Non-deterministic Semantics as a Proof-Theoretical Tool

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The Big Picture

• Our goals:

- Characterization of important syntactic properties of calculi: *cut-admissibility, the subformula property, invertibility of rules,...*
- Understanding the dependencies between them.
- Our tool: non-deterministic semantics.
- Our case study: canonical labelled calculi.

Cut-Admissibility

$$\vdash_{\mathbf{G}} s \implies \vdash_{\mathbf{G}-(cut)} s$$



Can we semantically characterize $\vdash_{\mathbf{G}-(cut)}$?



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For example, what is the semantics of the logic induced by LK - (cut)?

- **()** A formal language \mathcal{L} , based on which \mathcal{L} -formulas are constructed.
- **2** A relation \vdash between sets of \mathcal{L} -formulas and \mathcal{L} -formulas, satisfying:

 $\begin{array}{ll} \textit{Reflexivity:} & \text{if } \psi \in \mathcal{T} \text{ then } \mathcal{T} \vdash \psi. \\ \textit{Monotonicity:} & \text{if } \mathcal{T} \vdash \psi \text{ and } \mathcal{T} \subseteq \mathcal{T}', \text{ then } \mathcal{T}' \vdash \psi. \\ \textit{Transitivity:} & \text{if } \mathcal{T} \vdash \psi \text{ and } \mathcal{T}', \psi \vdash \varphi \text{ then } \mathcal{T}, \mathcal{T}' \vdash \varphi. \end{array}$

How are logics defined by sequent calculi?

- Sequent calculi can induce logics in two possible ways:
 - $\mathbf{v} \colon \ \mathcal{T} \vdash^{\mathbf{v}}_{\mathbf{G}} \varphi \qquad \Longleftrightarrow \qquad \{ \Rightarrow \psi \mid \psi \in \mathcal{T} \} \vdash_{\mathbf{G}} \Rightarrow \varphi$
 - $\mathsf{t} \colon \ \mathcal{T} \vdash^{t}_{\mathbf{G}} \varphi \qquad \Longleftrightarrow \qquad \vdash_{\mathbf{G}} \Gamma \Rightarrow \varphi \ \ \textit{for some finite} \ \Gamma \subseteq \mathcal{T}$

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 - t: $\mathcal{T} \vdash^{t}_{\mathbf{G}} \varphi \quad \iff \quad \vdash_{\mathbf{G}} \Gamma \Rightarrow \varphi \text{ for some finite } \Gamma \subseteq \mathcal{T}$

Lemma

For any sequent calculus \mathbf{G} , $\vdash_{\mathbf{G}}^{v}$ is a logic.

But if **G** does not include cut, $\vdash_{\mathbf{G}}^{t}$ is not necessarily a logic!

$$\vdash_{\mathbf{G}} s \implies \vdash_{\mathbf{G}-(cut)} s$$

Can we semantically characterize the logic $\vdash_{\mathsf{LK}-(cut)}^{\mathsf{v}}$?

•
$$\vdash_{\mathsf{LK}}^{v}$$
 and $\vdash_{\mathsf{LK}-(cut)}^{v}$ are different logics:

$$\Rightarrow p_1 \supset p_2 \vdash_{\mathsf{LK}} \Rightarrow p_1 \supset (p_3 \supset p_2)$$
$$\Rightarrow p_1 \supset p_2 \not\vdash_{\mathsf{LK}-(cut)} \Rightarrow p_1 \supset (p_3 \supset p_2)$$

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Classical Logic

The Matrix $\mathbf{M}_{\mathbf{LK}}$

- \bullet Truth-values: $\{ {\rm T}, {\rm F} \}$
- An M_{LK}-valuation is a *model* of a sequent Γ ⇒ Δ iff v(ψ) = F for some ψ ∈ Γ or v(ψ) = T for some ψ ∈ Δ.
- Truth-tables:

Soundness and Completeness

 $\Omega \vdash_{\mathsf{LK}} s$ iff every M_{LK} -valuation which is a model of every sequent in Ω is also a model of s.

