Communication Networks (0368-3030) / Spring 2011

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TCP Overview

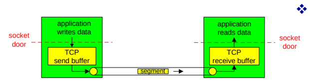
Kurose & Ross, Chapter 3 (5th ed.)

Many slides adapted from:
J. Kurose & K. Ross \
Computer Networking: A Top Down Approach (5th ed.)
Addison-Wesley, April 2009.

TCP: Overview

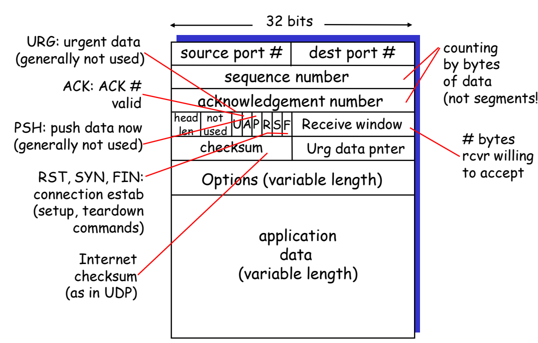
RFCs: 793, 1122, 1323, 2018, 2581

- point-to-point:
  - one sender, one receiver
- reliable, in-order *byte steam:*
  - no "message boundaries"
- pipelined:
  - TCP congestion and flow control set window size
- *send & receive buffers*



- full duplex data:
  - bi-directional data flow in same connection
  - MSS: maximum segment size
- connection-oriented:
  - handshaking (exchange of control msgs) inits sender, receiver state before data exchange
- flow controlled:
  - sender will not overwhelm receiver

TCP segment structure

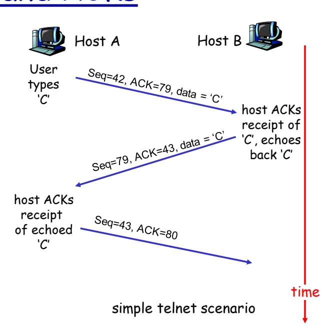


TCP seq. #'s and ACKs

Seq. #'s:
- byte stream "number" of first byte in segment's data

ACKs:
- seq # of next byte expected from other side
- cumulative ACK

Q: how receiver handles out-of-order segments
- A: TCP spec doesn't say, - up to implementor



simple telnet scenario

TCP Round Trip Time and Timeout

Q: how to set TCP timeout value?
- longer than RTT
  - but RTT varies
- too short: premature timeout
  - unnecessary retransmissions
- too long: slow reaction to segment loss

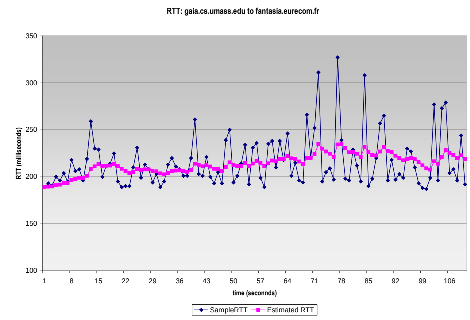
Q: how to estimate RTT?
- `SampleRTT`: measured time from segment transmission until ACK receipt
  - ignore retransmissions
- `SampleRTT` will vary, want estimated RTT "smoother"
  - average several recent measurements, not just current `SampleRTT`

TCP Round Trip Time and Timeout

```
EstimatedRTT = (1- α)*EstimatedRTT + α*SampleRTT
```

- Exponential weighted moving average
- influence of past sample decreases exponentially fast
- typical value: $\alpha = 0.125$

Example RTT estimation:



TCP Round Trip Time and Timeout

Setting the timeout

- `EstimatedRTT` plus "safety margin"
  - large variation in `EstimatedRTT` -> larger safety margin
- first estimate of how much SampleRTT deviates from EstimatedRTT:

```
DevRTT = (1-β)*DevRTT +
              β*|SampleRTT-EstimatedRTT|

(typically, β = 0.25)
```

Then set timeout interval:

```
TimeoutInterval = EstimatedRTT + 4*DevRTT
```


TCP Connection Management

Recall: TCP sender, receiver establish "connection" before exchanging data segments
- initialize TCP variables:
  - seq. #s
  - buffers, flow control info (e.g. `RcvWindow`)
- *client:* connection initiator
  `Socket clientSocket = new Socket("hostname","port number");`
- *server:* contacted by client
  `Socket connectionSocket = welcomeSocket.accept();`

