

Rewrite Systems

13. Semantic Unification

Tentative Course Outline

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|------------------|-------------------|
| 1. Introduction | 8. Modularity |
| 2. Termination | 9. Unification |
| 3. Church-Rosser | 10. Induction |
| 4. Orthogonality | 11. Polynomials |
| 5. Diagrams | 12. Boolean Rings |
| 6. Completion | 13. Extensions |
| 7. Saturation | 14. Open Problems |

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Sources

- Terese, Sect. 7.7
- Jouannaud, Sect. 6.2-3
- Baader & Snyder Handout

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A Simple Calendar: 12 months of 30 days

months: 0, 1, ..., 11
 days: 0, 1, ..., 29
 years: ..., -2, -1, 0, 1, 2, ...

fixed-from-simple (month day year) $\stackrel{\text{def}}{=}$

simple-epoch - 1
 +360 · year
 +30 · month
 +day

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A Simple Calendar: 12 months of 30 days

simple-from-fixed (date) $\stackrel{\text{def}}{=}$ month day year

where

$n = \text{date} - \text{simple-epoch}$
 $\text{year} = \lfloor n/360 \rfloor$
 $\text{month} = \lfloor (n - 360 \cdot \text{year})/30 \rfloor$
 $\text{day} = n \bmod 30$

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Goal Solving

- Given N,
- find d,m,y such that

- fixed-from-simple([d,m,y]) =? N
- $0 \leq d < 30 \Rightarrow T$
- $0 \leq m < 12 \Rightarrow T$

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Syntactic Unification

- We ask whether two terms s, t can be made identical:

$$\exists \sigma. \text{Eq} \models s\sigma = t\sigma$$

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Syntactic Equality

$$s_1 = t_1, \dots, s_n = t_n$$

$$f(s_1, \dots, s_n) = f(t_1, \dots, t_n)$$

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Trivial Goal

=====

$$s =? s$$

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Decompose Goal

$$\begin{array}{c} s_1 =? t_1 \dots, s_n =? t_n \\ \text{=====} \\ f(s_1, \dots, s_n) =? f(t_1, \dots, t_n) \end{array}$$

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Clash

$$\begin{array}{c} F \\ \text{=====} \\ f(s_1, \dots, s_n) =? g(t_1, \dots, t_n) \end{array}$$

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Occurs Check

$$\begin{array}{c} F \\ \text{=====} \\ x =? t[x] \end{array} \quad \begin{array}{l} x \text{ within } t \end{array}$$

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Eliminate

$$x = t, S\{x \mapsto t\}$$

===== x not in t

$$x =? t, S$$

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Semantic Unification

- We ask whether two terms s, t can be made equal:

$$\exists \sigma. E \models s\sigma = t\sigma$$

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British Museum Method

- Try every substitution σ
- Check if $E \models s\sigma = t\sigma$

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Mutate Goal

$$\begin{array}{c} s1 =? u1 \dots, sn =? un, r =? t \\ \text{-----} f(u1, \dots, un) = r \in E \\ f(s1, \dots, sn) =? t \end{array}$$

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Expand Goal

$$\begin{array}{c} x1 =? u1 \dots, xn =? un, r =? t, x = f(x1, \dots, xn) \\ \text{-----} f(u1, \dots, un) = r \in E \\ x =? t \end{array}$$

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Completeness Proof Sketch

- Suppose $s =? t$ has a solution σ
- Consider proof
$$s\sigma = \dots = v = w = \dots = t\sigma$$
where each step uses an axiom in E
- Show that applying rule to $s =? t$ yields smaller solvable subgoals
 - Measure by number of non-eliminated variables in goal and number of symbols in proof

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Case Analysis

1. No top application of axiom
 - Decompose and consider proofs of $s\sigma = t\sigma$
2. $v = w$ is first top application
 - Mutate and consider $s\sigma = u\sigma$, $r\tau = t\sigma$
3. $x = ? t$ (no x in t)
 - Eliminate x and $x\sigma$ is $t\sigma$
4. $x = ? t$
 - Expand and consider $x\sigma = t\sigma$, $r\tau = t\sigma$