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(Trivial) Observation

Every $\mathbf{M}_{\mathbf{LK}}$ -valuation v is either a model of $\Rightarrow \varphi$ or of $\varphi \Rightarrow$, but not both!

The semantics for $\vdash_{\mathsf{LK}-(cut)}^{v}$

(Trivial) Observation

Every \mathbf{M}_{LK} -valuation v is either a model of $\Rightarrow \varphi$ or of $\varphi \Rightarrow$, but not both!

• Why not both? Because of cut:

$$\frac{\Gamma \Rightarrow \varphi, \Delta \quad \Gamma, \varphi \Rightarrow \Delta}{\Gamma \Rightarrow \Delta}$$

• Discarding cut makes this option possible.

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- New truth-values: $\{\{T\}, \{F\}, \{T, F\}\}$
- New definition of model: a valuation is a *model* of a sequent Γ ⇒ Δ iff F ∈ ν(ψ) for some ψ ∈ Γ or T ∈ ν(ψ) for some ψ ∈ Δ.
 - For example: $v(\varphi) = \{T, F\}$ iff v is a model of both $\Rightarrow \varphi$ and $\varphi \Rightarrow$.

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 - For example: $v(\varphi) = \{T, F\}$ iff v is a model of both $\Rightarrow \varphi$ and $\varphi \Rightarrow$.
- But no new truth-tables!

Theorem

(Lahav, 2012) $\vdash_{\mathsf{LK}-(cut)}^{v}$ does not have a finite characteristic matrix.

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Non-deterministic Semantics - Motivation

- Principle of Truth-Functionality (PTF): the truth-value of a complex formula is uniquely determined by the truth-values of its subformulas.
- Non-deterministic phenomena in possible conflict with PTF: vagueness incompleteness uncertainty imprecision inconsistency
- Relaxing PTF: non-deterministic evaluation of formulas.

\diamond	Т	F
Т	{T}	$\{T,F\}$
F	$\{T,F\}$	$\{F\}$

Consider a fully structural calculus with the following rules:

$$\frac{\Gamma \Rightarrow \Delta, \psi}{\Gamma, \neg \psi \Rightarrow \Delta} \qquad \qquad \frac{\Gamma, \psi \Rightarrow \Delta}{\Gamma \Rightarrow \Delta, \neg \psi}$$

$$\frac{\Gamma,\psi\Rightarrow\Delta\quad\Gamma,\varphi\Rightarrow\Delta}{\Gamma,\psi\lor\varphi\Rightarrow\Delta}\quad\frac{\Gamma\Rightarrow\Delta,\psi,\varphi}{\Gamma\Rightarrow\Delta,\psi\lor\varphi}$$

Intuition for Introducing Non-determinism

$$\frac{\Gamma \Rightarrow \Delta, \psi}{\Gamma, \neg \psi \Rightarrow \Delta} \qquad \qquad \frac{\Gamma, \psi \Rightarrow \Delta}{\Gamma \Rightarrow \Delta, \neg \psi}$$

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Ш

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$$\begin{array}{c|c} & & & & & \\ \hline \hline T & F \\ F & ? \\ F & F \\ \hline F & F \\ \end{array} \begin{array}{c} T & T \\ T & F \\ F \\ F \\ F \\ F \\ \end{array} \begin{array}{c} V \\ T \\ T \\ F \\ F \\ F \\ \end{array} \begin{array}{c} V \\ T \\ T \\ T \\ T \\ F \\ F \\ \end{array}$$

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Many-valued Matrices

A (deterministic) matrix **M** for \mathcal{L} consists of:

- \mathcal{V} the set of truth-values,
- O contains an interpretation function [◦] : Vⁿ → V for every *n*-ary connective ◊ of L.

An **M**-valuation $v : Frm_{\mathcal{L}} \to \mathcal{V}$ satisfies:

$$\mathbf{v}(\diamond(\psi_1,\ldots,\psi_n))=\tilde{\diamond}(\mathbf{v}(\psi_1),\ldots,\mathbf{v}(\psi_n))$$

Non-deterministic Matrices [Avron and Lev, 2001]

A non-deterministic matrix $\boldsymbol{\mathsf{M}}$ for $\mathcal L$ consists of:

- \mathcal{V} the set of truth-values,
- O contains an interpretation function õ : Vⁿ → P⁺(V) for every n-ary connective ◊ of L.