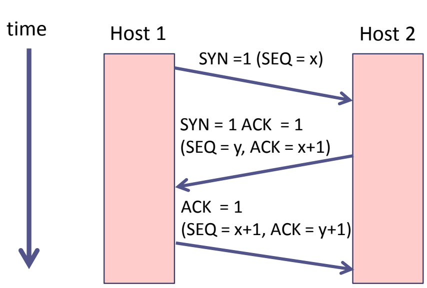
Three way handshake:

Step 1: client host sends TCP SYN segment to server
- specifies initial seq #
- no data

Step 2: server host receives SYN, replies with SYNACK segment
- server allocates buffers
- specifies server initial seq. #

Step 3: client receives SYNACK, replies with ACK segment, which may contain data

Three-way handshake



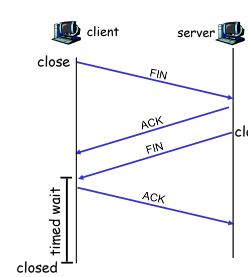
TCP Connection Management (cont.)

Closing a connection:

client closes socket: `clientSocket.close();`

Step 1: client end system sends TCP FIN control segment to server

Step 2: server receives FIN, replies with ACK. Closes connection, sends FIN.

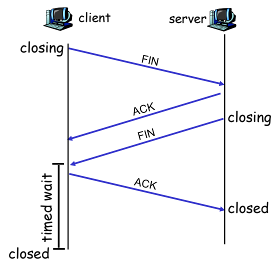


TCP Connection Management (cont.)

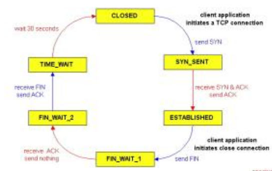
Step 3: client receives FIN, replies with ACK.
- Enters "timed wait" - will respond with ACK to received FINs

Step 4: server, receives ACK. Connection closed.

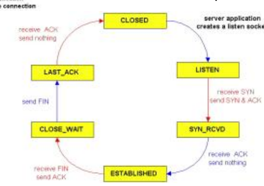
Note: with small modification, can handle simultaneous FINs.



TCP Connection Management (cont)



TCP client lifecycle



TCP server lifecycle

TCP's statechart

- On board
  - Statechart appears in RFC 793
- Discussion of:
  - TIME_WAIT state
    - Connection in TIME_WAIT state cannot move to the CLOSED state until it has waited for two times the maximum segment lifetime (MSL).
    - Why? We do not know whether the ack sent in response to the other side's FIN was delivered. The other side might retransmit its FIN segment.
    - This second FIN might be delayed in the network. If the connection were allowed to move directly to the CLOSED state, then another pair of application processes could have opened the same connection (i.e., use the same port numbers).
    - The delayed FIN from the previous incarnation terminates the later incarnation of the same connection.
    - Because only a connection between the same endpoints can cause the confusion, only one endpoint needs to hold the state.
  - Syn flood attacks

Extra slides

Review of lecture, if time permits

TCP reliable data transfer

- TCP creates rdt service on top of IP's unreliable service
- pipelined segments
- cumulative acks
- TCP uses single retransmission timer
- retransmissions are triggered by:
  - timeout events
  - duplicate acks
- initially consider simplified TCP sender:
  - ignore duplicate acks
  - ignore flow control, congestion control

TCP sender events:

data rcvd from app:
- Create segment with seq #
- seq # is byte-stream number of first data byte in segment
- start timer if not already running (think of timer as for oldest unacked segment)
- expiration interval: `TimeOutInterval`

timeout:
- retransmit segment that caused timeout
- restart timer

Ack rcvd:
- If acknowledges previously unacked segments
  - update what is known to be acked
  - start timer if there are outstanding segments

