_1 + w_2) = \alpha \text{OPT}(G, w)$

The local Ratio Theorem applied to the Vertex Cover:

The Algorithm:

- If a zero-cost solution can be found, return one. Otherwise:
- decompose w into $w_1 \& w_2$ (as described above)
- solve the problem recursively, using w_1 as the weight function in the recursive call.

Claim 3: The algorithm is 2-approximate.

Proof: By Induction: (on the number of edges (u,v) s.t $w(u) > 0$ and $w(v) > 0$)

Base case: The algorithm returns a VC of zero cost, which is optimal.

Inductive step: consider the solution returned by the recursive call. In (G, w_1) there is one edge (u, v) less s.t $w(u) > 0$ and $w(v) > 0$, by the inductive hypothesis it is 2-approximate with respect to w_1 . We claim that it is also 2-approximate with respect to w_2 . In fact, every feasible solution is 2-approximate with respect to w_2 : $\text{OPT}(G, w_2) \geq x$ and

$$\text{Alg}(G, w_2) = \sum_{v \in S} w_2(v) \leq \sum_{v \in V} w_2(v) = 2x.$$

Hence there is a single solution which 2-approximate both (G, w_1) and (G, w_2) . Hence this single solution also 2-approximate (G, w) .

3 Interval Scheduling

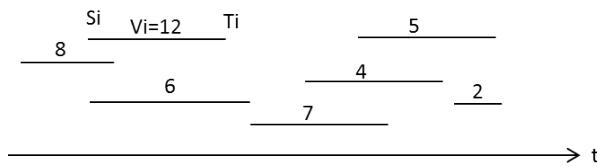
Introducing the problem:

Input: A set of intervals: $I_i = (s_i, t_i, v_i)$ where $s_i \leq t_i$ are the endpoints and v_i is its the value if accepted.

Feasible solution: subset S of non-conflicting intervals.

Goal Function: maximize the sum of values of S .

Figure 4: Example of Interval scheduling problem:



3.1 Simple Case: $\forall i. v_i = 1$:

The Goal is to maximize the number of intervals in S.

The Algorithm: Choose the interval that ends first, and remove all of its conflicting intervals.

Repeat until there are no more intervals.

Claim 4: The Algorithm gives an optimal solution.

Proof: The chosen interval is better than any other interval, because it can be replaced by any of the other intervals we removed.

3.2 The General Case $\forall i. v_i \geq 0$:

3.2.1 Solution using dynamic programming:

The Algorithm:

Sort the intervals by the ending time(t_i): $I_1 \leq I_2 \dots \leq I_n$

Define: $F(I)$ = the maximum gain from intervals ending until the end of the i -th interval.

In particular: $F(0) = 0$.

Denote by J_i = the interval of maximum index that ends before the beginning of interval i . Then we have

$$F(I) = \max\{F(i-1), v(i) + F(j_i)\}$$

3.2.2 Solution using Local Ratio technique:

The Algorithm:

1. If there are interval s.t $v_i \leq 0$ - remove them. If the input is ϕ - return ϕ .
2. The weight function $w_2(v)$: Select the interval that ends first - I_1 with value v and remove v from all of I'_1 's conflicting intervals. (That means that the weight function $w_1(v) = w(v) - w_2(v)$). Remove I_1 .
3. Solve recursively.
4. Take the recursive solution and add I_1 to it if possible (= if interval I_1 does not conflict with the other intervals in the solution).

Claim 5: The algorithm returns an optimal solution.

Proof: By induction (on the number of intervals):

Base case: If the input is ϕ - return ϕ - optimal solution.

Inductive step: First, notice that the all the intervals with value > 0 are conflicting with each other, and $\text{OPT} = v$. Consider the solution S returned by the recursive call. In w_1 there is at least one interval less than in w . By the inductive hypothesis S is optimal with respect to w_1 .

If S contains an interval conflicting with I_1 : There is an interval conflicting with I_1 in the solution \rightarrow the value of the solution in $\text{Alg}(w_2) = v \rightarrow \text{optimal}$.