An **M**-valuation $v : Frm_{\mathcal{L}} \rightarrow \mathcal{V}$ satisfies:

$$v(\diamond(\psi_1,\ldots,\psi_n)) \in \tilde{\diamond}(v(\psi_1),\ldots,v(\psi_n))$$

 \mathcal{L} — a language over $\{\forall, \land, \supset, \neg\}, \mathcal{V} = \{F, T\}, \mathcal{D} = \{T\}.$ \lor, \land and \supset are interpreted classically, while \neg satisfies the law of excluded middle $\neg \varphi \lor \varphi$, but not the law of contradiction $\neg(\varphi \land \neg \varphi).$ $\mathbf{M}^2 = \langle \mathcal{V}, \mathcal{D}, \mathcal{O} \rangle$ where \mathcal{O} is given by:



- Analyticity: any partial **M**-valuation can be extended to a full **M**-valuation.
- Consequence: decidability (in the finite case).

- We start with the simplest system: identity axiom + weakening (no logical rules, no cut)
- \bullet Truth-values: $\{\{T\},\{F\},\{T,F\}\}$

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$\widetilde{\wedge}$	{T}	$\{F\}$	$\{T,F\}$
{T}	$\{\{T\}, \{F\}, \{T, F\}\}$	$\{\{T\}, \{F\}, \{T, F\}\}$	$\{\{T\}, \{F\}, \{T, F\}\}$
$\{F\}$	$\{\{T\}, \{F\}, \{T, F\}\}$	$\{\{T\}, \{F\}, \{T, F\}\}$	$\{\{T\}, \{F\}, \{T, F\}\}$
$\{T,F\}$	$\{\{T\}, \{F\}, \{T, F\}\}$	$\{\{T\}, \{F\}, \{T, F\}\}$	$\{\{T\}, \{F\}, \{T, F\}\}$

Adding the rule:

$$(\Rightarrow \land) \quad \frac{\Gamma \Rightarrow \Delta, \psi \quad \Gamma \Rightarrow \Delta, \varphi}{\Gamma \Rightarrow \Delta, \psi \land \varphi}$$

$\widetilde{\land}$	{T}	$\{F\}$	$\{T,F\}$
{T}	$\{\{T\}, \{F\}, \{T, F\}\}$	$\{\{T\}, \{F\}, \{T, F\}\}$	$\{\{T\}, \{F\}, \{T, F\}\}$
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T	$\{\{T\}, \{T, F\}\}$	$\{\{T\}, \{F\}, \{T, F\}\}$	$\{\{T\}, \{T, F\}\}$
$\{F\}$	$\{\{T\}, \{F\}, \{T, F\}\}$	$\{\{T\}, \{F\}, \{T, F\}\}$	$\{\{T\}, \{F\}, \{T, F\}\}$
$\{T,F\}$	$\{\{T\}, \overline{\{T,F\}}\}$	$\{\{T\}, \{F\}, \{T, F\}\}$	$\{\{T\}, \{T, F\}\}$

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The corresponding Nmatrix:



Recall: An valuation is a model of a sequent $\Gamma \Rightarrow \Delta$ iff $f \in v(\psi)$ for some $\psi \in \Gamma$ or $T \in v(\psi)$ for some $\psi \in \Delta$.

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Soundness and Completeness

 $\Omega \vdash_{\mathsf{LK}-(cut)} s$ iff every $\mathsf{M}_{\mathsf{LK}-(cut)}$ -valuation which is a model of every sequent in Ω is also a model of s.

 \hookrightarrow New formulation of results of Schütte (1960) and Girard (1987).

Application: Semantic Proof of Cut-Admissibility in LK

Cut-Admissibility in **LK**

$\vdash_{\mathsf{LK}} s \implies \vdash_{\mathsf{LK}-(cut)} s$

Application: Semantic Proof of Cut-Admissibility in LK



- Reduces to proving that for every M_{LK-(cut)}-valuation which is not a model of some sequent s, there exists an M_{LK}-valuation which is not a model of s.
- Proof by induction on the build-up of formulas.