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Narrowing

- Refine the set of solutions to a problem
- If every term has a unique nf, then we need only look for normal-form substitutions

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Narrowing

- Apply a minimal substitution that allows for a rewrite
- $u[s] = ? v$ narrows to $u\sigma[r\sigma] = ? v\sigma$ if
 1. s is not a variable
 2. $l \rightarrow r$ is a rule
 3. $\sigma = \text{mgu}(s, l)$

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$$s_1 =? u_1, \dots, s_n =? u_n, r =? t$$

$$\text{----- } f(u_1, \dots, u_n) \rightarrow r \in E$$

$$f(s_1, \dots, s_n) =? t$$

Basic Strategy

$$s_1 =? u_1, \dots, s_n =? u_n, r =? t$$

$$\text{----- } f(u_1, \dots, u_n) \rightarrow r \in E$$

$$f(s_1, \dots, s_n) =? t$$

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Decidable Cases

- Given a terminating, confluent system for E
- Unification is still undecidable. Why?

Decidable Cases

- Every non-ground right side is a variable [Hullot, 1980].
- Every non-ground right side is a constructor term [Dershowitz *et al.*, 1992].
- Every non-ground right side is a proper subterm of its left side [Narendran, Pfenning and Statman, 1997].
- Every non-ground right side is either a constructor term or a proper subterm of its left side [Mitra, 1994].
- Every right side is composed of constructors and proper subterms of its left side [Mitra, 1994].

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Cont'd

- All variables are shallow on the left side [Christian, 1992].
- The system is linear and every variable that appears on both sides is shallow on both sides (convergence is unnecessary) [Nieuwenhuis, 1998].
- The system is linear and the right side of every f -rule is either a constructor term or a proper subterm of the left side, except for at most one right side that may be a value context with a single subterm $g(\dots, r_i, \dots)$, where every r_i is either a variable or a value [Dershowitz and Mitra, 1992].
- The system is linear and the right side of every f -rule is a constructor term, except for at most one right side that may be a constructor context with a single subterm $g(\dots, r_i, \dots)$, where every r_i is either a variable or a value [Mitra, 1994].

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Function Inversion

- One side of goal equation has no variables
- Assume system is left-linear (or else same as unification)
- Assume confluent (for ground terms)

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Basics

$$\begin{array}{ll}
 x + 0 \rightarrow x & s(x) < s(y) \rightarrow x < y \\
 x + s(y) \rightarrow s(x + y) & 0 < s(y) \rightarrow T \\
 x \times 0 \rightarrow 0 & 0 < 0 \rightarrow F \\
 x \times s(y) \rightarrow (x \times y) + x & s(x) < 0 \rightarrow F
 \end{array}$$

$$\begin{array}{ll}
 s(x) =^? s(y) \rightarrow x =^? y \\
 s(x) =^? 0 \rightarrow F \\
 0 =^? s(y) \rightarrow F
 \end{array}$$

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Method

Decompose: $\frac{f(s_1, \dots, s_n) \rightarrow^? f(t_1, \dots, t_n)}{s_1 \rightarrow^? t_1, \dots, s_n \rightarrow^? t_n}$

Mutate: $\frac{f(s_1, \dots, s_n) \rightarrow^? t}{s_1 \rightarrow^? t_1 \rho, \dots, s_n \rightarrow^? t_n \rho}$ ρ is a solution to $r \rightarrow^? t$; $f(t_1, \dots, t_n) \rightarrow r$ is a (renamed) rule in R

Eliminate: $\frac{x \rightarrow^? t}{x =^? t}$ x is a variable

Ignore: $\frac{s \rightarrow^? x}{s =^? x}$ x is a variable

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Winning Strategy

Repeat

Try

Ignore

Eliminate

Combine

Decompose

Mutate

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Higher-Order Unification

- We ask whether two lambda terms s, t can be made equal:

$$\exists \sigma. \lambda \mid= s\sigma = t\sigma$$

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