If S doesn't contain an interval conflicting with I_1 we add it to the solution: $\text{Alg}(w_2) = v \rightarrow \text{optimal}$. S is optimal with respect to w_1 and $w_2 \rightarrow S$ is optimal with respect to $w = w_1 + w_2$.

4 Interval Scheduling with deadlines

Introducing the problem:

Input: A set of intervals: $I_i = (s_i, t_i, d_i, v_i)$: interval i can be placed in the range $[s_i, t_i]$, the interval's length is d_i , and its value - v_i .

Feasible solution: Subset S of non-conflicting intervals, and the starting location for each selected interval in its range.

Goal Function: Maximize the sum of values of S .

Remark: The problem is NP-Complete (can be proved by reduction from Bin Packing).

Assumption: s_i, t_i, d_i are Integer.

The technique: We would actually solve a more general problem where each interval has multiple discrete options, where each option might have a different value. We can choose at most one occurrence of the interval.

Transformation from deadlines to multiple discrete options: Open each interval to a set of all of it's possible (integer) locations within the range $[s_i, t_i]$.

The Algorithm (multiple discrete options):

1. If there are interval s.t $v_{ij} \leq 0$ remove them. If the input is ϕ return .
2. The weight function $w_2(v)$: Select the interval that ends first - I_1 with value v and remove value v from: (1) all of I'_1 's conflicting intervals. (2) all the copies of I_1 . (the weight function $w_1(v) = w(v) - w_2(v)$). Remove I_1 .
3. Solve recursively.
4. Take the recursive solution and add I_1 to it if possible (= if interval I_1 does not conflict with the other intervals in the solution, and there is not any copy of I_1 in the solution).

Claim 6: The algorithm is 2-approximate.

Claim 7: The optimal solution with respect to the weight function w_2 is at most $2v$.

Proof (of Claim 7): We can have a value of at most v from all the intervals conflicting with I_1 , and value of at most v from a copy of I_1 . We get at most $2v$.

Claim 8: The value of every maximal solution with respect to I_1 is at least v (for weight function w_2).

Proof (of Claim 8): By definition: If we take a copy of I_1 then we get a value of v . If we take a copy of an interval conflicting with I_1 then we get a value of v . Otherwise, we can add I_1 to the solution and again we get a value of v .

Corollary 1: From claims 7 and 8 we get that any feasible solution to w_2 is 2-approximate.

Proof (of Claim 6): By induction (on the number of intervals):

Base case: If the input is ϕ -return ϕ which is an optimal solution (and in particular 2-approximate).

Inductive step: Consider the solution S returned by the recursive call (and possibly adding I_1). In w_1 there is at least one interval less than in w . By the inductive hypothesis S is 2-approximate with respect to w_1 (which clearly remains true if we also add I_1). We have also shown (in corollary 1) that any maximal solution to w_2 is 2-approximate. Since S is 2-approximation with respect to w_1 and $w_2 \rightarrow S$ it is also 2-approximation with respect to $w = w_1 + w_2$.

5 Interval Scheduling with intervals of various widths:

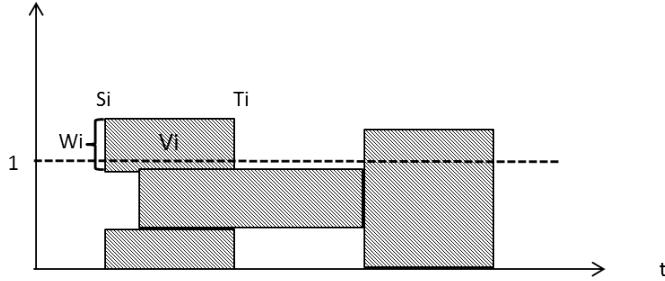
Introducing the problem:

Input :A set of intervals: $I_i = (s_i, t_i, b_i, v_i)$: s_i - the start of the interval, t_i - the end of the interval, b_i - the interval's bandwidth, v_i - the interval's value.

Feasible solution: Subset S of intervals, s.t at any given time, the sum of the bandwidths of the intervals is at most 1.

Goal Function: Maximize the sum of values of S.