The Big Picture

- Our goals:
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- An "ideal" logical rule: an introduction rule for exactly one connective, on exactly one side of a sequent.
- In its formulation: *exactly one occurrence* of the introduced connective, no other occurrences of other connectives.
- Its active formulas: *immediate subformulas* of its principal formula.

Examples of Canonical Rules

$$\frac{\Gamma,\psi,\varphi\Rightarrow\Delta}{\Gamma,\psi\wedge\varphi\Rightarrow\Delta} \qquad \frac{\Gamma\Rightarrow\Delta,\psi\quad\Gamma\Rightarrow\Delta,\varphi}{\Gamma\Rightarrow\Delta,\psi\wedge\varphi}$$

$$\frac{\Gamma \Rightarrow \Delta, \psi}{\Gamma, \neg \psi \Rightarrow \Delta} \qquad \frac{\Gamma, \psi \Rightarrow \Delta}{\Gamma \Rightarrow \Delta, \neg \psi}$$

Let G_1 be a fully structural calculus with the following rules:

 $\{\Rightarrow\psi_1\;;\;\Rightarrow\psi_2\}\;/\;\psi_1\diamond\psi_2\Rightarrow\quad \{\psi_1\Rightarrow\;;\;\psi_2\Rightarrow\}\;/\;\Rightarrow\psi_1\diamond\psi_2$

а	b	$\diamond(a, b)$
Т	Т	$\{F\}$
Т	\mathbf{F}	$\{T,F\}$
F	Т	$\{T,F\}$
F	F	$\{T\}$

Let \mathbf{G}_2 be a fully structural calculus with the following rules:

 $\{\psi_2 \Rightarrow\} \ / \ \psi_1 \circ \psi_2 \Rightarrow \quad \{\Rightarrow \psi_1\} \ / \ \Rightarrow \psi_1 \circ \psi_2$

а	b	∘(<i>a</i> , <i>b</i>)
Т	Т	{T}
Т	\mathbf{F}	Ø <u>????</u>
F	Т	$\{T,F\}$
F	F	$\{F\}$

A non-deterministic matrix for \mathcal{L} consists of:

- ${\mathcal T}$ the set of truth-values,
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A non-deterministic partial matrix for \mathcal{L} consists of:

- ${\mathcal T}$ the set of truth-values,
- O contains an interpretation function õ : Vⁿ → P(V) for every n-ary connective ◊ of L.
- A PNmatrix is proper if it includes no "empty spots".

- Analyticity: any partial **M**-valuation can be extended to a full **M**-valuation.
- Consequence: decidability (in the finite case).

- Weak Analyticity: it is decidable whether a partial **M**-valuation can be extended to a full **M**-valuation.
- Consequence: decidability (in the finite case).

Theorem

If **G** is a (two-sided) canonical calculus, then the following statements are equivalent:

- **G** has a characteristic proper two-valued PNmatrix.
- **Q G** enjoys strong cut-admissibility.
- **G** enjoys the subformula property.

Theorem

If **G** is a (two-sided) canonical calculus, then the following statements are equivalent:

- **G** has a characteristic proper two-valued PNmatrix.
- **Q G** enjoys strong cut-admissibility.
- **G** enjoys the subformula property.
 - The Subformula Property: Whenever Ω ⊢_G s, there is a derivation of s from Ω in G consisting solely of *E*-sequents (i.e. sequents consisting solely of formulas from *E*).
 - Strong Cut-Admissibility Whenever Ω ⊢_G s, there is a derivation of s from Ω in G in which cuts are allowed only on formulas from Ω.

$$\psi_1, \psi_2 \Rightarrow \psi_3, \psi_4, \psi_5 \quad \Rightarrow \quad \left\{ \mathbf{F} : \psi_1, \mathbf{F} : \psi_2, \mathbf{T} : \psi_3, \mathbf{T} : \psi_4, \mathbf{T} : \psi_5 \right\}$$

- A finite set of labels Ł.
- A labelled formula: $a : \psi$ for $a \in \mathbf{L}$
- A sequent: a finite set of labelled formulas.
- Canonical labelled calculi have in addition to weakening two types of rules: primitive rules and canonical introduction rules.