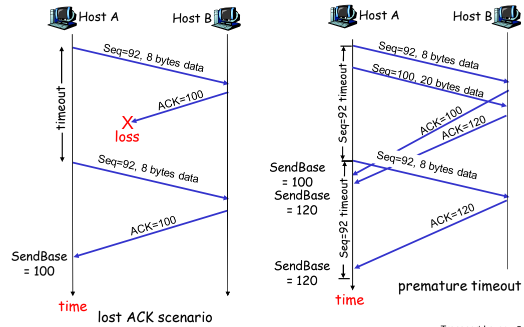
```
NextSeqNum = InitialSeqNum
SendBase = InitialSeqNum

 loop (forever) {
   switch(event)

   event: data received from application above
       create TCP segment with sequence number NextSeqNum
       if (timer currently not running)
           start timer
       pass segment to IP
       NextSeqNum = NextSeqNum + length(data)

   event: timer timeout
       retransmit not-yet-acknowledged segment with
            smallest sequence number
       start timer

   event: ACK received, with ACK field value of y
       if (y > SendBase) {
           SendBase = y
           if (there are currently not-yet-acknowledged segments)
                start timer
           }

 }  /* end of loop forever */
```

TCP sender (simplified)

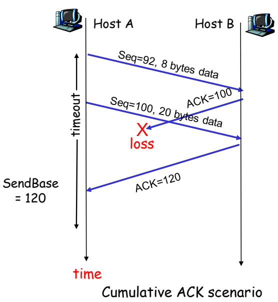
Comment:
• SendBase-1: last cumulatively acked byte

Example:
• SendBase-1 = 71; y= 73, so the rcvr wants 73+ ; y > SendBase, so that new data is acked

TCP: retransmission scenarios



TCP retransmission scenarios (more)



TCP ACK generation [RFC 1122, RFC 2581]

Event at Receiver	TCP Receiver action
Arrival of in-order segment with expected seq #. All data up to expected seq # already ACKed	Delayed ACK. Wait up to 500ms for next segment. If no next segment, send ACK
Arrival of in-order segment with expected seq #. One other segment has ACK pending	Immediately send single cumulative ACK, ACKing both in-order segments
Arrival of out-of-order segment higher-than-expect seq. # . Gap detected	Immediately send *duplicate ACK*, indicating seq. # of next expected byte
Arrival of segment that partially or completely fills gap	Immediate send ACK, provided that segment starts at lower end of gap

Fast Retransmit

- time-out period often relatively long:
  - long delay before resending lost packet
- detect lost segments via duplicate ACKs.
  - sender often sends many segments back-to-back
  - if segment is lost, there will likely be many duplicate ACKs.
- if sender receives 3 ACKs for the same data, it supposes that segment after ACKed data was lost:
  - fast retransmit: resend segment before timer expires

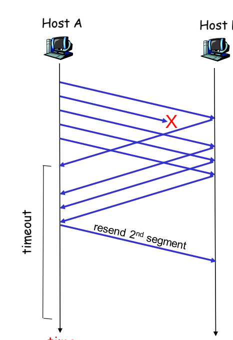


Figure 3.37 Resending a segment after triple duplicate ACK

Fast retransmit algorithm:

```
event: ACK received, with ACK field value of y
          if (y > SendBase) {
              SendBase = y
              if (there are currently not-yet-acknowledged segments)
                  start timer
            }
          else {
               increment count of dup ACKs received for y
               if (count of dup ACKs received for y = 3) {
                   resend segment with sequence number y
               }
```

a duplicate ACK for already ACKed segment

fast retransmit

Chapter 3 outline

3.1 Transport-layer services

3.2 Multiplexing and demultiplexing

3.3 Connectionless transport: UDP

3.4 Principles of reliable data transfer

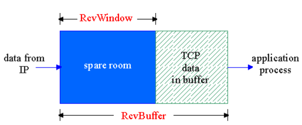
- 3.5 Connection-oriented transport: TCP
  - segment structure
  - reliable data transfer
  - flow control
  - connection management

3.6 Principles of congestion control

3.7 TCP congestion control

TCP Flow Control

- receive side of TCP connection has a receive buffer:

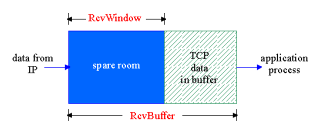


- app process may be slow at reading from buffer

flow control
sender won't overflow receiver's buffer by transmitting too much, too fast

- speed-matching service: matching the send rate to the receiving app's drain rate

TCP Flow control: how it works



(suppose TCP receiver discards out-of-order segments)

- spare room in buffer

= `RcvWindow`

= `RcvBuffer-[LastByteRcvd - LastByteRead]`

- rcvr advertises spare room by including value of `RcvWindow` in segments
- sender limits unACKed data to `RcvWindow`
  - guarantees receive buffer doesn't overflow