Figure 5: An example of Interval Scheduling with intervals of various bandwidths:



The Algorithm:

Phase 1: Separate the input into 2 groups: $I_1 = \{i | b_i > \frac{1}{2}\}$; $I_2 = \{i | b_i \leq \frac{1}{2}\}$

Phase 2: Solve each group separately:

Output: Take the better solution among the two.

Solution to I_1 : since $b_i > \frac{1}{2}$, at each given moment, there can be placed at most 1 interval. This is the regular Interval Scheduling problem- we have shown how to solve this problem optimally.

Solution to I_2 :, i.e., $b_i \leq 1/2$.

1. If there are interval s.t $v_i \leq 0$ - remove them. If the input is ϕ – return ϕ .
2. The weight function $w_2(v)$: Select the interval that ends first - I_1 with value v and bandwidth b , and remove $\frac{v*b_i}{1-b}$ from all of I'_1 's conflicting intervals. (b_i is the bandwidth of the conflicting interval). The weight function $w_1(v) = w(v) - w_2(v)$. Remove I_1 .
3. Solve recursively.
4. Take the recursive solution and add I_1 to it if possible (= if interval I_1 adding interval I_1 does not result in a time t s.t the sum of the weights at that time is over 1).

Claim 9: Optimal solution for I_2 with respect to the weight function w_2 is at most $2v$.

Proof : We note that

$$OPT \leq \max\{v \frac{\sum b_i}{1-b}, v + v \frac{\sum b_i}{1-b}\} \leq \max\{v \frac{1}{1-b}, v + v \frac{1-b}{1-b}\} = \max\{2v, 2v\} = 2v$$

Explanation: The first option where the value of OPT is at most $v \frac{\sum b_i}{1-b}$ represents the case where we did not add I_1 to the solution. In this case $\sum b_i \leq 1$ since it is a valid solution,

and since $b \leq 1/2$ we get that the value of OPT is at most $2v$. The second option (in which we add I_1 to the solution): the value of OPT is at most $v + v \frac{\sum b_i}{1-b}$ since we get v for I_1 and $v \frac{\sum b_i}{1-b}$ for all of I'_1 's conflicting intervals. We can add I_1 , so $\sum b_i + b \leq 1 \rightarrow \sum b_i \leq 1 - b$. We get that $\text{OPT} \leq 2v$.

Claim 10: the value of every maximal solution with respect to I_1 is at least v with the weight function w_2 .

Proof: $\text{Alg} \geq \min\{v, v \frac{\sum b_i}{1-b}\} \geq \min\{v, v \frac{1-b}{1-b}\} = \min\{v, v\} = v$.

Explanation: The first option Alg gets at least v since we add I_1 to the solution and hence the solution value is at least v . The second option (we cannot add I_1 to the solution): $\text{OPT} \geq v \frac{\sum b_i}{1-b}$. Since we can not add I_1 then $\sum b_i + b > 1 \rightarrow \sum b_i > 1 - b$. Hence Alg gets at least v .

Corollary 2: From claims 9 and 10 we get that any maximal solution created with the weight function w_2 is 2-approximate.

Claim 11: The solution to I_2 is 2-approximate.

Proof: The proof of claim 6 (the algorithm for interval scheduling with deadlines) also applies here.

Phase 3: We solve the problem for I_1 and I_2 and take the best solution.

Claim 12: The algorithm achieves 3-approximation.

Proof: $\text{OPT} \leq \text{OPT}(I_1) + \text{OPT}(I_2)$.

If $\text{OPT}(I_1) \geq \frac{1}{3}\text{OPT}$: $\text{Alg}(I_1)$ returns an optimal solution, so we get: $\text{Alg}(I_1) = \text{OPT}(I_1) \geq \frac{1}{3}\text{OPT}$. We get a 3-approximation.

Otherwise, $\text{OPT}(I_2) \geq \frac{2}{3}\text{OPT}$. We got a 2-approximation to I_2 , So We Get $\text{Alg}(I_2) \geq \frac{1}{2}\text{OPT}(I_2) \geq \frac{1}{2} * \frac{2}{3}\text{OPT} = \frac{1}{3}\text{OPT}$.

In both cases we get 3-approximation.