Primitive Rules

$$\frac{(L_1:\psi)\cup s \dots (L_n:\psi)\cup s}{(L:\psi)\cup s\cup \dots \cup s}$$

Notation: we write $(\{a, b, c\} : \psi)$ instead of $\{a : \psi, b : \psi, c : \psi\}$.

Examples:

$$\frac{\{\mathbf{F}:\psi\}\cup \mathbf{s}\quad \{\mathbf{T}:\psi\}\cup \mathbf{s}}{\mathbf{s}}$$

$$\frac{\mathsf{s}}{(\{\mathtt{T},\mathtt{F}\}:\psi)\cup\mathsf{s}}$$

$$\frac{(\{a\}:\psi)\cup s \quad (\{b\}:\psi)\cup s}{(\{c,d\}:\psi)\cup s}$$

Canonical Introduction Rules

$$\frac{\{\mathrm{T}:\psi_1\}\cup s\quad \{\mathrm{T}:\psi_2\}\cup s}{\{\mathrm{T}:\psi_1\wedge\psi_2\}\cup s}$$

$$\frac{\{\mathrm{F}:\psi_1,\mathrm{F}:\psi_2\}\cup s}{\{\mathrm{F}:\psi_1\wedge\psi_2\}\cup s}$$

$$\frac{\{a:\psi_1,b:\psi_2\}\cup s \quad \{c:\psi_2,a:\psi_3,b:\psi_3\}\cup s}{(\{a,b\}:\circ(\psi_1,\psi_2,\psi_3)\cup s}$$

- Possible truth-values in the two-sided case: $\{\emptyset, \{F\}, \{T\}, \{T, F\}\}$.
- Possible truth-values in the labelled case: P(L).
- A valuation v is a model of a sequent Ω if for some labelled formula
 a : ψ in Ω, a ∈ v(ψ).
- Primitive rules determine the actual set of truth-values.
- Introduction rules determine the truth-tables of the logical connectives.

Example

Start with the calculus over $L = \{a, b, c\}$ including only weakening.

 $\mathsf{Vals} = \{ \emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\} \}$

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Now we add the primitive rules:

$$\frac{s}{(\{a,b\}:\psi)\cup s} \quad \frac{\{a:\psi\}\cup s \quad \{b:\psi\}\cup s \quad \{c:\psi\}\cup s}{s}$$
$$\mathsf{Vals} = \{\{b\},\{a\},\{a,b\}\}$$

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$$\frac{\{a:\psi_1\}\cup s \quad \{a:\psi_2\}\cup s}{\{a:\psi_1\wedge\psi_2\}\cup s}$$



$$\frac{\{a:\psi_1\}\cup s \quad \{a:\psi_2\}\cup s}{\{a:\psi_1\wedge\psi_2\}\cup s}$$





$$\frac{\{b:\psi_1,b:\psi_2\}\cup s}{\{b:\psi_1\wedge\psi_2\}\cup s}$$





$$\frac{\{b:\psi_1,b:\psi_2\}\cup s}{\{b:\psi_1\wedge\psi_2\}\cup s}$$

$\widetilde{\wedge}$	{a}	{ <i>b</i> }	$\{a,b\}$
{ <i>a</i> }	$\{\{a\}, \{a, b\}\}$	$\{\{b\}, \{a, b\}\}$	$\{\{a,b\}\}$
{ <i>b</i> }	$\{\{b\}, \{a, b\}\}$	$\{\{b\}, \{a, b\}\}$	$\{\{b\}, \{a, b\}\}$
$\{a,b\}$	$\{\{a,b\}\}$	$\{\{b\}, \{a, b\}\}$	$\{\{a,b\}\}$



$$\frac{\{b:\psi_1\}\cup s \quad \{b:\psi_2\}\cup s}{\{c:\psi_1\wedge\psi_2\}\cup s}$$

$\widetilde{\wedge}$	{ <i>a</i> }	{ <i>b</i> }	$\{a,b\}$
{ <i>a</i> }	$\{\{a\}, \{a, b\}\}$	$\{\{b\}, \{a, b\}\}$	$\{\{a,b\}\}$
{ <i>b</i> }	$\{\{b\}, \{a, b\}\}$	Ø	Ø
$\{a,b\}$	$\{\{a,b\}\}$	Ø	Ø

All Labelled Calculi are Decidable

Theorem

Every canonical labelled calculus has a characteristic (finite) PNmatrix.

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Corollary

Any logic induced by canonical labelled calculus is decidable.

Application: characterization of syntactic properties

The Subformula Property

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Strong Cut-Admissibility

Whenever $\Omega \vdash_{\mathbf{G}} s$, there is a derivation of s from Ω in \mathbf{G} in which cuts are allowed only on formulas from Ω .

$$\frac{(L_1:\psi) \quad \dots (L_n:\psi)}{s}$$

We call cut any primitive rule of the form

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Strong Cut-Admissibility

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We call cut any primitive rule of the form

Are these properties equivalent?

The subformula property \Rightarrow strong cut-admissibility

$$\mathsf{L} = \{\mathsf{a}, \mathsf{b}, \mathsf{c}\}$$

G has the following cuts:

$$\frac{\{a:\psi\}\cup s \quad \{b:\psi\}\cup s}{s} \quad \frac{\{a:\psi\}\cup s \quad \{c:\psi\}\cup s}{s} \quad \frac{\{b:\psi\}\cup s \quad \{c:\psi\}\cup s}{s}$$

and the following introduction rules:

$$\frac{(\{a,b\}:\psi)\cup s}{\{a:\star\psi\}\cup s} \quad \frac{(\{b,c\}:\psi)\cup s}{\{a:\star\psi\}\cup s}$$

Then we can derive:

$$\frac{\frac{\{a:\psi\}}{\{a,b\}:\star\psi} \quad \frac{\{a:\psi\}}{\{b,c\}:\star\psi}}{\{b:\star\psi\}} \ cut$$

But $\{b : \star \psi\}$ has no derivation from $\{a : \psi\}$ with cuts only on ψ .

Solution: harmless primitive rules

• The problem can be solved by adding the primitive rule (which does not affect the semantics of the calculus):

$$rac{(\{a,b\}:\psi)\cup s\quad (\{b,c\}:\psi)\cup s}{\{b:\psi\}\cup s}$$
 pr

Then we have a (cut-free!) derivation:

$$\frac{ \begin{cases} \mathbf{a}:\psi \} }{\{\mathbf{a},\mathbf{b}\}:\star\psi} \quad \frac{\{\mathbf{a}:\psi\}}{\{\mathbf{b},\mathbf{c}\}:\star\psi} \\ \frac{\{\mathbf{b}:\star\psi\}}{\{\mathbf{b}:\star\psi\}} \ \mathbf{pr}$$

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$$\frac{\{b:\star\psi\}}{\{b:\star\psi\}} pr$$

• The addition of all such harmless primitive rules leads to a cut-saturated calculus.

Theorem

For every labelled canonical calculus ${\bf G}$ an equivalent cut-saturated ${\bf G}'$ can be constructed.

Finally: a semantic characterization

Theorem

Let **G** be a cut-saturated canonical labelled calculus. Then the following statements are equivalent:

- **G** has a proper characteristic PNmatrix.
- **Q** G enjoys strong cut-admissibility.
- **G** enjoys the subformula property.

The Big Picture

• Our goals:

- Characterization of important syntactic properties of calculi.
- Understanding the dependencies between them.
- Our tool: non-deterministic semantics.
- Our case study: canonical labelled calculi.

- The techniques can be applied to many families of proof systems: single-conclusioned canonical calculi, basic systems, canonical Gödel hypersequent systems and more.
- Future research directions:
 - First-order case
 - Extension to calculi with less restrictive primitive and introduction rules.
 - Substructural logics...